

Ruling #:

2019-DAA-16

Track

Downs at Albuquerque

Ruling Date:

8/2/2019

Licensee:

HERNANDEZ, PABLO M

License Type:

2019 3 Year Owner

Applicant Id:

7662

John Adares

License Number: 294926

Ruling #

2019-DAA-16

Action Type:

Initial Ruling

Victor Ereh

Ruling:

Owner <u>Pablo Hernandez</u> was duly notified and appeared for a hearing before the board of Stewards on <u>July</u> <u>27, 2019</u>. The hearing was in regards to a security citation for disorderly conduct in the barn area <u>on June 26, 2019, at the Downs of Albuquerque Racetrack.</u>

<u>Pablo Hernandez</u> is hereby issued a warning letter for this incident.

Licensee is found to be in violation of and this ruling is written in accordance with:

16.47.1.8 (L)(1)(i) - CONDUCT Which states in pertinent part "The Commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued, or order disciplinary measures if the applicant is a person whose conduct or reputation may reflect on the honesty, and integrity of horse racing"

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority
16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)
(a)Failure to Appeal/ 15.2.1.9(B)(9)(b):

By Order of The Downs at Albuquerque Stewards

for water

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.



Ruling #:

2019-DAA-17

Track

Downs at Albuquerque

Ruling Date:

8/2/2019

Licensee:

CADENA, III EMILIO

License Type:

Applicant Id:

18884

License Number:

Ruling #

2019-DAA-17

Action Type:

Initial Ruling

Ruling:

Trainer Emilio Cadena III was duly notified and appeared for a hearing before the board of Stewards on July 27, 2019. The hearing was in regards to a security citation for disorderly conduct in the barn area on June 26, 2019, at the Downs of Albuquerque Racetrack.

Emilio Cadena III is hereby issued a warning letter for this incident.

Licensee is found to be in violation of and this ruling is written in accordance with:

16.47.1.8 (L)(1)(i) - CONDUCT Which states in pertinent part "The Commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued, or order disciplinary measures if the applicant is a person whose conduct or reputation may reflect on the honesty, and integrity of horse racing"

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal / 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Downs at Albuquerque Stewards

for wath

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling. John Halans



Ruling #:

2019-DAA-18

Track

Downs at Albuquerque

Ruling Date:

8/3/2019

Licensee:

RUST, BLAKE

License Type:

2017 3 Year Trainer

Applicant Id:

21794

License Number: 284887

Ruling #

2019-DAA-18

Action Type:

Initial Ruling

Ruling:

Trainer: Blake Rust, having been duly notified, and waived his hearing before the Board of Stewards on July 31, 2019, concerning the securty citation of misconduct in the test barn for the 7th and 8th races on July 31, 2019.

For this rule violation, the Board of Stewards assessed Blake Rust a penalty of a fine in the amount of \$500 five hundred dollars. This fine is to be paid on or before September 2, 2019 in accordance with 15.2.3.8(B) (3)(1) NMAC. Failure to pay the fine within the time prescribed will result in immediate suspension.

Licensee is found to be in violation of:

16.47.1.8 (L)(1)(i) - CONDUCT Which states in pertinent part "The Commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued, or order disciplinary measures if the applicant is a person whose conduct or reputation may reflect on the honesty, and integrity of horse racing"

This ruling is written in accordance with:

15.2.3.8 B (3)(f) Stewards Disciplinary Action

15.2.3.8 B (3)(a) Stewards Disciplinary Action

15.2.3.8 B (1) (d) Stewards General authority. The stewards have the authority to interpret the rules and to decide all questions of racing not specifically covered by the rules. Whenever the stewards find any person culpable for any act or omission in violation of these regulations or any violation of the Horse Racing Act, the person shall be subject to disciplinary action, which could include a fine, suspension, or revocation/denial of license or any combination of these penalties.

16.47.1.8. T. KNOWLEDGE OF RULES:

16.47.1.3 Statutory Authority 16.47.1.8 (A)(1) License Required

16.47.1.8 (A)(2) License Required

16.47.1.8(N) Last Known Address

By Order of The Downs at Albuquerque Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

Winter Sh

for Walk

Jahn Adams



Ruling #:

2019-DAA-19

Track

Downs at Albuquerque

Ruling Date:

8/4/2019

Licensee:

LUJAN, ERIK J.

License Type:

2016 3 Year Jockey

Applicant Id:

159235

License Number: 284044

Ruling #

2019-DAA-19

Action Type:

Initial Ruling

Ruling:

Jockey Erik Lujan was summoned and appeared before the Board of Stewards on August 3, 2019, for failure to make sufficient effort to maintain his lane while aboard "Magics Dotted Line", in the 9th race on August 2, 2019, at The Downs of Albuquerque Racetrack.

The Board of Stewards hereby fines **Erik Lujan** in the amount of two hundred dollars, (\$200.00). The fine is to be paid on or before August 17, 2019, in accordance with 15.2.3.8 (B)(3)(l). Failure to pay the fine within the time prescribed shall result in immediate suspension.

Licensee is found to be in violation of and this ruling is written in accordance with:

15.2.5.13(E)(3)(d) NMAC: Post to Finish (d) In a straightaway race, every horse must maintain position as nearly as possible in the lane in which it starts. If a horse is ridden, drifts or swerves out of its lane in such a manner that it interferes with, impedes or intimidates another horse, it is a foul and may result in the disqualification of the offending horse.

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal/

By Order of The Downs at Albuquerque Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

Victor Lobar

for walk

Jain Adams



Ruling #:

2019-DAA-20

Track

Downs at Albuquerque

Ruling Date:

8/7/2019

Licensee:

CASTRO, JUAN CARLOS

License Type:

2019 3 Year Owner

Applicant Id:

35575

License Number: 294850

Ruling #

2019-DAA-20

Action Type:

Initial Ruling

Ruling:

Juan Castro was duly notified (security citation) and waived his rights to a 10 day notice and formal hearing before the board of Stewards on August 3, 2019. The hearing was in regards to a parking violation on August 3, 2019, at the Downs of Albuquerque.

Juan Castro is hereby fined twenty five dollars (\$25.00) This fine is to be paid on or before September 5. 2019, in accordance with 15.2.3.8(B)(3)(k) NMAC. Failure to pay the fine within the time prescribed shall result in immediate suspension.

Licensee is found to be in violation of and this ruling is written in accordance with:

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a)Failure to Appeal/

By Order of The Downs at Albuquerque Stewards

John Adams for Wolfen Victor S

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.



Ruling #: 2019-DAA-21

Track Downs at Albuquerque

Ruling Date: 8/7/2019

Licensee: Barraza, Marcelo

License Type: 2018 3 Year Jockey Applicant Id: 160025

License Number: 290854

Ruling # 2019-DAA-21

Action Type: Initial Ruling

Ruling:

Jockey Marcelo Barraza was summoned and appeared before the Board of Stewards on August 7, 2019, for failure to make sufficient effort to maintain his lane while aboard "Quick Fusion", in the 2nd race on August 4, 2019, at The Downs of Albuquerque Racetrack.

The Board of Stewards hereby fines <u>Marcelo Barraza</u> in the amount of <u>three hundred dollars</u>, (\$300.00). The fine is to be paid on or before <u>September 5, 2019</u>, in accordance with <u>15.2.3.8 (B)(3)</u> (<u>k</u>). Failure to pay the fine within the time prescribed shall result in immediate suspension.

Licensee is found to be in violation of and this ruling is written in accordance with:

15.2.5.13(E)(3)(d) NMAC: Post to Finish (d) In a straightaway race, every horse must maintain position as nearly as possible in the lane in which it starts. If a horse is ridden, drifts or swerves out of its lane in such a manner that it interferes with, impedes or intimidates another horse, it is a foul and may result in the disqualification of the offending horse.

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a)Failure to Appeal/

By Order of The Downs at Albuquerque Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

Victor Ench

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Ruling #: 2019-DAA-22

Track Downs at Albuquerque

Ruling Date: 8/9/2019

Licensee: SMITH, JEFFREY LOREN

License Type: 2019 1 Year Assistant S Applicant Id: 22085

License Number: 294008

John Adour

Ruling # 2019-DAA-22

Action Type: Initial Ruling

Ruling:

The <u>Assistant Starter's license</u> issued to <u>Jeff Smith</u> is herby <u>rescinded without prejudice</u> as <u>he is no longer working in this category.</u>

This ruling is in accordance with:

Vinter John

16.47.1.8 (M)(2)Duration Of License Which states in pertinent part "A license is valid only under the condition that the licensee remains eligible to hold such a license.

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 15.2.1.9(B)(9)(a) Failure to Appeal/ 15.2.1.9(B)(9)(b):

By Order of The Downs at Albuquerque Stewards

En Waller

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.



Ruling #: 2019-DAA-23

Track Downs at Albuquerque

Ruling Date: 8/14/2019

Licensee: RODRIGUEZ, JAVIER

License Type: 2018 1 Year Groom Applicant Id: 160486

License Number: 292386

Ruling # 2019-DAA-23

Action Type: Initial Ruling

Ruling:

Groom <u>Javier Rodriguez</u> appeared for a hearing before the board of Stewards on <u>August 11, 2019</u>. The hearing was in regards to a security citation for disorderly conduct in the barn area <u>on July 28, 2019, at the Downs of Albuquerque Racetrack.</u>

The Board of Stewards hereby fines <u>Javier Rodriguez</u> in the amount of <u>one hundred dollars</u> (\$100.00). The fine is to be paid on or before <u>September 9, 2019</u>, in accordance with <u>15.2.3.8(B)(3)</u> (<u>1) NMAC</u>. Failure to pay the fine within the time prescribed will result in immediate suspension.

Licensee is found to be in violation of and this ruling is written in accordance with:

16.47.1.8 (L)(1)(i) – CONDUCT Which states in pertinent part "The Commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued, or order disciplinary measures if the applicant is a person whose conduct or reputation may reflect on the honesty, and integrity of horse racing"

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)
(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Downs at Albuquerque Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #: 2019-DAA-24

Track Downs at Albuquerque

Ruling Date: 8/16/2019

Licensee: CADENA, JR EMILIO

License Type: 2017 3 Year Trainer Applicant Id: 16767

License Number: 287244

Ruling # 2019-DAA-24

Action Type: Initial Ruling

Ruling:

Trainer, Emilio Cadena Jr, is hereby summarily suspended, effective immediately, August 16, 2019 pending the outcome of his hearing scheduled for August 25, 2019, at 10:00 a.m. in the office of the Board of Stewards at the Downs of Albuquerque (145 Louisiana Blvd. NE Albuquerque, NM 87108), per 15.2.1.9 (B)(3)(b) Summary Suspension. The summary suspension is in regard to the confirmed positive of the Class 3 Quarter Horse Penalty A drug, albuterol, found in test sample #E316106 taken from the second place finisher, for the Quarter Horse "Master of the Sky", in the 8th race on July 20, 2019, at the Downs of Albuquerque.

In accordance with 15.2.1.9 (C)(21), Emilio Cadena Jr. is hereby excluded from all areas of the grounds under the jurisdiction of the NMRC. Additionally, effective upon applicable suspension dates, all horses owned, trained, or under the care of Emilio Cadena Jr. are ineligible to be entered or start in any race in this jurisdiction, per 15.2.1.9 (C)(21)(c). All transfers and sales of horses trained, owned, or in the care and custody of Emilio Cadena Jr must be done in accordance with 15.2.6.9A(12)(a)(b) Medication and Prohibited Substances, 16.47.1.10 (D)(5)Assistant Trainers and 15.2.1.9(B)(8)(b) DUE PROCESS AND DISCIPLINARY ACTION.

Licensee is found in violation of and this ruling is written in accordance with:

15.2.6 NMAC Attachment #1 ARCI Uniform Classification of Substances-Version 14.0- January 2019 pg 18- albuterol, Class A Classification for Quarter horses

15.2.6.9(C)(1)(8)(a)(9)(10)(a)(b)(c)(d)Medication Restrictions

15.2.6.9(B)(1)Penalty Recommendations

16.47.1.8 (U)(1)(2) PROTECTION OF HORSES

16.47.1.10(B)(1)(2)Absolute Insurer

16.47.1.8 (L)(1)(i) Grounds for disciplinary measures for a licensee, and refusal, denial, suspension, or revocation of a license

15.2.1.9(B)(3)(a) Proceedings Before The Stewards: Summary Suspension If the Stewards determine that a licensee's action constitute an immediate danger to the public health, safety, or welfare, the Stewards may summarily suspend the licensee pending a hearing.

15.2.1.9(B)(3)(b) Proceedings Before The Stewards: Summary Suspension A licensee whose license has been summarily suspended is entitled to a hearing on the summary suspension not later than the 10th day after the license was summarily suspended. The licensee may waive their right to a hearing on the summary suspension within the 10-day limit

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES/16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Changes In Application Information / 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures

By Order of The Downs at Albuquerque Stewards

Victor Escal

Rowalk



Ruling #: 2019-DAA-25

Track Downs at Albuquerque

Ruling Date: 8/16/2019

Licensee: SOTO, FRANCISCO L

License Type: 2017 3 Year Trainer Applicant Id: 12370

License Number: 287211

Ruling # 2019-DAA-25

Action Type: Initial Ruling

Ruling:

Trainer Francisco Soto, is hereby summarily suspended, effective immediately, August 16, 2019, pending the outcome of his hearing on August 25, 2019, at 10:30 a.m. in the office of the Board of Stewards at the Downs of Albuquerque (145 Louisiana Blvd. NE Albuquerque, NM 87108), per 15.2.1.9 (B)(3)(b) Summary Suspension. The summary suspension was in regard to the confirmed positive of the Drug Class 3 Penalty Class A (Quarter Horses), Controlled Substance, clenbuterol, found in the urine, test sample #E316091 taken from the first place finisher, "Grandmas the Boss", in the 7th race on July 19, 2019, at the Downs of Albuquerque.

In accordance with 15.2.1.9 (C)(21), Francisco Soto is hereby excluded from all areas of the grounds under the jurisdiction of the NMRC. Additionally, effective upon applicable suspension dates, all horses owned, trained, or under the care of Francisco Soto are ineligible to be entered or start in any race in this jurisdiction, per 15.2.1.9 (C)(21)(c). All transfers and sales of horses trained, owned, or in the care and custody of Francisco Soto must be done in accordance with 15.2.6.9A(12)(a)(b) Medication and Prohibited Substances, 16.47.1.10 (D)(5)Assistant Trainers and 15.2.1.9(B)(8)(b) DUE PROCESS AND DISCIPLINARY ACTION.

Licensee is found in violation of and this ruling is written in accordance with:

15.2.6 NMAC Attachment #1 ARCI Uniform Classification of Substances-Version 14.0- January 2019 pg 18- Clenbuterol Class A Classification for Quarter horses

15.2.6.9(C)(8)(a)(9)(10)(a)(b)(c)(d)Medication Restrictions

15.2.6.9(B)(1)Penalty Recommendations

15.2.1.7(C)(8)"Controlled substance" is any substance included in the five classification schedules of the (U.S.) Controlled Substance Act of 1970.

15.2.6.9(C)(4) There is no permissible concentration of clenbuterol that is allowed to appear in any official sample.

16.47.1.8 (U)(1)(2) PROTECTION OF HORSES

16.47.1.10(B)(1)(2)Absolute Insurer

16.47.1.8 (L)(1)(i) Grounds for disciplinary measures for a licensee, and refusal, denial, suspension, or revocation of a license

15.2.1.9(B)(3)(a) Proceedings Before The Stewards: Summary Suspension If the Stewards determine that a licensee's action constitute an immediate danger to the public health, safety, or welfare, the Stewards may summarily suspend the licensee pending a hearing.

15.2.1.9(B)(3)(b) Proceedings Before The Stewards: Summary Suspension A licensee whose license has been summarily suspended is entitled to a hearing on the summary suspension not later than the 10th day after the license was summarily suspended. The licensee may waive their right to a hearing on the summary suspension within the 10-day limit

15,2,1,3(B)(1) COMMISSION GENERAL AUTHORITY/15,2,3.8 B (3)(a)(f) Stewards Disciplinary Action/15,2,3.8 B (1) (a)(c)(d)Stewards General Authority/16,47,1.8 (T) KNOWLEDGE OF RULES /16,47,1,3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Changes In Application Information / 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures

By Order of The Downs at Albuquerque Stewards

Victor Esch

For Walk

John Halen-



Ruling #: 2019-DAA-26

Track Downs at Albuquerque

Ruling Date: 8/16/2019

Licensee: WOODS, KEVEN DEWAYNE

License Type: 2019 3 Year Trainer Applicant Id: 161986

License Number: 293890

Ruling # 2019-DAA-26

Action Type: Initial Ruling

Ruling:

Trainer <u>Keven D. Woods</u> was duly notified and failed to appear before the Board of Stewards, and had <u>his</u> hearing in absentia, in accordance with 15.2.1.9(B)(4)(d)non-appearance on <u>August 14, 2019</u>, regarding an certificate of analysis from <u>Industrial Laborites</u> (official testing laboratory for the <u>NMRC</u>) for a confirmed positive for the Drug Class 3 Penalty B drug "<u>formoterol</u>" detected in the <u>urine</u> of horse "<u>Kid Comeback</u>"(<u>Test Sample E315839</u>), who <u>finished FIRST place in the 7th race on June 29, 2019, at the Downs of Albuquerque Racetrack.</u>

The certificate of analysis from <u>Industrial Laboratories</u> (official testing <u>laboratory for the NMRC</u>) indicated a finding of <u>formoterol at 2.1 ng/ml in the urine</u>, there is no permissible level for formoterol (15.2.6.9(L)(3)(0)MEDICATIONS AND PROHIBITED SUBSTANCES Environmental contaminants and substances of human use and 15.2.6.9 Attachment 2).

Split sample rights where afforded to and declined by the trainer.

Jose Avila, the owner of record for horse "Kid Comeback", failed to appear for this hearing.

This is Kevin D. Woods First Class 3B medication violation in a 365-day period.

For Kevin D. Woods First Class 3B medication violation in a 365-day period, the Board of Stewards hereby assess a penalty, issued in accordance with 15.2.6.9 (B)(2) Penalty Recommendations, as follows:

- 1. A 60 day suspension of all NMRC licenses starting August 14, 2019, through October 12, 2019, inclusive.
- 2. A (\$1,000) one thousand and dollar fine to paid on or before September 12, 2019, in accordance with 15.2.3.8 (B)(3)(K). Failure to pay fine in prescribed time shall result in an immediate suspension.

During said time of applicable suspension <u>Kevin D. Woods</u> is hereby excluded from all areas of the grounds under the jurisdiction of the NMRC, in accordance with <u>15.2.1.9 (C)(21)</u>. Additionally, effective upon applicable suspension dates, all horses <u>trained</u>, <u>owned</u>, <u>or in the care and custody</u> of <u>Kevin D. Woods</u> are ineligible to be entered or start in any race in this jurisdiction, per <u>15.2.1.9 (C)(21)(c)</u>. All transfers and sales of horses <u>trained</u>, <u>owned</u>, <u>or in the care and custody</u> of <u>Kevin D. Woods</u> must be done in accordance with <u>15.2.6.9A(12)(a)(b) Medication and Prohibited</u> <u>Substances</u>, <u>16.47.1.10 (D)(5)Assistant Trainers</u> and <u>15.2.1.9(B)(8)(b) DUE PROCESS AND DISCIPLINARY ACTION</u>.

Licensee is found in violation of and this ruling is written in accordance with:

15.2.3.8(B)(1)(3)(f)(g)(j)Stewards/15.2.5.13(E)(4)(d) Running of the Race/15.2.6.9 MEDICATIONS AND PROHIBITED SUBSTANCES/15.2.6.9(L)(3)(o) MEDICATIONS AND PROHIBITED SUBSTANCES Environmental contaminants and substances of human use/15.2.5.14 D. 7 Protests, Objection and Inquiries/15.2.6.9(C)(1)(8)(c) Medication Restrictions/15.2.6.11 Trainer Responsibility/16.47.1.8(T)Knowledge of the Rules/16.47.1.8(U) Protection of Horses/16.47.1.10(B)Absolute Insurer/15.2.6.9(B)Penalty Recommendation/

By Order of The Downs at Albuquerque Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2019-DAA-27

Track

Downs at Albuquerque

Ruling Date:

8/16/2019

Licensee:

AVILA, JOSE

License Type: 2019 1 Year Owner

Applicant Id: 162057

License Number: 294187

Ruling #

2019-DAA-27

Action Type:

Initial Ruling

Ruling:

Owner Jose Avila was duly notified and failed to appear before the Board of Stewards, and had his hearing in absentia, in accordance with 15.2.1.9(B) (4)(d)non-appearance on August 14, 2019, regarding an certificate of analysis from Industrial Laborites (official testing laboratory for the NMRC) for a confirmed positive for the Drug Class 3 Penalty B drug "formoterol" detected in the urine of horse "Kid Comeback" (Test Sample E315839), who finished FIRST place in the 7th race on June 29, 2019, at the Downs of Albuquerque Racetrack,

The certificate of analysis from Industrial Laboratories (official testing laboratory for the NMRC) indicated a finding of formoterol at 2.1 ng/ml in the urine, there is no permissible level for formoterol (15.2.6.9(L)(3)(o)MEDICATIONS AND PROHIBITED SUBSTANCES Environmental contaminants and substances of human use and 15.2.6.9 Attachment 2).

Split sample rights where afforded to and declined by the trainer.

Kevin D. Woods, the trainer of record for horse "Kid Comeback", failed to appear for this hearing.

This is Jose Avila's First Class 3B medication violation in a 365-day period.

For Jose Avila's First Class 3B medication violation in a 365-day period, the Board of Stewards hereby assess a penalty, issued in accordance with 15.2.6.9 (B)(2) Penalty Recommendations, as follows:

- 1. Pursuant to rules 15.2.3.8 (B)(9)(a)(e)Stewards List, 15.2.6.9(C)(8)(c) Positive Test Report, 15.2.6.9(C) (9) Positive Test List, 15.2.6.9(C)(10)(a-d)List Removal Requirements, the horse "Kid Comeback" is placed on the Stewards list for a period of 60 days (beginning July 19, 2019). During this period, the papers are to be removed from the racing office and horse is denied entry until he passes a commission approved veterinary examination with a negative blood and urine test.
- 2. The horse "Kid Comeback" is hereby disqualified from FIRST PLACE and ordered unplaced from the 7th race on June 29, 2019, at the Downs of Albuquerque for all but pari-mutuel wagering. The purse redistribution is as follows.
 - 1. Daddys Rare Cartel
 - 2. Pilotpointdashing
 - 3. Dm Schicago Fireball
 - 4. Don't Get No Betta
 - 5. Political Pop Pop
 - 6. Sweet Maggie Mae
 - 7. Magics Dotted Line
 - 8. Secret Chick

Unplaced Kid Comeback

Licensee is found in violation of and this ruling is written in accordance with:

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15.2.3.8(B)(1)(3)(f)(g)(j)Stewards/ 15.2.5.13(E)(4)(d) Running of the Race/ 15.2.6.9 MEDICATIONS AND PROHIBITED SUBSTANCES/ 15.2.6.9(L)(3)(o) MEDICATIONS AND PROHIBITED SUBSTANCES Environmental contaminants and substances of human use/15.2.6.9(C) (1)(8)(c) Medication Restrictions/ 15.2.6.11 Trainer Responsibility/ 16.47.1.8(T) Knowledge of the Rules/ 16.47.1.8(U) Protection of Horses/ 16.47.1.10(B) Absolute Insurer/15.2.6.9(B) Penalty Recommendation/ Appeal Procedures An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check.

By Order of The Downs at Albuquerque Stewards

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Ruling #:

2019-DAA-28

Track

Downs at Albuquerque

Ruling Date:

8/17/2019

Licensee:

BELTRAN, STEPHANIE LYNN

License Type:

2016 3 Year Trainer

Applicant Id:

157824

License Number: 282966

Ruling #

2019-DAA-28

Action Type:

Initial Ruling

Ruling:

Trainer, Stephanie L. Beltran, is hereby summarily suspended, effective immediately, August 17, 2019 pending the outcome of her summary suspension hearing scheduled for August 25, 2019, at 9:00 a.m. in the office of the Board of Stewards at the Downs of Albuquerque (145 Louisiana Blvd. NE Albuquerque. NM 87108), per 15.2.1.9 (B)(3)(b) Summary Suspension. The summary suspension is in regard to the confirmed positive of the Class 3 Quarter Horse Penalty A drug, albuterol, found in test sample #E317290 taken from the first place finisher, for the Quarter Horse "Curlys Fast", in the 6th race on August 2, 2019, at the Downs of Albuquerque.

In accordance with 15.2.1.9 (C)(21), Stephanie L. Beltran, is hereby excluded from all areas of the grounds under the jurisdiction of the NMRC. Additionally, effective upon applicable suspension dates, all horses owned, trained, or under the care of Stephanie L. Beltran are ineligible to be entered or start in any race in this jurisdiction, per 15.2.1.9 (C)(21)(c). All transfers and sales of horses trained, owned, or in the care and custody of Stephanie L. Beltran must be done in accordance with 15.2.6.9A(12)(a)(b) Medication and Prohibited Substances, 16.47.1.10 (D)(5) Assistant Trainers and 15.2.1.9(B)(8)(b) DUE PROCESS AND **DISCIPLINARY ACTION.**

<u>Licensee is found in violation of and this ruling is written in accordance with:</u>

15.2.6 NMAC Attachment #1 ARCI Uniform Classification of Substances-Version 14.0- January 2019 pg 18- albuterol, Class A Classification for Quarter horses

15.2.6.9(C)(1)(8)(a)(9)(10)(a)(b)(c)(d) Medication Restrictions

15.2.6.9(B)(1)Penalty Recommendations

16.47.1.8 (U)(1)(2) PROTECTION OF HORSES

16.47.1.10(B)(1)(2)Absolute Insurer

16.47.1.8 (L)(1)(i) Grounds for disciplinary measures for a licensee, and refusal, denial, suspension, or revocation of a license

15.2.1.9(B)(3)(a) Proceedings Before The Stewards: Summary Suspension If the Stewards determine that a licensee's action constitute an immediate danger to the public health, safety, or welfare, the Stewards may summarily suspend the licensee pending a hearing.

15.2.1.9(B)(3)(b) Proceedings Before The Stewards: Summary Suspension A licensee whose license has been summarily suspended is entitled to a hearing on the summary suspension not later than the 10th day after the license was summarily suspended. The licensee may waive their right to a hearing on the summary suspension within the 10-day limit.

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Changes In Application Information / 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures

By Order of The Downs at Albuquerque Stewards

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Ruling #:

2019-DAA-29

Track

Downs at Albuquerque

Ruling Date:

8/17/2019

Licensee:

MARQUEZ, KRISTIAN

License Type:

2016 3 Year Trainer

Applicant Id: 19892

License Number: 282648

Ruling #

2019-DAA-29

Action Type:

Initial Ruling

Ruling:

Trainer Kristian Marquez, is hereby summarily suspended, effective immediately, August 17, 2019, pending the outcome of his summary suspension hearing on August 25, 2019, at 9:30 a.m. in the office of the Board of Stewards at the Downs of Albuquerque (145 Louisiana Blvd. NE Albuquerque, NM 87108), per 15.2.1.9 (B)(3)(b) Summary Suspension. The summary suspension was in regard to the confirmed positive of the Drug Class 3 Penalty Class A (Quarter Horses), Controlled Substance, clenbuterol, found in the urine, test sample #E317291 taken from the second place finisher, "Bar Three T", in the 6th race on August 2, 2019, at the Downs of Albuquerque.

In accordance with 15.2.1.9 (C)(21), Kristian Marquez is hereby excluded from all areas of the grounds under the jurisdiction of the NMRC. Additionally, effective upon applicable suspension dates, all horses owned, trained, or under the care of Kristian Marquez are ineligible to be entered or start in any race in this jurisdiction, per 15.2.1.9 (C)(21)(c). All transfers and sales of horses trained, owned, or in the care and custody of Kristian Marquez must be done in accordance with 15.2.6.9A(12)(a)(b) Medication and Prohibited Substances, 16.47.1.10 (D)(5)Assistant Trainers and 15.2.1.9(B)(8)(b) DUE PROCESS AND DISCIPLINARY ACTION.

Licensee is found in violation of and this ruling is written in accordance with:

15.2.6 NMAC Attachment #1 ARCI Uniform Classification of Substances-Version 14.0- January 2019 pg 18- Clenbuterol Class A Classification for Quarter horses

15.2.6.9(C)(8)(a)(9)(10)(a)(b)(c)(d) Medication Restrictions

15.2.6.9(B)(1)Penalty Recommendations

15.2.1.7(C)(8)"Controlled substance" is any substance included in the five classification schedules of the (U.S.) Controlled Substance Act of 1970.

15.2.6.9(C)(4) There is no permissible concentration of clenbuterol that is allowed to appear in any official sample.

16.47.1.8 (U)(1)(2) PROTECTION OF HORSES

16.47.1.10(B)(1)(2)Absolute Insurer

16.47.1.8 (L)(1)(i) Grounds for disciplinary measures for a licensee, and refusal, denial, suspension, or revocation of a license

15.2.1.9(B)(3)(a) Proceedings Before The Stewards: Summary Suspension If the Stewards determine that a licensee's action constitute an immediate danger to the public health, safety, or welfare, the Stewards may summarily suspend the licensee pending a hearing.

15.2.1.9(B)(3)(b) Proceedings Before The Stewards: Summary Suspension A licensee whose license has been summarily suspended is entitled to a hearing on the summary suspension not later than the 10th day after the license was summarily suspended. The licensee may waive their right to a hearing on the summary suspension within the 10-day limit

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Changes In Application Information / 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures

By Order of The Downs at Albuquerque Stewards

Victor Each Voulla



Ruling #: 2019-DAA-30

Track Downs at Albuquerque

Ruling Date: 8/21/2019

Licensee: CARRILLO, ARNOLDO

License Type: 2016 3 Year Trainer Applicant Id: 28913

License Number: 283562

Ruling # 2019-DAA-30

Action Type: Initial Ruling

Ruling:

Trainer **Arnoldo Carrillo** was duly notified and waived <u>his</u> right to a 10 day notice and disciplinary hearing before the Board of Stewards on <u>August 18, 2019</u>, regarding a certificate of analysis from <u>Industrial Laboratories</u> (official testing laboratory for the NMRC). The certificate of analysis was for a confirmed positive for the therapeutic drug, Phenylbutazone (class 4 penalty category C MINOR drug) found in test sample <u>E317336</u> taken from the <u>Second place finisher</u> "<u>Dashin Special Cuz</u>" following <u>the 5th race</u>, on <u>August 4, 2019</u>.

The <u>certificate of analysis</u> indicated a finding of Phenylbutazone at 2.6 ± 0.4 micrograms per milliliter in the blood, which exceeds the allowable limit of 2.0 micrograms per milliliter in the blood. Split sample rights as provided in 15.2.6.10 (D) NMAC, were afforded to and declined by **Arnoldo Carrillo**.

Orlando Villa-Barraza, is the owner of record for the horse "Dashin Special Cuz",

For **Arnoldo Carrillo's <u>FIRST</u>** Class 4C MINOR medication violation in a 365-day period, the Board of Stewards hereby issues a <u>(\$250) Two Hundred and Fifty dollar fine</u> to be paid on or before <u>September 16, 2019</u>, in accordance with 15.2.3.8 (B)(3)(K) NMAC. Failure to pay the fine in the prescribed time will result in an immediate suspension.

This ruling is in violation of and written in accordance with:

15.2.6.9(C)(1-2-3) Prima Facie Evidence/15.2.6.9 (C)(3) PENALTY RECOMMENDATIONS 15.2.6.9 A (4)UNIFORM CLASSIFICATION GUIDELINES Trainer

Responsibility/16.47.1.10(B)(1) NMAC: Absolute Insurer /15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/15.2.1.9(B)(9)(a)Failure to Appeal/15.2.1.9(B)(9)(b)

By Order of The Downs at Albuquerque Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2019-DAA-31

Track

Downs at Albuquerque

Ruling Date:

8/21/2019

Licensee:

VILLA-BARRAZA, ORLANDO SEVERO

License Type:

2019 3 Year Owner

Applicant Id:

158906

License Number: 295167

Ruling #

2019-DAA-31

Action Type:

Initial Ruling

Ruling:

Owner Orlando Villa-Barraza was duly notified and waived his right to a 10 day notice and disciplinary hearing before the Board of Stewards on August 18, 2019, regarding a certificate of analysis from Industrial Laboratories (official testing laboratory for the NMRC). The certificate of analysis was for a confirmed positive for the therapeutic drug, Phenylbutazone (class 4 penalty category C MINOR drug) found in test sample E317336 taken from the Second place finisher "Dashin Special Cuz" following the 5th race, on August 4, 2019.

The <u>certificate of analysis</u> indicated a finding of Phenylbutazone at 2.6 ± 0.4 micrograms per milliliter in the blood, which exceeds the allowable limit of 2.0 micrograms per milliliter in the blood. Split sample rights as provided in 15.2.6.10 (D) NMAC, were afforded to and declined by trainer of record Arnoldo Carrillo.

Arnoldo Carrillo, is the trainer of record for the horse "Dashin Special Cuz",

For Orlando Villa-Barraza's FIRST Class 4C MINOR medication violation in a 365-day period, the Board of Stewards hereby issues a penalty as follows.

> 1. The horse **Dashin Special Cuz** must pass a commission approved examination before being eligible to run.

This ruling is in violation of and written in accordance with:

15.2.6.9(C)(1-2-3) Prima Facie Evidence/15.2.6.9 (C)(3) PENALTY RECOMMENDATIONS 15.2.6.9 A (4)UNIFORM CLASSIFICATION GUIDELINES 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c) (d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a) Failure to Appeal/ 15.2.1.9(B)(9)(b)

By Order of The Downs at Albuquerque Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #: 2019-DAA-32

Track Downs at Albuquerque

Ruling Date: 8/21/2019

Licensee: RUIZ-AMAYA, DANIEL ROBERTO

License Type: 2018 1 Year Jockey Applicant Id: 33287

License Number: 292102

Ruling # 2019-DAA-32

Action Type: Initial Ruling

Ruling:

<u>Jockey Daniel Amaya</u> was summoned and failed to appear or send a jockey guild's representative for film review on Sunday August 18, 2019, regarding the <u>7th race on August 17, 2019, at the Downs of Albuquerque Racetrack.</u>

The Board of Stewards hereby fines <u>Daniel Amaya</u> in the amount of <u>one hundred dollars</u> (\$100.00). The fine is to be paid on or before <u>September 16, 2019</u> in accordance with 15.2.3.8(B)(3)(k). Failure to pay the fine within the time prescribed shall result in immediate suspension.

Licensee is found in violation of and this ruling is written in accordance with:

15.2.1.9(B)(4)(d) Nonappearance Of A Summoned Party Nonappearance of a summoned party after adequate notice shall be construed as a waiver of the right to a hearing before the board of stewards.15.2.1.9(B)(4)(A) Notice Of Hearing- Jockey
15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/ 15.2.3.8 B (1) (a)(c)(d)Stewards General Authority /16.47.1.8 (T) KNOWLEDGE OF RULES/16.47.1.3 Statutory Authority
16.47.1.8 (A)(1)(2) License Required/ 16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a)Failure to Appeal/

By Order of The Downs at Albuquerque Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #: 2019-DAA-33

Track Downs at Albuquerque

Ruling Date: 8/21/2019

Licensee: ITURRALDE, OMAR ANTONIO

License Type: 2018 3 Year Jockey Applicant Id: 159020

License Number: 288708

John Adams

Ruling # 2019-DAA-33

Whater Like

Action Type: In

Initial Ruling

Ruling:

Jockey Omar Iturralde was duly notified and appeared for a hearing before the Board of Stewards on August 18, 2019 for careless riding during the running of the 4th race on August 17, 2019, at the Downs of Albuquerque, while aboard "Temptin Senorita" which resulted in his mount being disqualified from 3rd place to last place. Aggravating Factors: (Drifted out throughout the race impeding the running lane of several outside horses causing a horse to clip heels and go down.)

The Board of Stewards hereby suspends **Omar Iturralde** for a period of **11 calendar days (August 26, 2019, through September 5, 2019)**. **Omar Iturralde** is **NOT allowed** to ride designated races.

Licensee is found to be in violation of and this ruling is written in accordance with:

15.2.5.13(E)(2)(a) Post to Finish A jockey shall not ride carelessly or willfully so as to permit his/her mount to interfere with, impede or intimidate any other horse in the race."

15.2.5.13(E)(3)(d) Post to Finish In a straightaway race, every horse must maintain position as nearly as possible in the lane in which it starts. If a horse is ridden, drifts or swerves out of its lane in such a manner that it interferes with, impedes or intimidates another horse, it is a foul and may result in the disqualification of the offending horse.15.2.5.13(E)(3)(c) NMAC: Post to Finish 15.2.5.13(E)(3)(d) NMAC: Post to Finish 15.2.5.13(E)(4)(a) NMAC Disqualification./15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys/15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority /16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a)Failure to Appeal/

By Order of The Downs at Albuquerque Stewards

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Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.