

Ruling #:

2017-RUI-126

Track

Ruidoso Downs

Ruling Date:

8/18/2017

Licensee:

CROWELL, ROBERT VAN

License Type:

Applicant Id:

34180

License Number:

Ruling #

2017-RUI-126

Action Type:

Initial Ruling

Ruling:

issued in error

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2017-RUI-127

Track

Ruidoso Downs

Ruling Date:

8/18/2017

Licensee:

CROWELL, ROBERT VAN

License Type:

2017 3 Year Trainer

Applicant Id:

6982

License Number:

285857

Ruling #

2017-RUI-127

Action Type:

Initial Ruling

Ruling:

<u>Trainer Robert Van Crowell</u> having been duly notified and having telephonically waived his rights to a 10 day notice and formal hearing before the Board of Stewards on <u>August 13, 2017</u>, for entering the ineligible horse <u>"JACQUILL"</u>, which resulted in a scratch from the <u>8th race on July 28, 2017</u>, at <u>Ruidoso Downs Racetrack</u>.

For this violation, the Board of Stewards assess <u>Robert Van Crowell</u> a fine in the amount of <u>two hundred</u> <u>dollars, (\$200.00)</u> The fine is to be paid on or before <u>September 18, 2017</u>, in accordance with 15.2.3.8 (B)(3) (l). Failure to pay the fine within the time prescribed may result in immediate suspension.

Licensee is found to be in violation of:

16.47.1.10 (C) (18) Trainers are responsible for horses entered as to eligibility and weight or other allowances claimed.

15.2.5.12(V) Ineligible horse A horse shall be ineligible to start in a race when it does not meet the eligibility conditions of the race.

This ruling is written in accordance with:

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a)Failure to Appeal/ 15.2.1.9(B)(9)(b)

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2017-RUI-128

Track

Ruidoso Downs

Ruling Date:

8/18/2017

Licensee:

CUEVAS-LODOZA, GABRIEL

License Type:

2017 1 Year Groom

Applicant Id:

158571

License Number:

286347

Ruling #

2017-RUI-128

Action Type:

Initial Ruling

Ruling:

Groom <u>Gabriel Cuevas-Lodoza</u>, is hereby summarily suspended for his involvement in an altercation (stabbing) in the barn area at Ruidoso Downs on <u>August 12, 2017</u>. Furthermore, <u>Gabriel Cuevas-Lodoza was excluded from Ruidoso Downs</u> for this incident.

For this violation, the Board of Stewards issue Gabriel Cuevas-Lodoza the following penalty: A summary suspension of any/all New Mexico Racing Commission licenses, effective immediately <u>August</u> 18, 2017.

During said time of applicable suspension <u>Gabriel Cuevas-Lodoza</u> is hereby excluded from all areas of the grounds under the jurisdiction of the NMSRC pending the outcome of his hearing on <u>August 24, 2017 @ 12:00 p.m.</u> in the offices of the <u>Board of Stewards at Ruidoso Downs (26225 US-70, Ruidoso NM 88346)</u> per <u>15.2.1.9 (B)(3)(b) Summary Suspension and 15.2.1.9 (C)(21)(a-b) Exclusion.</u>

Licensee is found in violation of:

15.2.1.9(B)(3)(a) Summary Suspension Which states in pertinent part "If the Stewards determine that a licensee's action constitute an immediate danger to the public health, safety, or welfare, the Stewards may summarily suspend the licensee pending a hearing".

15.2.2.8(V)(1) EJECTION AND EXCLUSION An association shall immediately eject from the association grounds a person who is subject to such an exclusion order of the commission or stewards and notify the commission of the ejection.

15.2.2.8(V)(2) EJECTION AND EXCLUSION An association may eject or exclude a person for any lawful reason. An association shall immediately notify the stewards and the commission in writing of any person ejected or excluded by the association and the reasons for the ejection or exclusion.

This ruling is written in accordance:

15.2.1.9 (B)(3)(b) NMAC. Summary Suspension

15.2.3.8 B (3)(f) Stewards Disciplinary Action

16.47.1.8 (L)(1)(i) - Conduct Which states in pertinent part "The Commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued, or order disciplinary measures if the applicant is a person whose conduct or reputation may reflect on the honesty, and integrity of horse racing"

15.2.3.8 B (3)(A) Stewards Disciplinary Action stewards shall take notice of alleged misconduct or rule violations and initiate investigations into the matters. The stewards shall have authority to charge any licensee for a violation of these rules, to conduct hearings and to impose disciplinary action in accordance with these rules.

16.47.1.8. T. KNOWLEDGE OF RULES:

16.47.1.3 Statutory Authority

16.47.1.8 (A)(1) License Required

16.47.1.8 (A)(2) License Required

16.47.1.8(N) Last Known Address

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Ruling #:

2017-RUI-129

Track

Ruidoso Downs

Ruling Date:

8/18/2017

Licensee:

ESCOBAR, VICTOR

License Type:

2016 3 Year Jockey

Applicant Id: 25459

License Number: 282813

Ruling #

2017-RUI-129

Action Type:

Initial Ruling

Ruling:

Jockey Victor Escobar, having been summoned and having been represented by jockey guild rep "Ricardo Ramirez" before the Board of Stewards on <u>August 13, 2017</u>, for failure to make sufficient effort to control his mount "<u>Domes Gallop Girl</u>" going into the far turn during the running of the <u>7th race on August 12, 2017</u>, at Ruidoso Downs.

The Board of Stewards hereby assess, <u>Victor Escobar.</u>, a fine in the amount of <u>two hundred dollars</u>, <u>(\$200.00)</u>. This fine is to be paid on or before <u>September 17, 2017</u>, in accordance with 15.2.3.8 (B)(3)(l) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension.

Licensee is found in violation of:

15.2.5.13(E)(2)(a) NMAC: Post to Finish Which states in pertinent part that "A jockey shall not ride carelessly or willfully so as to permit his/her mount to interfere with, impede or intimidate any other horse in the race."

15.2.5.13(E)(3)(a) NMAC: Which states in pertinent part that "When the way is clear in a race, a horse may be ridden to any part of the course, but if any horse swerves, or is ridden to either side, so as to interfere with, impede, or intimidate any other horse, it is a foul."

This ruling is written in accordance with:

15.2.5.13(E)(3)(c) NMAC: Post to Finish Which states in pertinent part that "If the Stewards determine the foul was intentional, or due to careless riding, they may fine or suspend the guilty jockey."

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2017-RUI-130

Track

Ruidoso Downs

Ruling Date:

8/18/2017

Licensee:

HERNANDEZ, OSCAR ORTIZ

License Type:

Applicant Id:

33652

License Number:

Ruling #

2017-RUI-130

Action Type:

Initial Ruling

Ruling:

Jockey, <u>Oscar Hernandez</u>, having been summoned <u>and having appeared</u> before Board of Stewards <u>on August 13, 2017</u>, for careless riding during the running of the <u>9th race on August 12, 2017</u>, at <u>Ruidoso Downs</u>, while <u>aboard "Southrn Temptation" which resulted in his mount being</u> <u>disqualified from third place to tenth place</u>. (drifted out awayfrom gate forcing the #5 and #6 out into the path of the #7 causing him to clip heels and stumble.)

The Board of Stewards hereby assess <u>Oscar Hernandez</u> a suspension of <u>3 race days (August 20,25,26, 2017)</u> Oscar Hernandez is allowed to ride designated races.

Licensee is found in violation of:

15.2.5.13(E)(2)(a) Post to Finish Which states in pertinent part that "A jockey shall not ride carelessly or willfully so as to permit his/her mount to interfere with, impede or intimidate any other horse in the race."

15.2.5.13(E)(3)(a) Thoroughbred Races When the way is clear in a race, a horse may be ridden to any part of the course, but if any horse swerves, or is ridden to either side, so as to interfere with, impede, or intimidate any other horse, it is a foul."

This ruling is written in accordance with:

15.2.5.13(E)(3)(c) NMAC: Post to Finish Which states in pertinent part that "If the Stewards determine the foul was intentional, or due to careless riding, they may fine or suspend the guilty jockey."

15.2.5.13(E)(3)(d) NMAC: Post to Finish The offending horse may be disqualified, if in the opinion of the stewards, the foul altered the finish of the race, regardless of whether the foul was accidental, willful or the result of careless riding.

15.2.5.13(E)(4)(a) NMAC Disqualification. When the stewards determine that a horse shall be disqualified for interference, they may place the offending horse behind such horse as in their judgment it interfered with, or they may place it last

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY

15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action

15.2.3.8 B (1) (a)(c)(d)Stewards General Authority

16.47.1.8 (T) KNOWLEDGE OF RULES

16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required

16.47.1.8(N) Last Known Address

16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures

15.2.1.9(B)(9)(a)Failure to Appeal

15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

This ruling is written in accordance with:

15.2.5.13(E)(3)(c) Post to Finish/15.2.5.13(E)(3)(d) Post to Finish/15.2.5.13(E)(4)(a) Disqualification/ 15.2.1.8(B)(1) COMMISSION GENERAL

KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

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Ruling #:

2017-RUI-131

Track

Ruidoso Downs

Ruling Date:

8/19/2017

Licensee:

CARDENAS, CHRISTIAN LUIS

License Type:

2017 1 Year Exercise P Applicant Id:

35458

License Number: 286808

Ruling #

2017-RUI-131

Action Type:

Initial Ruling

Ruling:

Exercise Rider Christian Cardenas, having appeared before the Board of Stewards on August 19, 2017, at Ruidoso Downs for being in procession of items to make an electrical device and a needle found in his truck during a compliance search by the investigator in the stable area on August 19, 2017, at Ruidoso Downs.

For this violation, the Board of Stewards issue Christian Cardenas the following penalty: A summary suspension of any/all New Mexico Racing Commission licenses, effective August 19, 2017, pending the outcome of his hearing on August 24, 2017 @1:00 p.m. in the offices of the Board of Stewards at Ruidoso Downs (26225 US-70, Ruidoso NM 88346) per 15.2.1.9 (B)(3)(b) Summary Suspension and 15.2.1.9 (C)(21)(a-b) Exclusion.

During said time of applicable suspension **Christian Cardenas** is hereby excluded from all areas of the grounds under the jurisdiction of the NMSRC, per 15.2.1.9 (C)(21).

Licensee is found to be in violation of:

15.2.1.9(B)(3)(a): Which states in pertinent part "If the Stewards determine that a licensee's action constitute an immediate danger to the public health, safety, or welfare, the Stewards may summarily suspend the licensee pending a hearing".

15.2.5.13 (E)(6)(c). Which states in pertinent part "No electrical or mechanical device or other expedient designed to increase or retard the speed of a horse, other than the riding crop approved by the Stewards, shall be possessed by anyone, or applied by anyone to a horse at any time on the grounds of the Association during the meeting, whether in a race or otherwise"

16.47.1.8 (E): Which states in pertinent part "By acceptance of a license, a licensee consents to search and inspection by the Commission or its agents, and to the seizure of any prohibited medication, drugs, paraphernalia, or devices in accordance with State and Federal law".

16.47.1.8 (L)(1)(i) - Which states in pertinent part "The Commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued, or order disciplinary measures if the applicant is a person whose conduct or reputation may reflect on the honesty, and integrity of horse racing" 15.2.6.8 (B)(3) TREATMENT RESTRICTIONS: No person other than a veterinarian licensed to practice veterinary medicine in this jurisdiction and licensed by the commission, or a veterinary assistant licensed by the commission acting under the direct supervision of a licensed veterinarian, shall possess on any location under the jurisdiction of the commission any of the following unless approved by the commission(a) any drug which is a narcotic, stimulant, or depressant, or any other substance or medication that has been prepared or packaged for injection by a hypodermic syringe, or hypodermic needle; 15.2.6 NMAC 2 (b) any hypodermic syringe, hypodermic needle or any equipment associated with the aid of intravenous administration.

15.2.6.8(H)(1) Medical Labeling No person on association grounds where horses are lodged or kept, excluding veterinarians licensed by the commission, shall have in or upon association grounds which that person occupies or has the right to occupy, or in that person's personal property or effect or vehicle in that person's care, custody or control, a drug, medication, chemical, foreign substance or other substance that is prohibited in a horse on a race day unless the product is labeled in accordance with this subsection

This Ruling is written in accordance with

15.2.3.8 B (3)(f) Stewards Disciplinary Action/ 15.2.3.8 B (3)(a) Stewards Disciplinary Action/15.2.3.8 B (1) (d) Stewards General Authority /16.47.1.8. T. KNOWLEDGE OF RULES: /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1) License Required /16.47.1.8 (A)(2) License Required/16.47.1.8(N) Last Known Address

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Ruling #:

2017-RUI-132

Track

Ruidoso Downs

Ruling Date:

8/19/2017

Licensee:

PARGA-LEOS, JAIME

License Type:

2017 3 Year Jockey

Applicant Id:

30593

License Number:

284865

Ruling #

2017-RUI-132

Action Type:

Initial Ruling

Ruling:

<u>Jockey Jaime Parga Leos</u>, is hereby summarily suspended for <u>refusing to submit to a drug test as requested by The New Mexico Racing Commission State Investigator</u> on <u>August 18, 2017</u>.

For this violation, the Board of Stewards issue Jaime Parga Leos the following penalty:

A summary suspension of any/all New Mexico Racing Commission licenses, effective immediately <u>August 19, 2017</u>, pending the outcome of <u>his hearing August 24, 2017 @1:30 p.m.</u> in the offices of the <u>Board of Stewards at Ruidoso Downs (26225 US-70, Ruidoso NM 88346)</u> per <u>15.2.1.9 (B)(3)</u> (b) Summary Suspension.

During said time of applicable suspension <u>Jaime Parga Leos</u> is hereby excluded from all areas of the grounds under the jurisdiction of the NMSRC, per<u>15.2.1.9 (C)(21)(a-b) Exclusion</u>.

Licensee is found in violation of:

15.2.1.9(B)(3)(a) Summary Suspension Which states in pertinent part "If the Stewards determine that a licensee's action constitute an immediate danger to the public health, safety, or welfare, the Stewards may summarily suspend the licensee pending a hearing".

16.47.1.17 (C)(6) Human Drug Testing/ Restricted Activities It shall be an offense to exercise the privileges granted by a license from this commission if the licensee: refuses to submit to urine or drug testing, when notified that such testing is based on a random drug testing procedure, is based on reasonable suspicion that the person is using drugs or alcohol or is based on the licensee's acting as if in an impaired condition.

This ruling is written in accordance:

15.2.1.9 (B)(3)(b) Summary Suspension

15.2.3.8 B (3)(f) Stewards Disciplinary Action

16.47.1.8 (L)(1)(i) – Conduct Which states in pertinent part "The Commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued, or order disciplinary measures if the applicant is a person whose conduct or reputation may reflect on the honesty, and integrity of horse racing"

15.2.3.8 B (3)(A) Stewards Disciplinary Action stewards shall take notice of alleged misconduct or rule violations and initiate investigations into the matters. The stewards shall have authority to charge any licensee for a violation of these rules, to conduct hearings and to impose disciplinary action in accordance with these rules.

16.47.1.8. T. KNOWLEDGE OF RULES

16.47.1.3 Statutory Authority

16.47.1.8 (A)(1) License Required

16.47.1.8 (A)(2) License Required

16.47.1.8(N) Last Known Address

By Order of The Ruidoso Downs Stewards

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Ruling #:

2017-RUI-133

Track

Ruidoso Downs

Ruling Date:

8/19/2017

Licensee:

ZUBIATE, JOSE C.

License Type:

2017 1 Year Assistant S Applicant Id:

32370

License Number:

285169

Ruling #

2017-RUI-133

Action Type:

Initial Ruling

Ruling:

Assistant Starter <u>Jose Zubiate</u>, having been duly notified and failing to appear (<u>15.2.1.9(B)(4)(d)non-appearance</u>) before the Board of Stewards on <u>August 19, 2017, at Ruidoso Downs</u> for being in procession of <u>prohibited injectable items (needle and injectable bottle) found in his truck during a compliance search by the investigator on the grounds of Ruidoso Downs on August 18, 2017.</u>

The Board of Stewards issue **Jose Zubiate** the following penalty:

A summary suspension of any/all New Mexico Racing Commission licenses, effective <u>August 19</u>, <u>2017,per 15.2.1.9 (C)(21)</u>, pending the outcome of <u>his hearing on August 24</u>, <u>2017 @2:30 a.m.</u> in the offices of the <u>Board of Stewards at Ruidoso Downs (26225 US-70, Ruidoso NM 88346)</u> per 15.2.1.9 (B)(3)(b) Summary Suspension.

During said time of applicable suspension <u>Jose Zubiate</u> is hereby excluded from all areas of the grounds under the jurisdiction of the NMSRC, <u>per 15.2.1.9 (C)(21)(a-b) Exclusion.</u>

Licensee is found to be in violation of:

15.2.1.9(B)(3)(a) Summary Suspension Which states in pertinent part "If the Stewards determine that a licensee's action constitute an immediate danger to the public health, safety, or welfare, the Stewards may summarily suspend the licensee pending a hearing".

15.2.6.8 (B)(3)(a) TREATMENT RESTRICTIONS: No person other than a veterinarian licensed to practice veterinary medicine in this jurisdiction and licensed by the commission, or a veterinary assistant licensed by the commission acting under the direct supervision of a licensed veterinarian, shall possess on any location under the jurisdiction of the commission any of the following unless approved by the commission(a) any drug which is a narcotic, stimulant, or depressant, or any other substance or medication that has been prepared or packaged for injection by a hypodermic syringe, or hypodermic needle;

15.2.6.8 (B)(3)(b) TREATMENT RESTRICTIONS any hypodermic syringe, hypodermic needle or any equipment associated with the aid of intravenous administration.

15.2.6.8(H)(1) Medical Labeling No person on association grounds where horses are lodged or kept, excluding veterinarians licensed by the commission, shall have in or upon association grounds which that person occupies or has the right to occupy, or in that person's personal property or effect or vehicle in that person's care, custody or control, a drug, medication, chemical, foreign substance or other substance that is prohibited in a horse on a race day unless the product is labeled in accordance with this subsection

This ruling is written in accordance with:

16.47.1.8 (L)(1)(i) – Conduct Which states in pertinent part "The Commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued, or order disciplinary measures if the applicant is a person whose conduct or reputation may reflect on the honesty, and integrity of horse racing"

16.47.1.8 (E): Agree to search and seizure Which states in pertinent part "By acceptance of a license, a licensee consents to search and inspection by the Commission or its agents, and to the seizure of any prohibited medication, drugs, paraphernalia, or devices in accordance with State and Federal law"

15.2.3.8 B (3)(f) Stewards Disciplinary Action 15.2.3.8 B (3)(a) Stewards Disciplinary Action 15.2.3.8 B (1) (d) Stewards General Authority 16.47.1.8. T. KNOWLEDGE OF RULES: 16.47.1.3 Statutory Authority

16.47.1.3 Statutory Authority
16.47.1.8 (A)(1) License Required

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Ruling #:

2017-RUI-134

Track

Ruidoso Downs

Ruling Date:

8/19/2017

Licensee:

SAUCEDO, RENE

License Type:

2016 3 Year Trainer

Applicant Id: 23294

License Number: 282497

Ruling #

2017-RUI-134

Action Type:

Initial Ruling

Ruling:

Trainer <u>RENE SAUCEDO</u>, having been duly notified and failing to appear (<u>15.2.1.9(B)(4)(d)non-appearance</u>) before the Board of Stewards on <u>August 19, 2017, at Ruidoso Downs</u> for being in procession of <u>a needle and syringe found in his truck during a compliance search by the investigator on the grounds of Ruidoso Downs on August 19, 2017.</u>

The Board of Stewards issue **RENE SAUCEDO** the following penalty:

A summary suspension of any/all New Mexico Racing Commission licenses, effective <u>August 19</u>, <u>2017, per 15.2.1.9 (C)(21)</u>, pending the outcome of <u>his hearing on August 24</u>, <u>2017 @3:30 a.m.</u> in the offices of the <u>Board of Stewards at Ruidoso Downs (26225 US-70, Ruidoso NM 88346)</u> per 15.2.1.9 (B)(3)(b) <u>Summary Suspension</u>.

During said time of applicable suspension **RENE SAUCEDO** is hereby excluded from all areas of the grounds under the jurisdiction of the NMSRC, **per 15.2.1.9** (C)(21)(a-b) Exclusion.

Licensee is found to be in violation of:

15.2.1.9(B)(3)(a) Summary Suspension Which states in pertinent part "If the Stewards determine that a licensee's action constitute an immediate danger to the public health, safety, or welfare, the Stewards may summarily suspend the licensee pending a hearing".

15.2.6.8 (B)(3)(b) TREATMENT RESTRICTIONS No person other than a veterinarian licensed to practice veterinary medicine in this jurisdiction and licensed by the commission, or a veterinary assistant licensed by the commission acting under the direct supervision of a licensed veterinarian, shall possess on any location under the jurisdiction of the commission any of the following unless approved by the commission any hypodermic syringe, hypodermic needle or any equipment associated with the aid of intravenous administration.

This ruling is written in accordance with:

16.47.1.8 (L)(1)(i) – The Commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued, or order disciplinary measures if the applicant is a person whose conduct or reputation may reflect on the honesty, and integrity of horse racing

16.47.1.8 (E): Agree to search and seizure Which states in pertinent part "By acceptance of a license, a licensee consents to search and inspection by the Commission or its agents, and to the seizure of any prohibited medication, drugs, paraphernalia, or devices in accordance with State and Federal law"

16.47.1.10 (B) ABSOLUTE INSURER/15.2.3.8 B (3)(f) Stewards Disciplinary Action/15.2.3.8 B (3)(a) Stewards Disciplinary Action/15.2.3.8 B (1) (d) Stewards General Authority /16.47.1.8. T. KNOWLEDGE OF RULES: / 16.47.1.3 Statutory Authority /16.47.1.8 (A) (1) License Required /16.47.1.8 (A)(2) License Required 16.47.1.8(N) Last Known Address

By Order of The Ruidoso Downs Stewards

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Ruling #:

2017-RUI-135

Track

Ruidoso Downs

Ruling Date:

8/24/2017

Licensee:

REED, GERALD WAYNE

License Type:

2017 3 Year Trainer Applicant Id:

5382

License Number: 285852

Ruling #

2017-RUI-135

Action Type:

Initial Ruling

Ruling:

Trainer Gerald W. Reed having telephonically waived his rights to a 10 day notice and formal hearing before the Board of Stewards on August 19, 2017, for failure to declare equipment (Flipping Halter ON) at time of entry for "ON GOIN SENATOR" and "JESS ZOOMN", entered in the 3rd race on July 29, 2017, and the 2nd race on August 4, 2017, at Ruidoso Downs.

The Board of Stewards assess Gerald W. Reed a penalty in the amount of two hundred dollars (\$ 200.00). The fine is to paid on or before September 25, 2017, in accordance with 15.2.3.8(B)(3)(l) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension.

Licensee is found to be in violation of:

15.2.5.8 (B)(10) Procedure For Entries Any approved change of equipment must be declared at time of entry. Any changes after that time must be approved by the stewards.

15.2.5.8 (B)(6) Procedure For Entries No alteration may be made in any entry after the closing of entries, but an error may be corrected with permission of the stewards

15.2.5.13 (A)(6) Equipment For The Running Of The Race: No licensee may add blinkers to a horse's equipment or discontinue their use without the prior approval of the starter, the paddock judge, and the stewards.

15.2.6.11(E)(10)Trainer Responsibility The trainer is responsible for ensuring proper bandages, equipment and shoes.

This ruling is written in accordance with:

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d) Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a)Failure to Appeal/

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal-under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at/the main Commission/ offices, or with the Stewards who issued the ruling.

Wirld Sniter



Ruling #:

2017-RUI-136

Track

Ruidoso Downs

Ruling Date:

8/24/2017

Licensee:

ROJERO, LUIS CARLOS

License Type:

2016 3 Year Trainer

Applicant Id:

6914

License Number:

280507

Ruling #

2017-RUI-136

Action Type:

Initial Ruling

Ruling:

<u>Trainer Luis Rojero</u> having been duly notified and having waived his rights to a 10 day notice and formal hearing before the Board of Stewards on <u>August 20, 2017</u>, for entering the ineligible horse (conditions) <u>"STORM DUST"</u>, which resulted in a scratch from the <u>9th race on August 13, 2017 at Ruidoso Downs Racetrack</u>.

The Board of Stewards assess <u>Luis Rojero</u> a fine in the amount of <u>two hundred dollars</u>, (\$200.00) The fine is to be paid on or before <u>September 24, 2017</u>, in accordance with 15.2.3.8 (B)(3)(l). Failure to pay the fine within the time prescribed may result in immediate suspension.

Licensee is found to be in violation of:

16.47.1.10 (C) (18) Trainers are responsible for horses entered as to eligibility and weight or other allowances claimed.

15.2.5.12(V) Ineligible horse A horse shall be ineligible to start in a race when it does not meet the eligibility conditions of the race.

This ruling is written in accordance with:

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority
16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)
(a)Failure to Appeal

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

Déalit Smith

Cyll Alles



Ruling #:

2017-RUI-137

Track

Ruidoso Downs

Ruling Date:

8/24/2017

Licensee:

RAMOS, ADRIAN ALFREDO

License Type:

2017 3 Year Jockey

Applicant Id:

20427

License Number:

285076

Ruling #

2017-RUI-137

Action Type:

Initial Ruling

Ruling:

Jockey Adrian A. Ramos having been duly notified and having appeared before the Board of Stewards on August 19, 2017, for his excessive use of the whip on his mount "CLAY ALLISON" during the running of the 7th race on August 18, 2017, at Ruidoso Downs.

The Board of Stewards assess <u>Adrian A. Ramos</u> a penalty in the amount of <u>two hundred dollars</u> (\$200.00). The fine is to be paid on or before <u>September 24, 2017</u>, in accordance with 15.2.3.8 (B) (3)(I). Failure to pay the fine within the time prescribed may result in immediate suspension.

Licensee is found to be in violation of:

15.2.5.13(E)(6)(e) Use of riding crops. Indiscriminate use of the whip is prohibited including whipping a horse: on the head, flanks or on any other part of its body other than the shoulders or hind quarters except when necessary to control a horse; during the post parade or after the finish of the race except when necessary to control the horse; excessively or brutally causing welts or breaks in the skin; when the horse is clearly out of the race or has obtained its maximum placing; persistently even though the horse is showing no response under the riding crop; or striking another rider or horse.

This ruling is written in accordance with:

15.2.1.9(B)(4)(A)Jockeys Notice of Hearing 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority/16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2017-RUI-138

Track

Ruidoso Downs

Ruling Date:

8/24/2017

Licensee:

CARRIZALES, JR SANTOS G.

License Type:

2015 3 Year Jockey

Applicant Id:

9452

License Number:

280226

Ruling #

2017-RUI-138

Action Type:

Initial Ruling

Ruling:

<u>Jockey SANTOS CARRIZALES JR</u>, having been summoned and having failed to appear or send a jockey guild's representative for film review regarding the <u>8th race on August 18, 2017</u>, at Ruidoso <u>Downs</u>, is hereby assessed a fine of <u>one hundred dollars</u> (\$100.00). The fine is to be paid on or before <u>September 24, 2017</u>, in accordance with 15.2.3.8(B)(3)(I). Failure to pay the fine within the time prescribed may result in immediate suspension.

Licensee is found in violation of:

15.2.1.9(B)(4)(d) Nonappearance Of A Summoned Party Which states in pertinent part that "Nonappearance of a summoned party after adequate notice shall be construed as a waiver of the right to a hearing before the board of stewards.

15.2.1.9(B)(4)(A) Notice Of Hearing- Jockey Except as provided by these rules regarding summary suspension, jockey riding infractions and trial races, the stewards or a racing commission designee shall provide written notice, at least 10 days before the hearing, to a person who is the subject of a disciplinary hearing. The person may waive their right to 10 days notice by executing a written waiver.

This ruling is written in accordance with:

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/ 15.2.3.8 B (1) (a)(c)(d)Stewards General Authority /16.47.1.8 (T) KNOWLEDGE OF RULES/16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required/16.47.1.8(N) Last Known Address/16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/15.2.1.9(B)(9)
(a)Failure to Appeal/15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

Testol Smith



Ruling #:

2017-RUI-139

Track

Ruidoso Downs

Ruling Date:

8/24/2017

Licensee:

CARRIZALES, JR SANTOS G.

License Type:

2015 3 Year Jockey

Applicant Id:

9452

License Number: 280226

Ruling #

2017-RUI-139

Action Type:

Initial Ruling

Ruling:

Jockey SANTOS CARRIZALES JR having been duly notified, failing to appear or send a representative, and had his hearing held in absentia, in accordance with 15.2.1.9(B)(4)(d)nonappearance, before the Board of Stewards on August 19, 2017, for his excessive use of the whip on his mount "CARRIS CARTEL" during the running of the 8th race on August 18, 2017, at Ruidoso Downs.

The Board of Stewards assess SANTOS CARRIZALES JR a penalty in the amount of two hundred dollars (\$200.00). The fine is to be paid on or before September 24, 2017, in accordance with 15.2.3.8 (B)(3)(I). Failure to pay the fine within the time prescribed may result in immediate suspension.

Licensee is found to be in violation of:

15.2.5.13(E)(6)(e) Use of riding crops. Indiscriminate use of the whip is prohibited including whipping a horse: on the head, flanks or on any other part of its body other than the shoulders or hind quarters except when necessary to control a horse; during the post parade or after the finish of the race except when necessary to control the horse; excessively or brutally causing welts or breaks in the skin; when the horse is clearly out of the race or has obtained its maximum placing; persistently even though the horse is showing no response under the riding crop; or striking another rider or horse.

This ruling is written in accordance with:

15.2.1.9(B)(4)(A)Jockeys Notice of Hearing 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority/16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a) Failure to Appeal/15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2017-RUI-140

Track

Ruidoso Downs

Ruling Date:

8/24/2017

Licensee:

RIVERA, ALONSO

License Type:

2014 3 Year Jockey

Applicant Id:

19096

License Number:

206163

Ruling #

2017-RUI-140

Action Type:

Initial Ruling

Ruling:

Jockey <u>Alonso Rivera</u> having been duly notified and represented by jockey <u>Roberto Valero</u> before the Board of Stewards on <u>August 19, 2017</u>, for his excessive use of the whip on his mount <u>"FAMOSITA"</u> during the running of the <u>14th race on August 18, 2017</u>, at <u>Ruidoso Downs</u>.

The Board of Stewards assess <u>Alonso Rivera</u> a penalty in the amount of <u>three hundred dollars</u> (\$300.00). The fine is to be paid on or before <u>September 24, 2017</u>, in accordance with 15.2.3.8 (B) (3)(l). Failure to pay the fine within the time prescribed may result in immediate suspension.

Licensee is found to be in violation of:

15.2.5.13(E)(6)(e) Use of riding crops. Indiscriminate use of the whip is prohibited including whipping a horse: on the head, flanks or on any other part of its body other than the shoulders or hind quarters except when necessary to control a horse; during the post parade or after the finish of the race except when necessary to control the horse; excessively or brutally causing welts or breaks in the skin; when the horse is clearly out of the race or has obtained its maximum placing; persistently even though the horse is showing no response under the riding crop; or striking another rider or horse.

This ruling is written in accordance with:

15.2.1.9(B)(4)(A)Jockeys Notice of Hearing 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority/16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2017-RUI-141

Track

Ruidoso Downs

Ruling Date:

8/24/2017

Licensee:

SAMANIEGO, ANDREW RUBEN

License Type:

2016 3 Year Jockey

Applicant Id:

20273

License Number:

283023

Ruling #

2017-RUI-141

Action Type:

Initial Ruling

Ruling:

<u>Jockey Andrew Sameniego</u>, having been summoned and having failed to appear or send a jockey guild's representative for film review regarding the <u>2nd race on August 19, 2017 at Ruidoso Downs</u>, is hereby assessed a fine of <u>one hundred dollars</u> (\$100.00). The fine is to be paid on or before <u>September 24, 2017</u>, in accordance with 15.2.3.8(B)(3)(I). Failure to pay the fine within the time prescribed may result in immediate suspension.

Licensee is found in violation of:

15.2.1.9(B)(4)(d) Nonappearance Of A Summoned Party Which states in pertinent part that "Nonappearance of a summoned party after adequate notice shall be construed as a waiver of the right to a hearing before the board of stewards.

15.2.1.9(B)(4)(A) Notice Of Hearing- Jockey Except as provided by these rules regarding summary suspension, jockey riding infractions and trial races, the stewards or a racing commission designee shall provide written notice, at least 10 days before the hearing, to a person who is the subject of a disciplinary hearing. The person may waive their right to 10 days notice by executing a written waiver.

This ruling is written in accordance with:

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/ 15.2.3.8 B (1) (a)(c)(d)Stewards General Authority /16.47.1.8 (T) KNOWLEDGE OF RULES/16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required/ 16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)
(a) Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

Tirlet Smith

Cyll Officer

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Ruling #:

2017-RUI-142

Track

Ruidoso Downs

Ruling Date:

8/24/2017

Licensee:

SAMANIEGO, ANDREW RUBEN

License Type:

2016 3 Year Jockey

Applicant Id:

20273

License Number:

283023

Ruling #

2017-RUI-142

Action Type:

Initial Ruling

Ruling:

Jockey <u>Andrew Sameniego</u>, having been duly notified, failing to appear, and had <u>his</u> hearing held in absentia, in accordance with <u>15.2.1.9(B)(4)(d)non-appearance</u>, before the Board of Stewards on <u>August 19, 2017</u>, for his misuse use of the whip on his mount <u>"TAILGUNNER CATTEL"</u> during the post parade/ warm-up for the <u>2nd race on June 19, 2017</u>, at <u>Ruidoso Downs</u>.

The Board of Stewards hereby assess <u>Andrew Sameniego</u> a penalty in the amount of <u>one hundred dollars (\$100.00)</u>. The fine is to be paid on or before <u>September 24, 2017</u>, in accordance with <u>15.2.3.8(B)(3)(I) NMAC</u>. Failure to pay the fine within the time prescribed may result in immediate suspension.

Licensee is found to be in violation of:

15.2.5.13(E)(6)(e) Use of riding crops. Indiscriminate use of the whip is prohibited including whipping a horse: on the head, flanks or on any other part of its body other than the shoulders or hind quarters except when necessary to control a horse; during the post parade or after the finish of the race except when necessary to control the horse; excessively or brutally causing welts or breaks in the skin; when the horse is clearly out of the race or has obtained its maximum placing; persistently even though the horse is showing no response under the riding crop; or striking another rider or horse.

This ruling is written in accordance with:

15.2.1.9(B)(4)(A) Notice of hearing for Jockeys 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

Dirlet Smith



Ruling #:

2017-RUI-143

Track

Ruidoso Downs

Ruling Date:

8/24/2017

Licensee:

SALCEDO, MAURO

License Type:

2017 1 Year Duplicate Applicant Id:

137075

License Number: 284667

Ruling #

2017-RUI-143

Action Type:

Initial Ruling

Ruling:

Jockey Mauro Salcedo, having been summoned and having appeared before the Board of Stewards on August 20, 2017, for failure to make sufficient effort to maintain his lane while aboard "A PASSION FOR FLASHN", which resulted in the disqualification of his mount from 2nd place to 5th place, in the 4th race on August 19, 2017, at Ruidoso Downs.

The Board of Stewards hereby assess, Mauro Salcedo, a fine in the amount of two hundred dollars, (\$200.00). The fine is to be paid on or before September 24, 2017, in accordance with 15.2.3.8 (B) (3)(1). Failure to pay the fine within the time prescribed may result in immediate suspension.

Licensee is found to be in violation of:

15.2.5.13(E)(3)(d) NMAC: Post to Finish (d) In a straightaway race, every horse must maintain position as nearly as possible in the lane in which it starts. If a horse is ridden, drifts or swerves out of its lane in such a manner that it interferes with, impedes or intimidates another horse, it is a foul and may result in the disqualification of the offending horse.

This ruling is written in accordance with:

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a)Failure to Appeal 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

Dialet Smith



Ruling #:

2017-RUI-144

Track

Ruidoso Downs

Ruling Date:

8/24/2017

Licensee:

ENRIQUEZ, JOSE LUIS

License Type:

2017 3 Year Jockey

Applicant Id:

86484

License Number:

286567

Ruling #

2017-RUI-144

Action Type:

Initial Ruling

Ruling:

Jockey <u>Jose Luis Enriquez</u>, having been summoned and having <u>been represented by jockey agent Baltazar Gutierrez</u> before the Board of Stewards on <u>August 20, 2017</u>, for failure to make sufficient effort to maintain his lane while aboard "<u>DOING WHAT I LIKE"</u>, which resulted in the <u>disqualification of his mount from 9th place to 10th place</u>, in the <u>12th race on August 19, 2017</u>, <u>at Ruidoso Downs</u>.

The Board of Stewards hereby assess, <u>Jose Luis Enriquez</u>, a fine in the amount of <u>two hundred dollars</u>, (\$200.00). The fine is to be paid on or before <u>September 24, 2017</u>, in accordance with <u>15.2.3.8 (B)(3)(I)</u>. Failure to pay the fine within the time prescribed may result in immediate suspension.

Licensee is found to be in violation of:

15.2.5.13(E)(3)(d) NMAC: Post to Finish (d) In a straightaway race, every horse must maintain position as nearly as possible in the lane in which it starts. If a horse is ridden, drifts or swerves out of its lane in such a manner that it interferes with, impedes or intimidates another horse, it is a foul and may result in the disqualification of the offending horse.

This ruling is written in accordance with:

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

Tirlet Smir



Ruling #:

2017-RUI-145

Track

Ruidoso Downs

Ruling Date:

8/24/2017

Licensee:

SAUCEDO, RENE

License Type:

2016 3 Year Trainer

Applicant Id:

23294

License Number: 282497

Ruling #

2017-RUI-145

Action Type:

Initial Ruling

Ruling:

Trainer RENE SAUCEDO, having waived his right to a 10 day notice and formal hearing before the Board of Stewards on August 20, 2017, at Ruidoso Downs for being in possession of a needle and syringe found in his truck during a compliance search by the investigator on the grounds at Ruidoso Downs on August 19, 2017.

The Board of Stewards hereby access **RENE SAUCEDO** the following penalty:

- 1. A (\$500) five hundred dollar fine, which is to paid on or before September 24, 2017, in accordance with 15.2.3.8(B)(3)(I) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension
- 2. A 30 day suspension of any/all New Mexico Racing Commission licenses, starting August 19, 2017, through September 18, 2017, inclusive

Per 15.2.1.9 (C)(21)(a-b) NMAC, RENE SAUCEDO is hereby excluded from all areas of the grounds under the jurisdiction of the NMSRC and all horses owned, trained, or under the care of RENE SAUCEDO are denied further entry.

Licensee is found to be in violation of:

15.2.6.8 (B)(3)(b) TREATMENT RESTRICTIONS No person other than a veterinarian licensed to practice veterinary medicine in this jurisdiction and licensed by the commission, or a veterinary assistant licensed by the commission acting under the direct supervision of a licensed veterinarian, shall possess on any location under the jurisdiction of the commission any of the following unless approved by the commission any hypodermic syringe, hypodermic needle or any equipment associated with the aid of intravenous administration.

This ruling is written in accordance with:

16.47.1.8 (L)(1)(i) - The Commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued, or order disciplinary measures if the applicant is a person whose conduct or reputation may reflect on the honesty, and integrity of horse racing 16.47.1.8 (E): Agree to search and seizure By acceptance of a license, a licensee consents to search and inspection by the Commission or its agents, and to the seizure of any prohibited medication, drugs, paraphernalia, or devices in accordance with State and Federal law 16.47.1.10 (B) ABSOLUTE INSURER/15.2.3.8 B (3)(f) Stewards Disciplinary Action/15.2.3.8 B (3)(a) Stewards Disciplinary Action/15.2.3.8 B (1) (d) Stewards General Authority /16.47.1.8. T. KNOWLEDGE OF RULES: 16.47.1.3 Statutory Authority /16.47.1.8 (A)(1) License Required /16.47.1.8 (A)(2) License Required16.47.1.8(N) Last Known Address

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

Malet Smith



Ruling #:

2017-RUI-146

Track

Ruidoso Downs

Ruling Date:

8/24/2017

Licensee:

RIDDLE, JACKIE ELIZABETH

License Type:

2015 3 Year Trainer

Applicant Id:

7804

License Number: 278376

Ruling #

2017-RUI-146

Action Type:

Initial Ruling

Ruling:

Trainer Jackie Riddle, having waived her right to a 10 day notice and formal hearing before the Board of Stewards on August 20, 2017, at Ruidoso Downs for being in possession of a needle and syringe found in her truck during a compliance search by the investigator on the grounds at Ruidoso Downs on August 20, 2017.

The Board of Stewards hereby access Jackie Riddle the following penalty:

- 1. A (\$500) five hundred dollar fine, which is to paid on or before September 24, 2017, in accordance with 15.2.3.8(B)(3)(I) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension
- 2. A 30 day suspension of any/all New Mexico Racing Commission licenses, starting August 20, 2017, through September 19, 2017, inclusive

Per 15.2.1.9 (C)(21)(a-b) NMAC, Jackie Riddle is hereby excluded from all areas of the grounds under the jurisdiction of the NMSRC and all horses owned, trained, or under the care of Jackie Riddle are denied further entry.

Licensee is found to be in violation of:

15.2.6.8 (B)(3)(b) TREATMENT RESTRICTIONS No person other than a veterinarian licensed to practice veterinary medicine in this jurisdiction and licensed by the commission, or a veterinary assistant licensed by the commission acting under the direct supervision of a licensed veterinarian, shall possess on any location under the jurisdiction of the commission any of the following unless approved by the commission any hypodermic syringe, hypodermic needle or any equipment associated with the aid of intravenous administration.

This ruling is written in accordance with:

16.47.1.8 (L)(1)(i) -The Commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued, or order disciplinary measures if the applicant is a person whose conduct or reputation may reflect on the honesty, and integrity of horse racing 16.47.1.8 (E): Agree to search and seizure By acceptance of a license, a licensee consents to search and inspection by the Commission or its agents, and to the seizure of any prohibited medication, drugs, paraphernalia, or devices in accordance with State and Federal law 16.47.1.10 (B) ABSOLUTE INSURER/15.2.3.8 B (3)(f) Stewards Disciplinary Action/15.2.3.8 B (3)(a) Stewards Disciplinary Action/15.2.3.8 B (1) (d) Stewards General Authority /16.47.1.8. T. KNOWLEDGE OF RULES: 16.47.1.3 Statutory Authority /16.47.1.8 (A)(1) License Required /16.47.1.8 (A)(2) License Required16.47.1.8(N) Last Known Address

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling

Devict Smith



Ruling #:

2017-RUI-147

Track

Ruidoso Downs

Ruling Date:

8/24/2017

Licensee:

SHEPARD, ELMER LEE

License Type:

2017 1 Year Veterinaria Applicant Id:

12123

License Number:

285452

Ruling #

2017-RUI-147

Action Type:

Initial Ruling

Ruling:

The <u>Veterinarian Assistant</u> License #285452 issued to <u>Elmer Shepard</u> is herby <u>rescinded without</u> <u>prejudice</u> as he has been issued a trainers on <u>August 20, 2017.</u>

This ruling is in accordance with:

16.47.1.8 (M)(2)Duration Of License Which states in pertinent part "A license is valid only under the condition that the licensee remains eligible to hold such a license.

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 15.2.1.9(B)(9)(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

Direct Smith



Ruling #:

2017-RUI-148

Track

Ruidoso Downs

Ruling Date:

8/24/2017

Licensee:

SAENZ, MIGUEL HERRERA

License Type:

2017 3 Year Owner

Applicant Id:

35089

License Number:

286770

Ruling #

2017-RUI-148

Action Type:

denied further entry.

Initial Ruling

Ruling:

Owner, MIGUEL HERRERA SAENZ, is hereby summarily suspended, effective immediately, August 24, 2017, pending the outcome his hearing scheduled for September 1, 2017 @9:00a.m. in the offices of the Board of Stewards at Ruidoso Downs (26225 US-70, Ruidoso NM 88346) per 15.2.1.9 (B)(3)(b) Summary Suspension. MIGUEL HERRERA SAENZ tested positive for cocaine, marijuana, and amphetamines (prohibited substances) during a drug screening on August 24, 2017.

Per 15.2.1.9 (C)(21)(a-b) NMAC, MIGUEL HERRERA SAENZ is hereby excluded from all areas of the grounds under the jurisdiction of the NMSRC and all horses owned by MIGUEL HERRERA SAENZ are

Licensee is found to be in violation of:

15.2.1.9(B)(3)(a): Which states in pertinent part "If the Stewards determine that a licensee's action constitute an immediate danger to the public health, safety, or welfare, the Stewards may summarily suspend the licensee pending a hearing".

16.47.1.16 (C)(7) Restricted Activities: It shall be an offense to exercise the privileges granted by a license from this commission if the licensee presently has drugs (controlled substances) or alcohol in his/her body. With regard to alcohol, the results of a test showing a reading of more than .05 percent of alcohol in the blood, urine, saliva or other bodily fluids of licensees in non-safety sensitive positions shall be the criterion for a finding of alcohol present in the body. With regard to other controlled substances, presence of the drug in any quantity measured by the testing instrument establishes the presence of the drug for purposes of this paragraph. Licensees in safety sensitive positions, jockeys, starters, assistant starters, exercise riders, pony persons, ambulance personnel, and outriders are in violation of this rule if they have any measurable level of alcohol.

This Ruling is written in accordance with

16.47.1.8 (L)(1)(i) – Which states in pertinent part "The Commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued, or order disciplinary measures if the applicant is a person whose conduct or reputation may reflect on the honesty, and integrity of horse racing" 16.47.1.17 (A)(1) TESTING PROCEDURES At its discretion, the commission may conduct random or episodic random drug testing, as well as testing based on reasonable suspicion, in order to ensure safety on the racetrack.

16.47.1.17 (A)(2) TESTING PROCEDURES When conducted, random drug testing shall apply, equally, to all licensees who are, at the time of the random testing, exercising the privileges of their license in such ways as may affect the outcome of a race or diminish the conditions of safety or decorum required in restricted areas.

16.47.1.17 (A)(3) TESTING PROCEDURES No notice need be given as to onset or cessation of random testing.

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures

By Order of The Ruidoso Downs Stewards

Direct Smith

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Ruling #:

2017-RUI-149

Track

Ruidoso Downs

Ruling Date:

8/24/2017

Licensee:

CARDENAS, CHRISTIAN LUIS

License Type:

2017 1 Year Exercise P Applicant Id:

35458

License Number: 286808

Ruling #

2017-RUI-149

Action Type:

Initial Ruling

Ruling:

Exercise Rider Christian Cardenas, having waived his rights to a 10 day notice of hearing and formal hearing before the Board of Stewards on August 24, 2017, concerning summary suspension dated August 19, 2017 for being in possession of an electrical device and a needle found in his truck during a compliance search by the investigator in the stable area on August 19, 2017, at Ruidoso Downs (refer to ruling 2017-RUI-131).

For this violation, the Board of Stewards issue Christian Cardenas the following penalty:

- 1. A 5 year suspension of any/all New Mexico Racing Commission licenses, retroactive from ruling 2017-RUI-131, August 19, 2017, through August 18, 2022, inclusive.
- 2. A \$5,000 five thousand dollar fine to paid on or before September 24, 2017, in accordance with 15.2.3.8(B)(3)(I). Failure to pay the fine within the time prescribed may result in immediate suspension.

During said time of applicable suspension Christian Cardenas is hereby excluded from all areas of the grounds under the jurisdiction of the NMSRC per 15.2.1.9 (C)(21) NMAC.

Licensee is found to be in violation of:

15.2.5.13 (E)(6)(c): Use of Riding Crop No electrical or mechanical device or other expedient designed to increase or retard the speed of a horse, other than the riding crop approved by the Stewards, shall be possessed by anyone, or applied by anyone to a horse at any time on the grounds of the Association during the meeting, whether in a race or otherwise.

16.47.1.8 (E) Consent to Search and Seizure By acceptance of a license, a licensee consents to search and inspection by the Commission or its agents, and to the seizure of any prohibited medication, drugs, paraphernalia, or devices in accordance with State and Federal law.

This Ruling is written in accordance with

16.47.1.8 (L)(1)(i) - CONDUCT Which states in pertinent part "The Commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued, or order disciplinary measures if the applicant is a person whose conduct or reputation may reflect on the honesty, and integrity of horse racing'

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal/15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Ruidoso Downs Stewards

Milit Smith



Ruling #:

2017-RUI-150

Track

Ruidoso Downs

Ruling Date:

8/24/2017

Licensee:

PARGA-LEOS, JAIME

License Type:

2017 3 Year Jockey

Applicant Id:

30593

License Number: 284865

Ruling#

2017-RUI-150

Action Type:

Initial Ruling

Ruling:

Jockey, Jaime Parga Leos, waived his rights to a 10 day notice of a disciplinary hearing and appeared before the Board of Stewards with translators/ Jockey Guild Representatives L. Salvador Martinez and Esgar Ramirez on August 24, 2017, concerning a summary suspension dated August 19, 2017. On August 19, 2017, Jaime Parga Leos refused to submit to a drug test as requested by The New Mexico Racing Commission State Investigator.

Jaime Parga Leos is hereby issued a 60 day suspension of all NMRC licenses, retroactive from summary suspension ruling 2017-RUI-132, August 19, 2017, through October 17, 2017, inclusive.

During said time of applicable suspension Jaime Parga Leos is hereby excluded from all areas of the grounds under the jurisdiction of the NMSRC, per15.2.1.9 (C)(21)(a-b) Exclusion.

Licensee is found to be in violation of:

6.47.1.17 (A)(1) TESTING PROCEDURES At its discretion, the commission may conduct random or episodic random drug testing, as well as testing based on reasonable suspicion, in order to ensure safety on the racetrack.

16.47.1.17 (A)(2) TESTING PROCEDURES When conducted, random drug testing shall apply, equally, to all licensees who are, at the time of the random testing, exercising the privileges of their license in such ways as may affect the outcome of a race or diminish the conditions of safety or decorum required in restricted areas.

16.47.1.17 (C)(6) Human Drug Testing/ Restricted Activities It shall be an offense to exercise the privileges granted by a license from this commission if the licensee: refuses to submit to urine or drug testing, when notified that such testing is based on a random drug testing procedure, is based on reasonable suspicion that the person is using drugs or alcohol or is based on the licensee's acting as if in an impaired condition.

This Ruling is written in accordance with

16.47.1.8 (L)(1)(i) - Which states in pertinent part "The Commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued, or order disciplinary measures if the applicant is a person whose conduct or reputation may reflect on the honesty, and integrity of horse racing"16.47.1.17 (A)(3) TESTING PROCEDURES No notice need be given as to onset or cessation of random testing 16.47.1.18 (B) ASSESSMENT/TREATMENT REQUIREMENTS GENERAL FIRST-TIME OFFENDERS: 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a) Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Ruidosa Downs Stewards

Direct Smith



Ruling #:

2017-RUI-151

Track

Ruidoso Downs

Ruling Date:

8/24/2017

Licensee:

CUEVAS-LODOZA, GABRIEL

License Type:

2017 1 Year Groom

Applicant Id:

158571

License Number: 286347

Ruling #

2017-RUI-151

Action Type:

Initial Ruling

Ruling:

Groom Gabriel Cuevas-Lodoza, shall remain summary suspended, having failed to appear for his hearing (concerning summary suspension ruling 2017-RUI-128) on August 24, 2017, in accordance with 15.2.1.9(B)(4)(d)non-appearance. Per 15.2.1.9 (C)(21)(a-b) Exclusion, Gabriel Cuevas-Lodoza is hereby excluded from all areas of the grounds under the jurisdiction of the NMSRC.

Licensee is found in violation of:

15.2.1.9(B)(3)(a) Summary Suspension Which states in pertinent part "If the Stewards determine that a licensee's action constitute an immediate danger to the public health, safety, or welfare, the Stewards may summarily suspend the licensee pending a hearing".

This ruling is written in accordance:

15.2.1.9 (B)(3)(b) NMAC. Summary Suspension

15.2.3.8 B (3)(f) Stewards Disciplinary Action

16.47.1.8 (L)(1)(i) - Conduct Which states in pertinent part "The Commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued, or order disciplinary measures if the applicant is a person whose conduct or reputation may reflect on the honesty, and integrity of horse racing"

15.2.3.8 B (3)(A) Stewards Disciplinary Action stewards shall take notice of alleged misconduct or rule violations and initiate investigations into the matters. The stewards shall have authority to charge any licensee for a violation of these rules, to conduct hearings and to impose disciplinary action in accordance with these rules.

16.47.1.8. T. KNOWLEDGE OF RULES:

16.47.1.3 Statutory Authority

16.47.1.8 (A)(1) License Required

16.47.1.8 (A)(2) License Required

16.47.1.8(N) Last Known Address

Dialet Smith



Ruling #:

2017-RUI-152

Track

Ruidoso Downs

Ruling Date:

8/24/2017

Licensee:

RAMIREZ, ESGAR

License Type:

2017 3 Year Jockev

Applicant Id:

12647

License Number:

285063

Ruling #

2017-RUI-152

Action Type:

Initial Ruling

Ruling:

Jockey, <u>Esgar Ramirez</u> having been summoned <u>and having appeared</u> before the Board of Stewards, on <u>August 24, 2017</u>, failure to report his correct weight on <u>August 20, 2017</u>, at <u>Ruidoso Downs</u>.

The Board of Stewards hereby assesses **Esgar Ramirez** a penalty in the amount of **two hundred dollars (\$200.00)**. The fine is to be paid on or before **September 24, 2017**, in accordance with 15.2.3.8(B)(3)(l) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension.

Licensee is found to be in violation of:

15.2.5.13(C)(1) Which states in pertinent part that "Jockeys shall report to the Jockey's quarters at the time designated by the association. Jockeys shall report their engagements and any overweight to the clerk of scales. Jockeys shall not leave the Jockeys' quarters, except to ride in scheduled races, until all of their riding engagements of the day have been fulfilled except as approved by the Stewards."

This ruling is written in accordance with:

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys, 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES/16.47.1.3 Statutory Authority, 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2017-RUI-153

Track

Ruidoso Downs

Ruling Date:

8/24/2017

Licensee:

ZUBIATE, JOSE C.

License Type:

Applicant Id:

32370

License Number:

Ruling #

2017-RUI-153

Action Type:

Initial Ruling

Ruling:

Assistant Starter <u>Jose Zubiate</u>, shall remain summarily suspended, having failed to appear for his hearing (concerning summary suspension ruling 2017-RUI-128) on <u>August 24, 2017</u>, in accordance with <u>15.2.1.9(B)(4)(d)non-appearance</u>. Per <u>15.2.1.9</u> (C)(21)(a-b) Exclusion, Jose Zubiate is hereby excluded from all areas of the grounds under the jurisdiction of the NMSRC per <u>15.2.1.9 (C)(21) NMAC</u>.

Licensee is found in violation of:

15.2.1.9(B)(3)(a) Summary Suspension Which states in pertinent part "If the Stewards determine that a licensee's action constitute an immediate danger to the public health, safety, or welfare, the Stewards may summarily suspend the licensee pending a hearing".

This ruling is written in accordance:

15.2.1.9 (B)(3)(b) NMAC. Summary Suspension

15.2.3.8 B (3)(f) Stewards Disciplinary Action

16.47.1.8 (L)(1)(i) – Conduct Which states in pertinent part "The Commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued, or order disciplinary measures if the applicant is a person whose conduct or reputation may reflect on the honesty, and integrity of horse racing"

15.2.3.8 B (3)(A) Stewards Disciplinary Action stewards shall take notice of alleged misconduct or rule violations and initiate investigations into the matters. The stewards shall have authority to charge any licensee for a violation of these rules, to conduct hearings and to impose disciplinary action in accordance with these rules.

16.47.1.8. T. KNOWLEDGE OF RULES:

16.47.1.3 Statutory Authority

16.47.1.8 (A)(1) License Required 16.47.1.8 (A)(2) License Required

16.47.1.8(N) Last Known Address

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Ruling #:

2017-RUI-154

Track

Ruidoso Downs

Ruling Date:

8/24/2017

Licensee:

SEDILLO, TONY E

License Type:

2015 3 Year Trainer

Applicant Id:

16607

License Number:

280236

Ruling #

2017-RUI-154

Action Type:

Initial Ruling

Ruling:

Trainer Tony Sedillo, having appeared before the Board of Stewards on August 24, 2017, for working an owner as a groom, a capacity for which he was not licensed, on August 24, 2017 at Ruidoso Downs.

The Board of Stewards assessed **Tony Sedillo** a penalty in the amount of **two hundred dollars** (\$200). The fine is to be paid on or before September 24, 2017, in accordance with 15.2.3.8(B)(3)(1) NMAC. Failure to pay this fine within the time prescribed may result in immediate suspension.

Licensee is found to be in violation of:

16.47.1.8 L (1)(C) The commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued, or order disciplinary measures, if the applicant: who is unqualified, by experience or otherwise, to perform the activities for which a license is required, or who fails to pass an examination prescribed by the commission.

This ruling is written in accordance with:
15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal / 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.



Ruling #:

2017-RUI-155

Track

Ruidoso Downs

Ruling Date:

8/25/2017

Licensee:

MONTOYA, JOSE

License Type:

2015 3 Year Jockey

Applicant Id:

10625

License Number:

279178

Ruling #

2017-RUI-155

Action Type:

Initial Ruling

Ruling:

Jockey, <u>Jose Montoya</u> having been summoned <u>and having appeared</u> before the Board of Stewards, on <u>August 25, 2017</u>, failure to report his correct weight on <u>August 20, 2017</u>, at <u>Ruidoso Downs</u>.

The Board of Stewards hereby assesses <u>Jose Montoya</u> a penalty in the amount of <u>two hundred</u> <u>dollars (\$200.00)</u>. The fine is to be paid on or before <u>September 25, 2017</u>, in accordance with 15.2.3.8(B)(3)(l) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension.

Licensee is found to be in violation of:

15.2.5.13(C)(1) Which states in pertinent part that "Jockeys shall report to the Jockey's quarters at the time designated by the association. Jockeys shall report their engagements and any overweight to the clerk of scales. Jockeys shall not leave the Jockeys' quarters, except to ride in scheduled races, until all of their riding engagements of the day have been fulfilled except as approved by the Stewards."

This ruling is written in accordance with:

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys, 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority, 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

Tisel Smith



Ruling #:

2017-RUI-156

Track

Ruidoso Downs

Ruling Date:

8/26/2017

Licensee:

GARCIA-LUNA, FELIPE D

License Type:

2015 3 Year Jockey

Applicant Id:

30961

License Number:

278824

Ruling #

2017-RUI-156

Action Type:

Initial Ruling

Ruling:

Jockey, <u>Felipe Garcia Luna</u> having been summoned <u>and having appeared</u> before the Board of Stewards, on <u>August 25, 2017</u>, failure to report his correct weight on <u>August 20, 2017</u>, at <u>Ruidoso</u> **Downs**.

The Board of Stewards hereby assesses <u>Felipe Garcia Luna</u> a penalty in the amount of <u>two hundred</u> <u>dollars (\$200.00)</u>. The fine is to be paid on or before <u>September 26, 2017</u>, in accordance with 15.2.3.8(B)(3)(l) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension.

Licensee is found to be in violation of:

15.2.5.13(C)(1) Which states in pertinent part that "Jockeys shall report to the Jockey's quarters at the time designated by the association. Jockeys shall report their engagements and any overweight to the clerk of scales. Jockeys shall not leave the Jockeys' quarters, except to ride in scheduled races, until all of their riding engagements of the day have been fulfilled except as approved by the Stewards."

This ruling is written in accordance with:

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys, 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority, 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission

offices, or with the Stewards who issued the ruling.

Dialit Smith

July Mr



Ruling #:

2017-RUI-157

Track

Ruidoso Downs

Ruling Date:

8/26/2017

Licensee:

CARTER, JR GEORGE ROBERT

License Type:

2015 3 Year Jockey

Applicant Id:

34130

License Number: 278024

Ruling #

2017-RUI-157

Action Type:

Initial Ruling

Ruling:

Jockey G. R. Carter, having failed to appear or send a jockey guild's representative after being summoned regarding the 1st race on August 20, 2017 at Ruidoso Downs, is hereby assessed a fine of one hundred dollars (\$100.00). The fine is to be paid on or before September 26, 2017, in accordance with 15.2.3.8(B)(3)(I). Failure to pay the fine within the time prescribed may result in immediate suspension.

Licensee is found in violation of:

15.2.1.9(B)(4)(d) Nonappearance Of A Summoned Party Which states in pertinent part that "Nonappearance of a summoned party after adequate notice shall be construed as a waiver of the right to a hearing before the board of stewards.

15.2.1.9(B)(4)(A) Notice Of Hearing- Jockey Except as provided by these rules regarding summary suspension, jockey riding infractions and trial races, the stewards or a racing commission designee shall provide written notice, at least 10 days before the hearing, to a person who is the subject of a disciplinary hearing. The person may waive their right to 10 days notice by executing a written waiver.

This ruling is written in accordance with:

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/ 15.2.3.8 B (1) (a)(c)(d) Stewards General Authority /16.47.1.8 (T) KNOWLEDGE OF RULES/16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required/ 16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal / 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

Will Smith



Ruling #:

2017-RUI-158

Track

Ruidoso Downs

Ruling Date:

8/26/2017

Licensee:

CARTER, JR GEORGE ROBERT

License Type:

2015 3 Year Jockey

Applicant Id:

34130

License Number: 278024

Ruling #

2017-RUI-158

Action Type:

Initial Ruling

Ruling:

Jockey, G. R. Carter having been summoned, failing to appear, and had his hearing held in absentia, in accordance with 15.2.1.9(B)(4)(d)non-appearance, before the Board of Stewards, on August 25, 2017, failure to report his correct weight on August 20, 2017, at Ruidoso Downs.

The Board of Stewards hereby assesses G. R. Carter a penalty in the amount of two hundred dollars (\$200.00). The fine is to be paid on or before September 26, 2017, in accordance with 15.2.3.8(B) (3)(1) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension.

Licensee is found to be in violation of:

15.2.5.13(C)(1) Which states in pertinent part that "Jockeys shall report to the Jockey's quarters at the time designated by the association. Jockeys shall report their engagements and any overweight to the clerk of scales. Jockeys shall not leave the Jockeys' quarters, except to ride in scheduled races, until all of their riding engagements of the day have been fulfilled except as approved by the Stewards."

This ruling is written in accordance with:

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys, 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES/16.47.1.3 Statutory Authority ,16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a) Failure to Appeal / 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

Dislet Smith



Ruling #:

2017-RUI-159

Track

Ruidoso Downs

Ruling Date:

8/26/2017

Licensee:

BECERRA, JR SERGIO

License Type:

2017 3 Year Jockey

Applicant Id:

32539

License Number: 284870

Ruling #

2017-RUI-159

Action Type:

Initial Ruling

Ruling:

Jockey Sergio Becerra Jr, having failed to appear or send a jockey guild's representative after being summoned regarding the 1st race on August 20, 2017 at Ruidoso Downs, is hereby assessed a fine of one hundred dollars (\$100.00). The fine is to be paid on or before September 26, 2017, in accordance with 15.2.3.8(B)(3)(I). Failure to pay the fine within the time prescribed may result in immediate suspension.

Licensee is found in violation of:

15.2.1.9(B)(4)(d) Nonappearance Of A Summoned Party Which states in pertinent part that "Nonappearance of a summoned party after adequate notice shall be construed as a waiver of the right to a hearing before the board of stewards.

15.2.1.9(B)(4)(A) Notice Of Hearing- Jockey Except as provided by these rules regarding summary suspension, jockey riding infractions and trial races, the stewards or a racing commission designee shall provide written notice, at least 10 days before the hearing, to a person who is the subject of a disciplinary hearing. The person may waive their right to 10 days notice by executing a written waiver.

This ruling is written in accordance with:

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/ 15.2.3.8 B (1) (a)(c)(d)Stewards General Authority /16.47.1.8 (T) KNOWLEDGE OF RULES/16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required/ 16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal / 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

Dialet Smith



Ruling #:

2017-RUI-160

Track

Ruidoso Downs

Ruling Date:

8/26/2017

Licensee:

BECERRA, JR SERGIO

License Type:

2017 3 Year Jockey

Applicant Id:

32539

License Number: 284870

Ruling #

2017-RUI-160

Action Type:

Initial Ruling

Ruling:

Jockey, Sergio Becerra Jr. having been summoned, failing to appear, and had his hearing held in absentia, in accordance with 15.2.1.9(B)(4)(d)non-appearance, before the Board of Stewards, on August 25, 2017, failure to report his correct weight on August 20, 2017, at Ruidoso Downs.

The Board of Stewards hereby assesses Sergio Becerra Jr. a penalty in the amount of two hundred dollars (\$200.00). The fine is to be paid on or before September 26, 2017, in accordance with 15.2.3.8(B)(3)(1) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension.

Licensee is found to be in violation of:

15.2.5.13(C)(1) Which states in pertinent part that "Jockeys shall report to the Jockey's quarters at the time designated by the association. Jockeys shall report their engagements and any overweight to the clerk of scales. Jockeys shall not leave the Jockeys' quarters, except to ride in scheduled races, until all of their riding engagements of the day have been fulfilled except as approved by the Stewards."

This ruling is written in accordance with:

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys, 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority ,16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a) Failure to Appeal / 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check.'

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission

offices, or with the Stewards who issued the ruling.

Wirlet Smith



Ruling #: 2017-RUI-161
Track Ruidoso Downs

Ruling Date: 8/26/2017

Licensee: GARCIA-LUNA, FELIPE D

License Type: 2015 3 Year Jockey Applicant Id: 30961

License Number: 278824

Ruling # 2017-RUI-161

Action Type: Initial Ruling

Ruling:

Jockey Felipe Garcia Luna, having been summoned and having appeared before the Board of Stewards on August 25, 2017, for failure to make sufficient effort to maintain his lane while aboard "BAO BAO", which resulted in the disqualification of his mount from 7th place to 8th place, in the 1st race on August 20, 2017, at Ruidoso Downs.

The Board of Stewards hereby assess, <u>Felipe Garcia Luna</u>, a fine in the amount of <u>two hundred dollars</u>, (\$200.00). The fine is to be paid on or before <u>September 26, 2017</u>, in accordance with <u>15.2.3.8 (B)(3)(l)</u>. Failure to pay the fine within the time prescribed may result in immediate suspension.

Licensee is found to be in violation of:

15.2.5.13(E)(3)(d) NMAC: Post to Finish (d) In a straightaway race, every horse must maintain position as nearly as possible in the lane in which it starts. If a horse is ridden, drifts or swerves out of its lane in such a manner that it interferes with, impedes or intimidates another horse, it is a foul and may result in the disqualification of the offending horse.

This ruling is written in accordance with:

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)
(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

Direct Smith



Ruling #:

2017-RUI-162

Track

Ruidoso Downs

Ruling Date:

8/26/2017

Licensee:

THEDFORD, TANNER TROY

License Type: 2016 3 Year Jockey Applicant Id:

20419

License Number: 282007

Ruling #

2017-RUI-162

Action Type:

Initial Ruling

Ruling:

Jockey **Tanner Thedford**, having been summoned and having appeared before the Board of Stewards on August 25, 2017, for failure to make sufficient effort to maintain his lane while aboard "BLAZIN EAGLE", which resulted in the disqualification of his mount from 5th place to 9th place, in the 12th race on August 20, 2017, at Ruidoso Downs.

The Board of Stewards hereby assess, **Tanner Thedford**, a fine in the amount of **two hundred** dollars, (\$200.00). The fine is to be paid on or before September 26, 2017, in accordance with 15.2.3.8 (B)(3)(I). Failure to pay the fine within the time prescribed may result in immediate suspension.

Licensee is found to be in violation of:

15.2.5.13(E)(3)(d) NMAC: Post to Finish (d) In a straightaway race, every horse must maintain position as nearly as possible in the lane in which it starts. If a horse is ridden, drifts or swerves out of its lane in such a manner that it interferes with, impedes or intimidates another horse, it is a foul and may result in the disqualification of the offending horse.

This ruling is written in accordance with:

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal / 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission

offices, or with the Stewards who issued the ruling.

Dealet Smith



Ruling #:

2017-RUI-163

Track

Ruidoso Downs

Ruling Date:

8/26/2017

Licensee:

THEDFORD, TANNER TROY

License Type:

2016 3 Year Jockey

Applicant Id:

20419

License Number: 282007

Ruling #

2017-RUI-163

Action Type:

Initial Ruling

Ruling:

Jockey, **Tanner Thedford**, having been summoned and having appeared before the Board of Stewards on August 26, 2017, for careless riding during the running of the 8rd race on August 20, 2017, at Ruidoso Downs while aboard "BELLA FACCIA" which resulted in his mount being disqualified from sixth place to ninth place.

For this violation, the Board of Stewards assessed Tanner Thedford a suspension of 5 race days to be served at the start of the 2018 Ruidoso Downs meet. (May 25, 2018, May 26, 2018, May 27, 2018, May 28, 2018 and June 1, 2018.). Tanner Thedford is allowed to ride designated races.

Licensee is found in violation of:

15.2.5.13(E)(2)(a) Post to Finish Which states in pertinent part that "A jockey shall not ride carelessly or willfully so as to permit his/her mount to interfere with, impede or intimidate any other horse in the race." 15.2.5.13(E)(3)(d) Post to Finish In a straightaway race, every horse must maintain position as nearly as possible in the lane in which it starts. If a horse is ridden, drifts or swerves out of its lane in such a manner that it interferes with, impedes or intimidates another horse, it is a foul and may result in the disqualification of the offending horse.

This ruling is written in accordance with:

15.2.5.13(E)(3)(c) NMAC: Post to Finish 15.2.5.13(E)(3)(d) NMAC: Post to Finish 15.2.5.13(E)(4)(a)

NMAC Disqualification./15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys/15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority /16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Ruidoso Downs Stewards

Diach Smith



Ruling #:

2017-RUI-164

Track

Ruidoso Downs

Ruling Date:

8/26/2017

Licensee:

HUDSON, KALIE SUSAN

License Type:

2017 1 Year Exercise P Applicant Id:

30548

License Number:

286266

Ruling #

2017-RUI-164

Action Type:

Initial Ruling

Ruling:

Exercise Rider Kaylie Hudson, shall remain summarily suspended, having failed to appear for her hearing (concerning summary suspension ruling 2017-RUI-124) on August 20, 2017, in accordance with 15.2.1.9(B)(4)(d)non-appearance. Per 15.2.1.9 (C)(21)(a-b) Exclusion, Kaylie Hudson is hereby excluded from all areas of the grounds under the jurisdiction of the NMSRC per 15.2.1.9 (C)(21) NMAC.

Licensee is found in violation of:

15.2.1.9(B)(3)(a) Summary Suspension Which states in pertinent part "If the Stewards determine that a licensee's action constitute an immediate danger to the public health, safety, or welfare, the Stewards may summarily suspend the licensee pending a hearing".

This ruling is written in accordance:

15.2.1.9 (B)(3)(b) NMAC. Summary Suspension

15.2.3.8 B (3)(f) Stewards Disciplinary Action

16.47.1.8 (L)(1)(i) – Conduct Which states in pertinent part "The Commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued, or order disciplinary measures if the applicant is a person whose conduct or reputation may reflect on the honesty, and integrity of horse racing"

15.2.3.8 B (3)(A) Stewards Disciplinary Action stewards shall take notice of alleged misconduct or rule violations and initiate investigations into the matters. The stewards shall have authority to charge any licensee for a violation of these rules, to conduct hearings and to impose disciplinary action in accordance with these rules.

16.47.1.8. T. KNOWLEDGE OF RULES:

16.47.1.3 Statutory Authority

16.47.1.8 (A)(1) License Required

16.47.1.8 (A)(2) License Required

16.47.1.8(N) Last Known Address

Direct Smoth

Ju Care

Lew be twee