

Ruling #:

2019-RUI-1

Track

Ruidoso Downs

Ruling Date:

5/11/2019

Licensee:

THOMPSON, BILL W.

License Type:

Applicant Id:

17126

License Number:

Ruling #

2019-RUI-1

Action Type:

Initial Ruling

Ruling:

<u>Bill W. Thompson</u> failed <u>(FIRST ATTEMPT)</u> the <u>interview</u> <u>portion</u> of the New Mexico Trainers test <u>on May 11, 2019</u>. <u>He</u> is eligible to retake the Trainers test in <u>30 days</u>, after <u>June 10, 2019</u>.

This ruling is written in accordance with:

16.47.1.10 (A)(2) TRAINERS: A. Eligibility: (2) Applicants not previously licensed as a trainer shall be qualified, as determined by the stewards or other commission designee, by reason of (b) Shall be required to pass a written examination, oral interviews with stewards and a regulatory veterinarian; and demonstrate practical skills. 16.47.1 NMAC 8 (d) Applicants failing the first written/oral examination must wait 30 days before retaking the trainer's test. (e) Applicants failing the second written/oral examination must wait 60 days before retaking the trainer's test. (f) Applicants failing the third written/oral examination must wait one year before retaking the trainer's test.

<u>16.47.1.10 (D)(2)Assistant trainers Qualifications</u> for obtaining an assistant trainer's license shall be prescribed by the stewards and the commission may include those requirements prescribed in Paragraph (1) of Subsection A of 16.47.1.10 NMAC.

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Changes In Application Information / 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/15.2.1.9(B)(9)(a)Failure to Appeal

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2019-RUI-2

Track

Ruidoso Downs

Ruling Date:

5/11/2019

Licensee:

HUERTA, GERARDO

License Type:

2018 1 Year Exercise P Applicant Id:

76188

License Number:

291977

Ruling #

2019-RUI-2

Action Type:

Initial Ruling

Ruling:

<u>GERARDO HUERTA</u> failed <u>(FIRST ATTEMPT)</u> the <u>interview portion</u> of the New Mexico Trainers test <u>on May 11, 2019</u>. <u>He</u> is eligible to retake the Trainers test in <u>30</u> <u>days</u>, after <u>June 10, 2019</u>.

This ruling is written in accordance with:

16.47.1.10 (A)(2) TRAINERS: A. Eligibility: (2) Applicants not previously licensed as a trainer shall be qualified, as determined by the stewards or other commission designee, by reason of (b) Shall be required to pass a written examination, oral interviews with stewards and a regulatory veterinarian; and demonstrate practical skills. 16.47.1 NMAC 8 (d) Applicants failing the first written/oral examination must wait 30 days before retaking the trainer's test. (e) Applicants failing the second written/oral examination must wait 60 days before retaking the trainer's test. (f) Applicants failing the third written/oral examination must wait one year before retaking the trainer's test.

<u>16.47.1.10 (D)(2)Assistant trainers Qualifications</u> for obtaining an assistant trainer's license shall be prescribed by the stewards and the commission may include those requirements prescribed in Paragraph (1) of Subsection A of 16.47.1.10 NMAC.

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Changes In Application Information / 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/

15.2.1.9(B)(9)(a)Failure to Appeal

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2019-RUI-3

Track

Ruidoso Downs

Ruling Date:

5/11/2019

Licensee:

GARCIA-LUNA, FELIPE D

License Type:

2018 3 Year Jockey

Applicant Id:

30961

License Number:

291533

Ruling #

2019-RUI-3

Action Type:

Initial Ruling

Ruling:

Jockey <u>Felipe Garcia-Luna</u> was summoned and appeared before the Board of Stewards <u>on May 11</u>, <u>2019</u> for careless riding during the running of the <u>7th race on May 5, 2019</u>, <u>at Sunland Park Racetrack</u>, while <u>aboard "BRIANNA BORDEAXU"</u>, <u>which resulted in his mount being disqualified from 2nd place to 10th place</u>. (Bore in causing interference with inside horses.)

The Board of Stewards hereby assess <u>Felipe Garcia-Luna</u> a suspension of <u>7 calendar days (May 19, 2019, through May 25, 2019, inclusive</u>). Felipe Garcia-Luna is allowed to ride designated races.

Licensee is found in violation of and this ruling is written in accordance with:

15.2.5.13(E)(2)(a) Post to Finish A jockey shall not ride carelessly or willfully so as to permit his/her mount to interfere with, impede or intimidate any other horse in the race."

15.2.5.13(E)(3)(d) Post to Finish In a straightaway race, every horse must maintain position as nearly as possible in the lane in which it starts. If a horse is ridden, drifts or swerves out of its lane in such a manner that it interferes with, impedes or intimidates another horse, it is a foul and may result in the disqualification of the offending horse.

15.2.5.13(E)(3)(c) NMAC: Post to Finish 15.2.5.13(E)(3)(d) NMAC: Post to Finish 15.2.5.13(E)(4)(a) NMAC Disqualification /15.2.1.9(P)(4)(A) Notice of Hearing for Joshum /15.2.1.9(P)(4) COMMISSION (EXPERIMENTAL PROPERTY OF A PARTY O

NMAC Disqualification./15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys/15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority /16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/15.2.1.9(B)(9)(a)Failure to Appeal/

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #: 2019-RUI-4

Track Ruidoso Downs

Ruling Date: 5/11/2019

Licensee: GARCIA-LUNA, FELIPE D

License Type: 2018 3 Year Jockey Applicant Id: 30961

License Number: 291533

Ruling #

2019-RUI-4

Action Type:

Initial Ruling

Ruling:

Jockey <u>Felipe Garcia-Luna</u> was summoned and appeared with Jockey Guild Regional Manager, John Beech

before the Board of Stewards on May 11, 2019 for careless riding during the running of the 4th race on May 10, 2019, at Ruidoso Downs, while aboard "BEST BIGTIME Mv", which resulted in his mount being disqualified from 4th place to 9th place. (Bore out under left handed whip, impeding and causing #4 to check his mount.)

The Board of Stewards hereby assess <u>Felipe Garcia-Luna</u> a suspension of <u>7 calendar days (May 26, 2019, through June 1, 2019, inclusive)</u>. <u>Felipe Garcia-Luna</u> is allowed to ride designated races.

Licensee is found in violation of and this ruling is written in accordance with:

15.2.5.13(E)(2)(a) Post to Finish A jockey shall not ride carelessly or willfully so as to permit his/her mount to interfere with, impede or intimidate any other horse in the race."

15.2.5.13(E)(3)(d) Post to Finish In a straightaway race, every horse must maintain position as nearly as possible in the lane in which it starts. If a horse is ridden, drifts or swerves out of its lane in such a manner that it interferes with, impedes or intimidates another horse, it is a foul and may result in the disqualification of the offending horse.

15.2.5.13(E)(3)(c) NMAC: Post to Finish 15.2.5.13(E)(3)(d) NMAC: Post to Finish 15.2.5.13(E)(4)(a)

NMAC Disqualification./15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys/15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES/16.47.1.3 Statutory Authority/16.47.1.8 (A)(1)(2) License Required/16.47.1.8(N) Last Known Address/16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/15.2.1.9(B)(9)(a)Failure to Appeal/15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Ruidoso Downs Stewards

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Ruling #:

2019-RUI-5

Track

Ruidoso Downs

Ruling Date:

5/11/2019

Licensee:

THEDFORD, TANNER TROY

License Type:

2016 3 Year Jockey

Applicant Id:

20419

License Number:

282007

Ruling #

2019-RUI-5

Action Type:

Initial Ruling

Ruling:

Jockey <u>Tanner Thedford</u> was summoned and appeared with Jockey Guild Regional Manager, John Beech before the Board of Stewards <u>on May 11, 2019</u> for careless riding during the running of the <u>3rd race on May 10, 2019</u>, at Ruidoso Downs, while <u>aboard "LS HOMBRE"</u>, <u>which resulted in his mount being disqualified from 1st place to 7th place. (Bore in bumping #4, causing a chain reaction with inside horses.)</u>

The Board of Stewards hereby assess <u>Tanner Thedford</u> a suspension of <u>7 calendar days (May 19, 2019, through May 25, 2019, inclusive</u>). <u>Tanner Thedford</u> is allowed to ride designated races.

Licensee is found in violation of and this ruling is written in accordance with:

15.2.5.13(E)(2)(a) Post to Finish A jockey shall not ride carelessly or willfully so as to permit his/her mount to interfere with, impede or intimidate any other horse in the race."

15.2.5.13(E)(3)(d) Post to Finish In a straightaway race, every horse must maintain position as nearly as possible in the lane in which it starts. If a horse is ridden, drifts or swerves out of its lane in such a manner that it interferes with, impedes or intimidates another horse, it is a foul and may result in the disqualification of the offending horse.

15.2.5.13(E)(3)(c) NMAC: Post to Finish 15.2.5.13(E)(3)(d) NMAC: Post to Finish 15.2.5.13(E)(4)(a)

NMAC Disqualification./15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys/15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES/16.47.1.3 Statutory Authority/16.47.1.8 (A)(1)(2) License Required/16.47.1.8(N) Last Known Address/16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/15.2.1.9(B)(9)(a)Failure to Appeal/15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission

offices, or with the Stewards who issued the ruling.

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Ruling #: 2019-RUI-6

Track Ruidoso Downs

Ruling Date: 5/11/2019

Licensee: MARTINEZ, EDGAR S

License Type: 2016 3 Year Jockey Applicant Id: 35567

License Number: 283678

Ruling #

2019-RUI-6

Action Type:

Initial Ruling

Ruling:

<u>Jockey Edgar S. Martinez</u> was summoned and failed to appear, respond, or send a jockey guild's representative for film review regarding the <u>9th race on May 5, 2019</u>, <u>at Sunland Park Racetrack</u>.

The Board of Stewards hereby fines <u>Edgar S. Martinez</u> in the amount of <u>one</u> <u>hundred dollars</u> (<u>\$100.00</u>). The fine is to be paid on or before <u>June 11, 2019</u> in accordance with 15.2.3.8(B)(3)(l). Failure to pay the fine within the time prescribed will result in immediate suspension.

Licensee is found in violation of and this ruling is written in accordance with:

15.2.1.9(B)(4)(d) Nonappearance Of A Summoned Party Nonappearance of a summoned party after adequate notice shall be construed as a waiver of the right to a hearing before the board of stewards.

15.2.1.9(B)(4)(A) Notice Of Hearing- Jockey Except as provided by these rules regarding summary suspension, jockey riding infractions and trial races, the stewards or a racing commission designee shall provide written notice, at least 10 days before the hearing, to a person who is the subject of a disciplinary hearing. The person may waive their right to 10 days notice by executing a written waiver.

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/ 15.2.3.8 B (1) (a)(c)(d)Stewards General Authority /16.47.1.8 (T) KNOWLEDGE OF RULES/16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required/ 16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a)Failure to Appeal

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2019-RUI-7

Track

Ruidoso Downs

Ruling Date:

5/11/2019

Licensee:

MARTINEZ, EDGAR S

License Type:

2016 3 Year Jockey

Applicant Id:

35567

License Number:

283678

Ruling #

2019-RUI-7

Action Type:

Initial Ruling

Ruling:

Jockey <u>Edgar S. Martinez</u>, was duly notified, failed to appear, and had <u>his</u> hearing held in absentia, in accordance with <u>15.2.1.9(B)(4)(d)non-appearance</u>, before the Board of Stewards on <u>May 11</u>, <u>2019</u>. The hearing was in regard to <u>Edgar S. Martinez's</u> misuse of whip on his mount <u>"PRINCESS KATELYN"</u> during the post parade for the <u>9th race on May 5, 2019</u>, at <u>Sunland Park Racetrack</u>.

The Board of Stewards hereby fines <u>Edgar S. Martinez</u> in the amount of <u>two hundred dollars</u> (\$200.00). The fine is to be paid on or before <u>June 11, 2019</u>, in accordance with <u>15.2.3.8(B)(3)(I)</u> <u>NMAC</u>. Failure to pay the fine within the time prescribed will result in immediate suspension.

Licensee is found to be in violation of and this ruling is written in accordance with

15.2.5.13(E)(6)(e) Use of riding crops. <u>Indiscriminate use of the whip is prohibited including whipping a horse:</u> on the head, flanks or on any other part of its body other than the shoulders or hind quarters except when necessary to control a horse; <u>during the post parade or after the finish of the race except when necessary to control the horse</u>; excessively or brutally causing welts or breaks in the skin; when the horse is clearly out of the race or has obtained its maximum placing; persistently even though the horse is showing no response under the riding crop; or striking another rider or horse.

16.47.1.8(U)(2)PROTECTION OF HORSES No licensee or other person under the jurisdiction of the commission shall subject or permit any animal under their control, custody or supervision to be subjected to or to incur any form of cruelty, mistreatment, neglect or abuse or abandon, injure, maim or kill or administer any noxious substance to or deprive any animal of necessary care or sustenance, shelter or veterinary care

16.47.1.8 (L)(1)(i) – CONDUCT The Commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued, or order disciplinary measures if the applicant is a person whose conduct or reputation may reflect on the honesty, and integrity of horse racing 15.2.1.9(B)(4)(A) Notice of hearing for Jockeys 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a)Failure to Appeal

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #: 2019-RUI-8

Track Ruidoso Downs

Ruling Date: 5/11/2019

Licensee: RIVERA, ALONSO

License Type: 2019 3 Year Jockey Applicant Id: 19096

License Number: 293082

Ruling #

2019-RUI-8

Action Type:

Initial Ruling

Ruling:

Jockey <u>Alonso Rivera</u>, was duly notified and responded telephonically before the Board of Stewards on <u>May 11, 2019</u>. The hearing was in regard to <u>Alonso Rivera's</u> misuse of whip on his mount <u>"SIX PACK FAME"</u> during the post parade for the <u>9th race on May 5, 2019, at Sunland Park Racetrack.</u>

The Board of Stewards hereby fines <u>Alonso Rivera</u> in the amount of <u>two hundred dollars (\$200.00)</u>. The fine is to be paid on or before <u>June 11, 2019</u>, in accordance with <u>15.2.3.8(B)(3)(I) NMAC</u>. Failure to pay the fine within the time prescribed will result in immediate suspension.

Licensee is found to be in violation of and this ruling is written in accordance with

15.2.5.13(E)(6)(e) Use of riding crops. <u>Indiscriminate use of the whip is prohibited including whipping a horse</u>: on the head, flanks or on any other part of its body other than the shoulders or hind quarters except when necessary to control a horse; <u>during the post parade or after the finish of the race except when necessary to control the horse</u>; excessively or brutally causing welts or breaks in the skin; when the horse is clearly out of the race or has obtained its maximum placing; persistently even though the horse is showing no response under the riding crop; or striking another rider or horse.

16.47.1.8(U)(2)PROTECTION OF HORSES No licensee or other person under the jurisdiction of the commission shall subject or permit any animal under their control, custody or supervision to be subjected to or to incur any form of cruelty, mistreatment, neglect or abuse or abandon, injure, maim or kill or administer any noxious substance to or deprive any animal of necessary care or sustenance, shelter or veterinary care

16.47.1.8 (L)(1)(i) – CONDUCT The Commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued, or order disciplinary measures if the applicant is a person whose conduct or reputation may reflect on the honesty, and integrity of horse racing 15.2.1.9(B)(4)(A) Notice of hearing for Jockeys 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2019-RUI-9

Track

Ruidoso Downs

Ruling Date:

5/11/2019

Licensee:

ALVIDREZ, ARMANDO

License Type:

2018 1 Year Jockey

Applicant Id:

157311

License Number:

291285

Ruling #

2019-RUI-9

Action Type:

Initial Ruling

Ruling:

<u>Jockey Armando Alvidrez</u> was summoned and failed to appear, respond, or send a jockey guild's representative for film review regarding the <u>5th race on May 10, 2019</u>, <u>at Ruidoso Downs</u>.

The Board of Stewards hereby fines <u>Armando Alvidrez</u> in the amount of <u>one</u> <u>hundred dollars</u> (\$100.00). The fine is to be paid on or before <u>June 12, 2019</u> in accordance with 15.2.3.8(B)(3)(I). Failure to pay the fine within the time prescribed will result in immediate suspension.

Licensee is found in violation of and this ruling is written in accordance with:

15.2.1.9(B)(4)(d) Nonappearance Of A Summoned Party Nonappearance of a summoned party after adequate notice shall be construed as a waiver of the right to a hearing before the board of stewards.

15.2.1.9(B)(4)(A) Notice Of Hearing- Jockey Except as provided by these rules regarding summary suspension, jockey riding infractions and trial races, the stewards or a racing commission designee shall provide written notice, at least 10 days before the hearing, to a person who is the subject of a disciplinary hearing. The person may waive their right to 10 days notice by executing a written waiver.

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/ 15.2.3.8 B (1) (a)(c)(d)Stewards General Authority /16.47.1.8 (T) KNOWLEDGE OF RULES/16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required/ 16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)
(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2019-RUI-10

Track

Ruidoso Downs

Ruling Date:

5/11/2019

Licensee:

ALVIDREZ, ARMANDO

License Type:

2018 1 Year Jockey

Applicant Id:

157311

License Number: 291285

Ruling #

2019-RUI-10

Action Type:

Initial Ruling

Ruling:

Jockey Armando Alvidrez, was duly notified, failed to appear, and had his hearing held in absentia, in accordance with 15.2.1.9(B)(4)(d)non-appearance, before the Board of Stewards on May 11, 2019. The hearing was in regard to Armando Alvidrez's misuse of whip on his mount "WAVE MY BOOTY BYEBYE" during the post parade for the 5th race on May 10, 2019, at Ruidoso Downs.

The Board of Stewards hereby fines Armando Alvidrez in the amount of two hundred dollars (\$200.00). The fine is to be paid on or before June 12, 2019, in accordance with 15.2.3.8(B)(3)(I) NMAC. Failure to pay the fine within the time prescribed will result in immediate suspension.

Licensee is found to be in violation of and this ruling is written in accordance with

15.2.5.13(E)(6)(e) Use of riding crops. Indiscriminate use of the whip is prohibited including whipping a horse: on the head, flanks or on any other part of its body other than the shoulders or hind quarters except when necessary to control a horse; during the post parade or after the finish of the race except when necessary to control the horse; excessively or brutally causing welts or breaks in the skin; when the horse is clearly out of the race or has obtained its maximum placing; persistently even though the horse is showing no response under the riding crop; or striking another rider or

16.47.1.8(U)(2)PROTECTION OF HORSES No licensee or other person under the jurisdiction of the commission shall subject or permit any animal under their control, custody or supervision to be subjected to or to incur any form of cruelty, mistreatment, neglect or abuse or abandon, injure, maim or kill or administer any noxious substance to or deprive any animal of necessary care or sustenance, shelter or veterinary care

16.47.1.8 (L)(1)(i) - CONDUCT The Commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued, or order disciplinary measures if the applicant is a person whose conduct or reputation may reflect on the honesty, and integrity of horse racing 15.2.1.9(B)(4)(A) Notice of hearing for Jockeys 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal/ 15.2.1.9(B)(9)(b):

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section. must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2019-RUI-11

Track

Ruidoso Downs

Ruling Date:

5/12/2019

Licensee:

AGUILAR, EFREN

License Type:

2018 1 Year Jockey

Applicant Id:

159432

License Number:

291475

Ruling #

2019-RUI-11

Action Type:

Initial Ruling

Ruling:

Jockey EFREN AGUILAR was summoned and appeared for hearing before the Board of Stewards on May 12, 2019. The hearing was in regard to EFREN AGUILAR failing to make his assigned weight, which resulted in a late change of rider for entry "CHROME STILLETTOS" in the 3rd race on May 11, 2019, at Ruidoso Downs.

The Board of Stewards hereby fines **EFREN AGUILAR** in the amount of two hundred dollars (\$200.00). The fine is to be paid on or before June 12, 2019, in accordance with 15.2.3.8(B)(3)(1) NMRC. Failure to pay the fine within the time prescribed will result in immediate suspension.

Licensee is found to be in violation of and this ruling is written in accordance with:

15.2.5.13(C)(1) Which states in pertinent part that "Jockeys shall report to the Jockey's quarters at the time designated by the association. Jockeys shall report their engagements and any overweight to the clerk of scales. Jockeys shall not leave the Jockeys' quarters, except to ride in scheduled races, until all of their riding engagements of the day have been fulfilled except as approved by the Stewards." 15.2.5.13(C) (12) Seven pounds is the limit of overweight any horse is permitted to carry.

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys, 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority ,16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a)Failure to Appeal

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission

offices, or with the Stewards who issued the ruling.

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Ruling #:

2019-RUI-12

Track

Ruidoso Downs

Ruling Date:

5/12/2019

Licensee:

SALCEDO, MAURO

License Type:

Applicant Id:

137075

License Number:

Ruling #

2019-RUI-12

Action Type:

Initial Ruling

Ruling:

<u>Jockey Mauro Salcedo</u> was summoned and failed to appear, respond, or send a jockey guild's representative for film review regarding the <u>3rd race on May 5, 2019, at Sunland Park Racetrack</u>.

The Board of Stewards hereby fines <u>Mauro Salcedo</u> in the amount of <u>one hundred</u> <u>dollars (\$100.00)</u>. The fine is to be paid on or before <u>June 12, 2019</u> in accordance with 15.2.3.8(B)(3)(I). Failure to pay the fine within the time prescribed will result in immediate suspension.

Licensee is found in violation of and this ruling is written in accordance with:

15.2.1.9(B)(4)(d) Nonappearance Of A Summoned Party Nonappearance of a summoned party after adequate notice shall be construed as a waiver of the right to a hearing before the board of stewards.

15.2.1.9(B)(4)(A) Notice Of Hearing- Jockey Except as provided by these rules regarding summary suspension, jockey riding infractions and trial races, the stewards or a racing commission designee shall provide written notice, at least 10 days before the hearing, to a person who is the subject of a disciplinary hearing. The person may waive their right to 10 days notice by executing a written waiver.

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/ 15.2.3.8 B (1) (a)(c)(d)Stewards General Authority /16.47.1.8 (T) KNOWLEDGE OF RULES/16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required/ 16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a)Failure to Appeal

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2019-RUI-13

Track

Ruidoso Downs

Ruling Date:

5/12/2019

Licensee:

SALCEDO, MAURO

License Type:

2019 3 Year Jockey

Applicant Id:

137075

License Number: 2

293014

Ruling #

2019-RUI-13

Action Type:

Initial Ruling

Ruling:

Jockey <u>Mauro Salcedo</u> was summoned, failed to appear, and had <u>his</u> hearing held in absentia, in accordance with <u>15.2.1.9(B)(4)(d)non-appearance</u>, before the Board of Stewards <u>on May 12, 2019</u> for careless riding during the running of the <u>3rd race on May 5, 2019</u>, at <u>Sunland Park Racetrack</u>, while <u>aboard "HOT LIPS LUPITA"</u>, which resulted in his mount being disqualified from 7th place to 10th place. (Drifted in throughout causing interference with inside horses.)

The Board of Stewards hereby assess <u>Mauro Salcedo</u> a suspension of <u>7 calendar days</u> (<u>May 20, 2019, through May 26, 2019, inclusive</u>). <u>Mauro Salcedo</u> is allowed to ride designated races.

Licensee is found in violation of and this ruling is written in accordance with:

15.2.5.13(E)(2)(a) Post to Finish A jockey shall not ride carelessly or willfully so as to permit his/her mount to interfere with, impede or intimidate any other horse in the race."

15.2.5.13(E)(3)(d) Post to Finish In a straightaway race, every horse must maintain position as nearly as possible in the lane in which it starts. If a horse is ridden, drifts or swerves out of its lane in such a manner that it interferes with, impedes or intimidates another horse, it is a foul and may result in the disqualification of the offending horse.

15.2.5.13(E)(3)(c) NMAC: Post to Finish 15.2.5.13(E)(3)(d) NMAC: Post to Finish 15.2.5.13(E)(4)(a)

NMAC Disqualification./15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys/15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority /16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Ruidoso Downs Stewards

West Switer



Ruling #:

2019-RUI-14

Track

Ruidoso Downs

Ruling Date:

5/12/2019

Licensee:

ORTIZ, JOSE ENRIQUE

License Type:

2016 3 Year Jockey

Applicant Id:

35364

License Number: 281100

Ruling #

2019-RUI-14

Action Type:

Initial Ruling

Ruling:

Jockey Jose Enrique Ortiz was summoned and failed to appear, respond, or send a jockey guild's representative for film review regarding the 3rd race on May 11, 2019, at Ruidoso Downs.

The Board of Stewards hereby fines **Jose Enrique Ortiz** in the amount of **one** hundred dollars (\$100.00). The fine is to be paid on or before June 12, 2019 in accordance with 15.2.3.8(B)(3)(I). Failure to pay the fine within the time prescribed will result in immediate suspension.

Licensee is found in violation of and this ruling is written in accordance with:

15.2.1.9(B)(4)(d) Nonappearance Of A Summoned Party Nonappearance of a summoned party after adequate notice shall be construed as a waiver of the right to a hearing before the board of stewards.

15.2.1.9(B)(4)(A) Notice Of Hearing- Jockey Except as provided by these rules regarding summary suspension, jockey riding infractions and trial races, the stewards or a racing commission designee shall provide written notice, at least 10 days before the hearing, to a person who is the subject of a disciplinary hearing. The person may waive their right to 10 days notice by executing a written waiver.

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/ 15.2.3.8 B (1) (a)(c)(d)Stewards General Authority /16.47.1.8 (T) KNOWLEDGE OF RULES/16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required/ 16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a)Failure to Appeal

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2019-RUI-15

Track

Ruidoso Downs

Ruling Date:

5/12/2019

Licensee:

ORTIZ, JOSE ENRIQUE

License Type:

2016 3 Year Jockey

Applicant Id:

35364

License Number:

281100

Ruling #

2019-RUI-15

Action Type:

Initial Ruling

Ruling:

Jockey <u>Jose Enrique Ortiz</u> was <u>summoned</u>, failed to appear, and had <u>his</u> hearing held in absentia, in accordance with <u>15.2.1.9(B)(4)(d)non-appearance</u>, before the Board of Stewards on <u>May 12, 2019</u>. The hearing was in regard to <u>Jose Enrique</u> <u>Ortiz</u> failing to make his assigned weight, which resulted in a late change of rider for entry <u>"TINY MISS PERRY" in the 3rd race on May 11, 2019, at Ruidoso Downs.</u>

The Board of Stewards hereby fines <u>Jose Enrique Ortiz</u> in the amount of <u>two</u> <u>hundred dollars (\$200.00)</u>. The fine is to be paid on or before <u>June 12, 2019</u>, in accordance with 15.2.3.8(B)(3)(1) NMRC. Failure to pay the fine within the time prescribed will result in immediate suspension.

Licensee is found to be in violation of and this ruling is written in accordance with:

15.2.5.13(C)(1) Which states in pertinent part that "Jockeys shall report to the Jockey's quarters at the time designated by the association. Jockeys shall report their engagements and any overweight to the clerk of scales. Jockeys shall not leave the Jockeys' quarters, except to ride in scheduled races, until all of their riding engagements of the day have been fulfilled except as approved by the Stewards."

15.2.5.13(C) (12) Seven pounds is the limit of overweight any horse is permitted to carry.

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys, 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority ,16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Ruidoso Downs Stewards

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Ruling #:

2019-RUI-16

Track

Ruidoso Downs

Ruling Date:

5/13/2019

Licensee:

HINES, LARRY KEVIN

License Type:

2017 3 Year Trainer

Applicant Id:

14565

License Number:

285696

Ruling #

2019-RUI-16

Action Type:

Initial Ruling

Ruling:

The Assistant Trainer's License issued to Larry Kevin Hines is hereby rescinded without prejudice as he has appeared before the Board of Stewards on May 11, 2019, and stated that he no longer works in this capacity. Larry Kevin Hines is hereby issued a Trainer's License.

This ruling is written in accordance with:

16.47.1.8 (M)(2) DURATION OF LICENSE: Which states "A license is valid only under the condition that the licensee remains eligible to hold such a license".

15.2.3.8 B (3)(f) Stewards Disciplinary Action

15.2.3.8 B (1) (d) Stewards General Authority

16.47.1.8. T. KNOWLEDGE OF RULES:

16.47.1.3 Statutory Authority

16.47.1.8 (A)(1) License Required

16.47.1.8 (A)(2) License Required

16.47.1.8(N) Last Known Address

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2019-RUI-17

Track

Ruidoso Downs

Ruling Date:

5/13/2019

Licensee:

MONTES, JR JOHNNY R.

License Type:

2019 1 Year Jockey Ag Applicant Id:

34669

License Number:

292826

Ruling #

2019-RUI-17

Action Type:

Initial Ruling

Ruling:

The <u>Jockey Agent License</u> issued to <u>Johnny R. Montes Jr</u> is hereby rescinded without prejudice as <u>he has</u> appeared before the Board of Stewards on <u>May 12, 2019</u>, and stated that <u>he</u> no longer works in this capacity. <u>Johnny R. Montes</u> is hereby issued a <u>Racing Secretary's License</u>.

This ruling is written in accordance with:

16.47.1.8 (M)(2) DURATION OF LICENSE: Which states "A license is valid only under the condition that the licensee remains eligible to hold such a license".

15.2.3.8 B (3)(f) Stewards Disciplinary Action

15.2.3.8 B (1) (d) Stewards General Authority

16.47.1.8. T. KNOWLEDGE OF RULES:

16.47.1.3 Statutory Authority

16.47.1.8 (A)(1) License Required

16.47.1.8 (A)(2) License Required

16.47.1.8(N) Last Known Address

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #: 2019-RUI-18
Track Ruidoso Downs

Ruling Date: 5/13/2019

Licensee: ZAMORA, JAMIE LYNN

License Type: 2018 1 Year Asst Racin Applicant Id: 16886

License Number: 291563

Ruling # 2019-RUI-18

Action Type: Initial Ruling

Ruling:

The <u>Assistant Racing Secretary's License</u> issued to <u>Jamie Zamora</u> is hereby rescinded without prejudice as <u>she has</u> appeared before the Board of Stewards on <u>May 12, 2019</u>, and stated that <u>she</u> no longer works in this capacity. <u>Jamie Zamora</u> is hereby issued a <u>Office Personnel License</u>.

This ruling is written in accordance with:

16.47.1.8 (M)(2) DURATION OF LICENSE: Which states "A license is valid only under the condition that the licensee remains eligible to hold such a license".

15.2.3.8 B (3)(f) Stewards Disciplinary Action

15.2.3.8 B (1) (d) Stewards General Authority

16.47.1.8. T. KNOWLEDGE OF RULES:

16.47.1.3 Statutory Authority

16.47.1.8 (A)(1) License Required

16.47.1.8 (A)(2) License Required

16.47.1.8(N) Last Known Address

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2019-RUI-19

Track

Ruidoso Downs

Ruling Date:

5/13/2019

Licensee:

CATHEY, JILLIAN CHRISTINE

License Type:

2019 1 Year Placing Ju Applicant Id:

35323

License Number: 292687

Ruling #

2019-RUI-19

Action Type:

Initial Ruling

Ruling:

The Placing Judge License issued to Jill C. Cathey is hereby rescinded without prejudice as she has appeared before the Board of Stewards on May 10, 2019, and stated that she no longer works in this capacity. Jill C. Cathey is hereby issued a State Steward's License.

This ruling is written in accordance with:

16.47.1.8 (M)(2) DURATION OF LICENSE: Which states "A license is valid only under the condition that the licensee remains eligible to hold such a license".

15.2.3.8 B (3)(f) Stewards Disciplinary Action

15.2.3.8 B (1) (d) Stewards General Authority

16.47.1.8. T. KNOWLEDGE OF RULES:

16.47.1.3 Statutory Authority

16.47.1.8 (A)(1) License Required

16.47.1.8 (A)(2) License Required

16.47.1.8(N) Last Known Address

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

Virld Smith



Ruling #:

2019-RUI-20

Track

Ruidoso Downs

Ruling Date:

5/13/2019

Licensee:

SOTO, SALVADOR RENTERIA

License Type:

2016 3 Year Trainer

Applicant Id:

7872

License Number:

282502

Ruling #

2019-RUI-20

Action Type:

Initial Ruling

Ruling:

<u>Trainer Salvador Soto</u> is hereby suspended, effective immediately <u>May 13, 2019</u>, for failing to pay the <u>fines (\$4,525)</u> assessed in <u>Rulings 2019-SUN 167-208-213</u>.

During said time of applicable suspension <u>Salvador Soto</u> is hereby excluded from all areas of the grounds under the jurisdiction of the NMRC, in accordance with <u>15.2.1.9</u> (C)(21).

Additionally, effective upon applicable suspension dates, all horses <u>trained or owned</u> by or under the care and custody of Salvador Soto are ineligible to be entered or start in any race in this jurisdiction, per <u>15.2.1.9 (C)(21)(c)</u>. All transfers and sales of horses <u>trained or owned by or under the care and custody of Salvador Soto</u> must be done in accordance with <u>15.2.6.9A(12)(a)(b) Medication and Prohibited</u> Substances, 16.47.1.10 (D)(5)Assistant Trainers and <u>15.2.1.9(B)(8)(b) DUE PROCESS AND DISCIPLINARY ACTION</u>.

Licensee is found to be in violation of and this ruling is written in accordance with:

15.2.3.8 (B)(3)(1) All fines imposed by the Stewards shall be paid to the Commission within 30 days after the ruling is issued, unless otherwise ordered

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Changes In Application Information / 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/15.2.1.9(B)(9)(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2019-RUI-21

Track

Ruidoso Downs

Ruling Date:

5/13/2019

Licensee:

RIVERA, DOMINIC ANDRES

License Type:

2018 1 Year Trainer

Applicant Id:

29622

License Number:

289609

Ruling #

2019-RUI-21

Action Type:

Initial Ruling

Ruling:

<u>Trainer Dominic Rivera</u> is hereby suspended, effective immediately <u>May 13, 2019</u>, for failing to pay the <u>fine (\$1,500)</u> assessed in <u>Ruling 2019-SUN-4</u>

During said time of applicable suspension <u>Dominic Rivera</u> is hereby excluded from all areas of the grounds under the jurisdiction of the NMRC, in accordance with <u>15.2.1.9</u> (C)(21).

Additionally, effective upon applicable suspension dates, all horses <u>trained or owned</u> <u>by or under the care and custody</u> of <u>Dominic Rivera</u> are ineligible to be entered or start in any race in this jurisdiction, per <u>15.2.1.9 (C)(21)(c)</u>. All transfers and sales of horses <u>trained or owned by or under the care and custody</u> of <u>Dominic Rivera</u> must be done in accordance with <u>15.2.6.9A(12)(a)(b) Medication and Prohibited</u> <u>Substances</u>, <u>16.47.1.10 (D)(5)Assistant Trainers</u> and <u>15.2.1.9(B)(8)(b) DUE</u> <u>PROCESS AND DISCIPLINARY ACTION</u>.

Licensee is found to be in violation of and this ruling is written in accordance with:

15.2.3.8 (B)(3)(1) All fines imposed by the Stewards shall be paid to the Commission within 30 days after the ruling is issued, unless otherwise ordered

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Changes In Application Information / 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

Direct Smith



Ruling #:

2019-RUI-22

Track

Ruidoso Downs

Ruling Date:

5/13/2019

Licensee:

MUNOZ, MANUEL ANTONIO

License Type:

2019 3 Year Owner

Applicant Id:

27226

License Number:

293561

Ruling #

2019-RUI-22

Action Type:

Initial Ruling

Ruling:

<u>Trainer/Owner Manuel Antonio Munoz</u> is hereby suspended, effective immediately <u>May 13, 2019</u>, for failing to pay the <u>fine (\$200)</u> assessed in <u>Ruling 2019-SUN-206</u>

During said time of applicable suspension Manuel Antonio Munoz is hereby excluded from all areas of the grounds under the jurisdiction of the NMRC, in accordance with 15.2.1.9 (C)(21).

Additionally, effective upon applicable suspension dates, all horses <u>trained or owned</u> <u>by or under the care and custody</u> of <u>Manuel Antonio Munoz</u> are ineligible to be entered or start in any race in this jurisdiction, per <u>15.2.1.9 (C)(21)(c)</u>. All transfers and sales of horses <u>trained or owned by or under the care and custody</u> of <u>Manuel Antonio Munoz</u> must be done in accordance with <u>15.2.6.9A(12)(a)(b) Medication</u> <u>and Prohibited Substances</u>, <u>16.47.1.10 (D)(5)Assistant Trainers</u> and <u>15.2.1.9(B)(8)</u> (b) DUE PROCESS AND DISCIPLINARY ACTION.

Licensee is found to be in violation of and this ruling is written in accordance with:

15.2.3.8 (B)(3)(1) All fines imposed by the Stewards shall be paid to the Commission within 30 days after the ruling is issued, unless otherwise ordered

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Changes In Application Information / 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/15.2.1.9(B)(9)(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2019-RUI-23

Track

Ruidoso Downs

Ruling Date:

5/17/2019

Licensee:

ALVAREZ, RAMON ARIEL

License Type:

2017 3 Year Trainer

Applicant Id:

35648

License Number: 287660

Ruling #

2019-RUI-23

Action Type:

Initial Ruling

Ruling:

Trainer Ramon Ariel Alvarez is hereby suspended, effective immediately May 17, 2019, for failing to pay the fine (\$200) assessed in Ruling 2019-SUN-100

During said time of applicable suspension Ramon Ariel Alvarez is hereby excluded from all areas of the grounds under the jurisdiction of the NMRC, in accordance with 15.2.1.9 (C)(21).

Additionally, effective upon applicable suspension dates, all horses trained by or under the care and custody of Ramon Ariel Alvarez are ineligible to be entered or start in any race in this jurisdiction, per 15.2.1.9 (C)(21)(c). All transfers and sales of horses trained by or under the care and custody of Ramon Ariel Alvarez must be done in accordance with 15.2.6.9A(12)(a)(b) Medication and Prohibited Substances, 16.47.1.10 (D)(5)Assistant Trainers and 15.2.1.9(B)(8)(b) DUE PROCESS AND **DISCIPLINARY ACTION.**

Licensee is found to be in violation of and this ruling is written in accordance with:

15.2.3.8 (B)(3)(1) All fines imposed by the Stewards shall be paid to the Commission within 30 days after the ruling is issued, unless otherwise ordered

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Changes In Application Information / 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a) Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal ander this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission, offices, or with the Stewards who issued the ruling.

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Ruling #:

2019-RUI-24

Track

Ruidoso Downs

Ruling Date:

5/17/2019

Licensee:

CARRETE, FERNANDO

License Type:

Applicant Id:

28930

License Number:

Ruling #

2019-RUI-24

Action Type:

Initial Ruling

Ruling:

Owner Fernando Carrete is hereby suspended, effective immediately May 17, 2019, for failing to pay the fine (\$25) assessed in Ruling 2019-SUN-166.

During said time of applicable suspension <u>Fernando Carrete</u> is hereby excluded from all areas of the grounds under the jurisdiction of the NMRC, in accordance with <u>15.2.1.9 (C)(21)</u>.

Additionally, effective upon applicable suspension dates, all horses <u>owned by or under the care and custody</u> of <u>Fernando Carrete</u> are ineligible to be entered or start in any race in this jurisdiction, per <u>15.2.1.9 (C)(21)(c)</u>. All transfers and sales of horses <u>owned by or under the care and custody</u> of <u>Fernando Carrete</u> must be done in accordance with <u>15.2.6.9A(12)(a)(b) Medication and Prohibited Substances</u>, <u>16.47.1.10 (D)(5)Assistant Trainers</u> and <u>15.2.1.9(B)(8)(b) DUE PROCESS AND DISCIPLINARY ACTION</u>.

Licensee is found to be in violation of and this ruling is written in accordance with:

15.2.3.8 (B)(3)(1) All fines imposed by the Stewards shall be paid to the Commission within 30 days after the ruling is issued, unless otherwise ordered

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Changes In Application Information / 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/15.2.1.9(B)(9)(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2019-RUI-25

Track

Ruidoso Downs

Ruling Date:

5/17/2019

Licensee:

RODRIGUEZ, LUIS HUMBERTO

License Type:

2016 3 Year Jockey

Applicant Id:

20350

License Number: 284081

Ruling #

2019-RUI-25

Action Type:

Initial Ruling

Ruling:

Jockey <u>Luis h. Rodriguez</u> was summoned and appeared before the Board of Stewards on May 17, 2019, for careless riding during the running of the 5th race on May 12, 2019, at Ruidoso Downs Racetrack, while aboard "HIT BTWEEN THE ICE" (Drifted out into the path of the #3.)

(The incident occurred shortly before the wire and did not affect the outcome of the race, therefore #2 (Luis H. Rodriguez) was not disqualified.).

The Board of Stewards hereby suspends Luis H. Rodriguez for a period of 7 calendar days (May 24, 2019, through May 30, 2019, inclusive). Luis H. Rodriguez is allowed to ride designated races.

Licensee is found in violation of and this ruling is written in accordance with:

15.2.5.13(E)(2)(a) NMAC: Post to Finish A jockey shall not ride carelessly or willfully so as to permit his/her mount to interfere with, impede or intimidate any other horse in the race.

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys/ 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority /16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal / 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.



Ruling #:

2019-RUI-26

Track

Ruidoso Downs

Ruling Date:

5/18/2019

Licensee:

FIERRO, RODOLFO VELAZQUEZ

License Type:

2017 3 Year Jockey

Applicant Id:

160294

License Number:

287446

Ruling #

2019-RUI-26

Action Type:

Initial Ruling

Ruling:

ISSUED IN ERROR

15.2.3.8(B)(1)(e) Stewards Authority The stewards shall have the authority to amend, revoke, rescind or modify any ruling that they issued in error in accordance with the laws of this state and these rules.

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2019-RUI-27

Track

Ruidoso Downs

Ruling Date:

5/18/2019

Licensee:

FIERRO, RODOLFO VELAZQUEZ

License Type:

Applicant Id:

160294

License Number:

Ruling #

2019-RUI-27

Action Type:

Initial Ruling

Ruling:

15.2.3.8(B)(1)(e) Stewards Authority The stewards shall have the authority to amend, revoke, rescind or modify any ruling that they issued in error in accordance with the laws of this state and these rules.

issued in error

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2019-RUI-28

Track

Ruidoso Downs

Ruling Date:

5/18/2019

Licensee:

HERNANDEZ, ALAN ALEXIS

License Type:

Applicant Id:

161096

License Number:

Ruling #

2019-RUI-28

Action Type:

Initial Ruling

Ruling:

15.2.3.8(B)(1)(e) Stewards Authority The stewards shall have the authority to amend, revoke, rescind or modify any ruling that they issued in error in accordance with the laws of this state and these rules.

issued in error

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #: 2019-RUI-29
Track Ruidoso Downs

Ruling Date: 5/18/2019

Licensee: HERNANDEZ, ALAN ALEXIS

License Type: Applicant Id: 161096

License Number:

Ruling #

2019-RUI-29

Action Type:

Initial Ruling

Ruling:

15.2.3.8(B)(1)(e) Stewards Authority The stewards shall have the authority to amend, revoke, rescind or modify any ruling that they issued in error in accordance with the laws of this state and these rules.

issued in error

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2019-RUI-30

Track

Ruidoso Downs

Ruling Date:

5/18/2019

Licensee:

CARTER, JR GEORGE ROBERT

License Type:

2018 1 Year Jockey

Applicant Id:

34130

License Number: 290598

Ruling #

2019-RUI-30

Action Type:

Initial Ruling

Ruling:

The **Jockey's license** issued to **George Carter Jr.** is herby rescinded without prejudice as he has been issued a owner's license.

This ruling is in accordance with:

16.47.1.8 (M)(2)Duration Of License A license is valid only under the condition that the licensee remains eligible to hold such a license.

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 15.2.1.9(B)(9)(a)Failure to Appeal

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #: 2019-RUI-31
Track Ruidoso Downs

Ruling Date: 5/19/2019

Licensee: GARCIA,JR NOE

License Type: 2018 3 Year Jockey Applicant Id: 157715

License Number: 291034

Ruling # 2019-RUI-31

Action Type: Initial Ruling

Ruling:

Jockey <u>Noe Garcia Jr.</u> was summoned <u>and appeared</u> before the Board of Stewards on <u>May 18, 2019</u>, for failure to make sufficient effort to maintain his lane while aboard "<u>VALIANT SIGN</u>", <u>which resulted in his mount being disqualified from 1st place to 4th place in the 8th race on May 17, 2019, at Ruidoso Downs.</u>

The Board of Stewards hereby fines **Noe Garcia Jr.** in the amount of **two hundred dollars**, (\$200.00). The fine is to be paid on or before **June 18**, 2019 in accordance with **15.2.3.8** (B)(3)(I). Failure to pay the fine within the time prescribed shall result in immediate suspension.

Licensee is found to be in violation of and this ruling is written in accordance with:

15.2.5.13(E)(3)(d) Post to Finish In a straightaway race, every horse must maintain position as nearly as possible in the lane in which it starts. If a horse is ridden, drifts or swerves out of its lane in such a manner that it interferes with, impedes or intimidates another horse, it is a foul and may result in the disqualification of the offending horse.

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)
(a) Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who

By Order of The Ruidoso Downs Stewards

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Ruling #: 2019-RUI-32
Track Ruidoso Downs

Ruling Date: 5/19/2019

Licensee: HERRERA, RAUL

License Type: 2017 3 Year Jockey Applicant Id: 46080

License Number: 286057

Ruling # 2019-RUI-32

Action Type: Initial Ruling

Ruling:

Raul Herrera was summoned and appeared before the Board of Stewards on May 18, 2019. The hearing was in regard to Raul Herrera's excessive use of the whip on his mount "RUNNINGALLNIGHT" during the running of the 7th race on May 12, 2019, at Ruidoso Downs.

The Board of Stewards hereby fines **Raul Herrera** in the amount of **two hundred dollars (\$200.00)**. The fine is to be paid on or before **June 18, 2019**, in accordance with **15.2.3.8(B)(3)(l)**. Failure to pay the fine within the time prescribed shall result in immediate suspension.

Licensee is found to be in violation of and this ruling is written in accordance with:

15.2.5.13(E)(6)(e) Use of riding crops. Indiscriminate use of the whip is prohibited including whipping a horse; on the head, flanks or on any other part of its body other than the shoulders or hind quarters except when necessary to control a horse; during the post parade or after the finish of the race except when necessary to control the horse; excessively or brutally causing welts or breaks in the skin; when the horse is clearly out of the race or has obtained its maximum placing; persistently even though the horse is showing no response under the riding crop; or striking another rider or horse.

15.2.1.9(B)(4)(A)Jockeys Notice of Hearing 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority/16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Ruidoso Downs Stewards

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Ruling #:

2019-RUI-33

Track

Ruidoso Downs

Ruling Date:

5/19/2019

Licensee:

FINCHER, TODD W.

License Type:

2019 3 Year Trainer

Applicant Id: 27974

License Number: 293030

Ruling #

2019-RUI-33

Action Type:

Initial Ruling

Ruling:

Trainer <u>Todd Fincher</u> was duly notified <u>(signed a trainers citation)</u> before the Board of Stewards on <u>May 19, 2019</u>. The hearing was in regard to <u>Todd Fincher</u> failing to insure his owner was licensed prior to race for entry "<u>Sidney's Hope"</u>, which resulted in a late scratch from <u>the 8th race on May 19, 2019 at Ruidoso Downs</u>.

The Board of Stewards hereby fines <u>Todd Fincher</u> in the amount <u>of two hundred dollars (\$200.00)</u>. The fine is to be paid on or before <u>June 18, 2019</u> in accordance with 15.2.3.8 (B)(3)(8) NMAC. Failure to pay the fine within the time prescribed shall result in immediate suspension.

Licensee is found to be in violation of and this ruling is written in accordance with:

15.2.5.12(X) Horse ineligible A horse shall be ineligible to start in a race when its Owner, Lessor, and /or Trainer have not completed the licensing procedures required by the Commission.

16.47.1.10 (C)(17) Trainer Responsibility: The Trainer is responsible for representing an Owner in making entries and scratches, and in all other matters pertaining to racing; horses entered as to eligibility and weight or other allowances claimed

16.47.1.10 (C)(16) Trainer Responsibility The Trainer is responsible for disclosure of the true and entire ownership of each horse in their care, custody or control; any change in ownership must be reported immediately to, and approved by, the stewards and recorded by the racing secretary

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

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Ruling #: 2019-RUI-34
Track Ruidoso Downs

Ruling Date: 5/20/2019

Licensee: FUENTES, ADAN

License Type: Applicant Id: 33127

License Number:

Ruling # 2019-RUI-34

Action Type: Initial Ruling

Ruling:

Adan Fuentes failed (FIRST ATTEMPT) the written portion (NMRC rules section) of the New Mexico Trainers test on May 20, 2019. He is eligible to retake the Trainers test in 30 days, after June 19, 2019.

This ruling is written in accordance with:

16.47.1.10 (A)(2) TRAINERS: A. Eligibility: (2) Applicants not previously licensed as a trainer shall be qualified, as determined by the stewards or other commission designee, by reason of (b) Shall be required to pass a written examination, oral interviews with stewards and a regulatory veterinarian; and demonstrate practical skills. 16.47.1 NMAC 8 (d) Applicants failing the first written/oral examination must wait 30 days before retaking the trainer's test. (e) Applicants failing the second written/oral examination must wait 60 days before retaking the trainer's test. (f) Applicants failing the third written/oral examination must wait one year before retaking the trainer's test.

<u>16.47.1.10 (D)(2)Assistant trainers Qualifications</u> for obtaining an assistant trainer's license shall be prescribed by the stewards and the commission may include those requirements prescribed in Paragraph (1) of Subsection A of 16.47.1.10 NMAC.

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEGGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Changes In Application Information / 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/15.2.1.9(B)(9)(a)Failure to Appeal/15.2.1.9(B)(9)(b): Appeal Procedures An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check.

By Order of The Ruidoso Downs Stewards

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Ruling #: 2019-RUI-35
Track Ruidoso Downs

Ruling Date: 5/20/2019

Licensee: RAMIREZ, JOSEPH E

License Type: 2018 1 Year Jockey Ag Applicant Id: 14342

License Number: 290274

Ruling # 2019-RUI-35

Action Type: Initial Ruling

Ruling:

<u>Jockey Agent Joseph Ramirez</u> is hereby suspended, effective immediately <u>May 20, 2019</u>, for failing to pay the <u>fine (\$200)</u> assessed in <u>Ruling 2019-SUN-220</u>.

During said time of applicable suspension is hereby excluded from all areas of the grounds under the jurisdiction of the NMRC, in accordance with 15.2.1.9 (C)(21).

Licensee is found to be in violation of and this ruling is written in accordance with:

15.2.3.8 (B)(3)(1) All fines imposed by the Stewards shall be paid to the Commission within 30 days after the ruling is issued, unless otherwise ordered

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Changes In Application Information / 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/15.2.1.9(B)(9)(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #: 2019-RUI-36
Track Ruidoso Downs

Ruling Date: 5/20/2019

Licensee: ORTIZ, JOSE ENRIQUE

License Type: 2016 3 Year Jockey Applicant Id: 35364

License Number: 281100

Ruling # 2019-RUI-36

Action Type: Initial Ruling

Ruling:

<u>Jockey Jose Enrique Ortiz</u> is hereby suspended, effective immediately <u>May 20, 2019</u>, for failing to pay the <u>fine (\$200)</u> assessed in <u>Ruling 2019-SUN-222</u>.

During said time of applicable suspension is hereby excluded from all areas of the grounds under the jurisdiction of the NMRC, in accordance with 15.2.1.9 (C)(21).

Licensee is found to be in violation of and this ruling is written in accordance with:

15.2.3.8 (B)(3)(1) All fines imposed by the Stewards shall be paid to the Commission within 30 days after the ruling is issued, unless otherwise ordered

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Changes In Application Information / 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/15.2.1.9(B)(9)(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

- Diset Smith



Ruling #:

2019-RUI-37

Track

Ruidoso Downs

Ruling Date:

5/20/2019

Licensee:

PARGA-LEOS, JAIME

License Type:

2017 3 Year Jockey

Applicant Id:

30593

License Number: 284865

Ruling #

2019-RUI-37

Action Type:

Initial Ruling

Ruling:

Jockey Jaime Parga Leos is hereby suspended, effective immediately May 20, 2019, for failing to pay the fine (\$200) assessed in Ruling 2019-SUN-235.

During said time of applicable suspension_ is hereby excluded from all areas of the grounds under the jurisdiction of the NMRC, in accordance with 15.2.1.9 (C)(21).

Licensee is found to be in violation of and this ruling is written in accordance with:

15.2.3.8 (B)(3)(1) All fines imposed by the Stewards shall be paid to the Commission within 30 days after the ruling is issued, unless otherwise ordered

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Changes In Application Information / 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a) Failure to Appeal / 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check.

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section. must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2019-RUI-38

Track

Ruidoso Downs

Ruling Date:

5/25/2019

Licensee:

GOMEZ, ALFREDO MORRIS

License Type:

2016 3 Year Trainer

Applicant Id: 14091

License Number: 283239

Ruling #

2019-RUI-38

Action Type:

Initial Ruling

Ruling:

Pursuant to 15.2.1.9(B)(8)(c) Effect of Rulings and 16.47.1.8 (L)(1) (h) Reciprocity, the Board of Stewards hereby suspend all licenses issued to trainer Alfredo Gomez, pending the reinstatement of his Trainer's license to good standing in Oklahoma. On May 25, 2019, the Board of Stewards received rulings #19-WRD-036 and #19-WRD-037, issued on May 23, 2019, by The Oklahoma Horse Racing Commission suspending Trainer Alfredo Gomez.

During said time of applicable suspension Alfredo Gomez is hereby excluded from all areas of the grounds under the jurisdiction of the NMRC, in accordance with 15.2.1.9 (C)(21). Additionally, effective upon applicable suspension dates, all horses owned, trained, or under the care and custody of Alfredo Gomez are ineligible to be entered or start in any race in this jurisdiction, per 15.2.1.9 (C)(21)(c). All transfers and sales of horses trained, owned, or in the care and custody of Alfredo Gomez must be done in accordance with 15.2.6.9A(12)(a)(b) Medication and Prohibited Substances, 16.47.1.10 (D)(5) Assistant Trainers and 15.2.1.9(B)(8)(b) DUE PROCESS AND DISCIPLINARY ACTION.

Licensee is found to be in violation of and this ruling is written in accordance with:

16.47.1.8 (L)(1) (h) Reciprocity The commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued, or order disciplinary measures, if the applicant has had a license denied, suspended, or revoked by any racing jurisdiction.

16.47.1.8 (L)(1) (e) Reciprocity The commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued, or order disciplinary measures, if the applicant has been found in violation of rules governing racing in this state or other jurisdictions

16.47.1.8 (L)(1) (g) Reciprocity The commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued, or order disciplinary measures, if the applicant has been or is currently excluded from association grounds by a recognized racing jurisdiction.

16.47.1.8 (L)(1) (i) Conduct The commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued, or order disciplinary measures, if the applicant is a person whose conduct or reputation may adversely reflect on the honesty and integrity of horse racing or interfere with the orderly conduct of a race meeting; interfering with the orderly conduct of a race meeting shall include, but is not limited to, disruptive or intemperate behavior or behavior which exposes others to danger anywhere on the racetrack grounds; the fact that the race meet was not actually interrupted is not a defense to the imposition of discipline under this rule.

15.2.1.9(C)(22)(a) Reciprocity The stewards shall honor the rulings issued by other pari-mutuel racing commissions.

15.2.1.9(C)(22)(b) Reciprocity Appeals of reciprocal rulings. Persons subject to rulings in other jurisdictions shall have the right to request a hearing before the commission to show cause why such ruling should not be enforced in this jurisdiction. Any request for such hearing must clearly set forth in writing the reasons for the appeal.

15.2.1.9(B)(8)(c) Effect of Rulings The stewards shall honor the rulings issued by other pari-mutuel racing commissions.

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal / 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Ruidoso Downs Stewards

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Ruling #:

2019-RUI-39

Track

Ruidoso Downs

Ruling Date:

5/25/2019

Licensee:

OCHOA-PENA, GERARDO

License Type:

2019 3 Year Trainer

Applicant Id:

21264

License Number: 293660

Ruling #

2019-RUI-39

Action Type:

Initial Ruling

Ruling:

Trainer Gerardo Ochoa-Pena was duly notified, failed to appear or respond, and had his hearing held in absentia, in accordance with 15.2.1.9(B)(4)(d)non-appearance, before the Board of Stewards on May 25, 2019. The hearing was in regard to Gerardo Ochoa-Pena failing to have a current piroplasmosis for entry "Big Daddys Lajolla", which resulted in a late scratch in the 7th race on April 19, 2019, at Sunland Park Racetrack.

The Board of Stewards hereby fines Gerardo Ochoa-Pena in the amount of two hundred dollars (\$200.00). The fine is to be paid on or before **June 25, 2019**, in accordance with 15.2.3.8 (B)(3)(l) NMAC. Failure to pay the fine within the time prescribed shall result in immediate suspension.

Licensee is found to be in violation of and this ruling is written in accordance with

15.2.3.8 B (1) (d) Stewards General authority. The stewards have the authority to interpret the rules and to decide all questions of racing not specifically covered by the rules. Whenever the stewards find any person culpable for any act or omission in violation of these regulations or any violation of the Horse Racing Act, the person shall be subject to disciplinary action, which could include a fine, suspension, or revocation/denial of license or any combination of these penalties.

16.47.1.10(C)(5) Trainer Responsibility The trainer is responsible for the proper identity, custody, care, health, condition, and safety of horses in their

16.47.1.10(C)(15) Trainer Responsibility The trainer is responsible for maintaining a knowledge of the medication record and status of all horses in their care.

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures 15.2.1.9(B)(9) (a) Failure to Appeal / 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order of check."

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Ruling #: 2019-RUI-40
Track Ruidoso Downs

Ruling Date: 5/25/2019

Licensee: JIMENEZ, JR GUILLERMO

License Type: 2016 3 Year Jockey Applicant Id: 20695

License Number: 284354

Ruling # 2019-RUI-40

Action Type: Initial Ruling

Ruling:

The <u>Jockey's license</u> issued to <u>GUILLERMO JIMENEZ,JR</u> is herby <u>rescinded without prejudice</u> as <u>he is no longer working in that capacity and has been issued a grooms and exercise rider's license.</u>

This ruling is in accordance with:

16.47.1.8 (M)(2)Duration Of License A license is valid only under the condition that the licensee remains eligible to hold such a license.

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 15.2.1.9(B)(9)(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Ruidoso Downs Stewards

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Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2019-RUI-41

Track

Ruidoso Downs

Ruling Date:

5/25/2019

Licensee:

SILVA-SIERRA, AGUSTIN

License Type:

2018 3 Year Jockey

Applicant Id:

20079

License Number:

291186

Ruling #

2019-RUI-41

Action Type:

Initial Ruling

Ruling:

Jockey <u>AGUSTIN SILVA-SIERRA</u> was summoned <u>and</u> <u>appeared</u> before the Board of Stewards on <u>May 25, 2019</u>, for <u>failure to make sufficient</u> effort to maintain his lane while aboard <u>"NAMGISKISSMYSNAPSHOT"</u>, which resulted in his mount being disqualified from 6th place to 8th place in the 8th race on May 24, 2019, at Ruidoso Downs.

The Board of Stewards hereby fines <u>AGUSTIN SILVA-SIERRA</u> in the amount of <u>two hundred dollars</u>, (\$200.00). The fine is to be paid on or before <u>June 25</u>, 2019 in accordance with <u>15.2.3.8 (B)(3)</u> (<u>1</u>). Failure to pay the fine within the time prescribed shall result in immediate suspension.

Licensee is found to be in violation of and this ruling is written in accordance with:

15.2.5.13(E)(3)(d) Post to Finish In a straightaway race, every horse must maintain position as nearly as possible in the lane in which it starts. If a horse is ridden, drifts or swerves out of its lane in such a manner that it interferes with, impedes or intimidates another horse, it is a foul and may result in the disqualification of the offending horse.

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Ruidoso Downs Stewards

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Ruling #: 2019-RUI-42
Track Ruidoso Downs

Ruling Date: 5/27/2019

Licensee: RAMIREZ, RICARDO

License Type: 2018 3 Year Jockey Applicant Id: 31818

License Number: 290173

Ruling # 2019-RUI-42

Action Type: Initial Ruling

Ruling:

Jockey <u>Ricardo Ramirez</u> was summoned <u>and appeared</u> before the Board of Stewards on <u>May 26, 2019</u>, for failure to make sufficient effort to maintain his lane while aboard "<u>Jess Sumokin Hot"</u>, <u>which resulted in his mount being disqualified from 6th place to 7th place in the 4th race on May 25, 2019, at Ruidoso Downs.</u>

The Board of Stewards hereby fines <u>Ricardo Ramirez</u> in the amount of <u>two hundred dollars</u>, (\$200.00). The fine is to be paid on or before <u>June 26</u>, 2019 in accordance with <u>15.2.3.8</u> (B)(3)(I). Failure to pay the fine within the time prescribed shall result in immediate suspension.

Licensee is found to be in violation of and this ruling is written in accordance with:

15.2.5.13(E)(3)(d) Post to Finish In a straightaway race, every horse must maintain position as nearly as possible in the lane in which it starts. If a horse is ridden, drifts or swerves out of its lane in such a manner that it interferes with, impedes or intimidates another horse, it is a foul and may result in the disqualification of the offending horse.

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Ruidosa Downs Stewards

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Ruling #: 2019-RUI-43
Track Ruidoso Downs

Ruling Date: 5/27/2019

Licensee: RAMIREZ, JOSE R

License Type: 2016 3 Year Jockey Applicant Id: 7960

License Number: 283031

Ruling # 2019-RUI-43

Action Type: Initial Ruling

Ruling:

Jockey <u>Jose R Ramirez</u> was summoned <u>and appeared</u> before the Board of Stewards on <u>May 26, 2019</u>, for failure to make sufficient effort to maintain his lane while aboard "<u>"UNSTOPPABLE</u> <u>DRAGON", which resulted in his mount being disqualified from 3rd place to 6th place in the 8th race on May 25, 2019, at Ruidoso Downs.</u>

The Board of Stewards hereby fines <u>Jose R Ramirez</u> in the amount of <u>two hundred dollars</u>, (\$200.00). The fine is to be paid on or before <u>June 26</u>, 2019 in accordance with <u>15.2.3.8 (B)(3)(I)</u>. Failure to pay the fine within the time prescribed shall result in immediate suspension.

Licensee is found to be in violation of and this ruling is written in accordance with:

15.2.5.13(E)(3)(d) Post to Finish In a straightaway race, every horse must maintain position as nearly as possible in the lane in which it starts. If a horse is ridden, drifts or swerves out of its lane in such a manner that it interferes with, impedes or intimidates another horse, it is a foul and may result in the disqualification of the offending horse.

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Ruidoso Downs Stewards

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Ruling #:

2019-RUI-44

Track

Ruidoso Downs

Ruling Date:

5/27/2019

Licensee:

RAMOS, JOSE R

License Type:

2018 3 Year Trainer

Applicant Id:

31929

License Number: 292194

Ruling #

2019-RUI-44

Action Type:

Initial Ruling

Ruling:

Trainer, Jose R Ramos, was duly notified and appeared before the Board of Stewards on May 27, 2019. The hearing was in regard to Jose R Ramos, failing to declare equipment (Flipping Halter Off) at time of entry for "SIXES ROYAL CHICK", entered in the 1st race on May 10, 2019 at Ruidoso Downs and failing to declare (Flipping Halter On) at time of entry for "VICTORY RO", entered in the 3rd race on May 10, 2019 at Ruidoso Downs

The Board of Stewards hereby fines Jose R Ramos in the amount of four hundred dollars (\$ 400.00). The fine is to paid on or before June 26, 2019, in accordance with 15.2.3.8(B)(3)(1) NMAC. Failure to pay the fine within the time prescribed shall result in immediate suspension.

Licensee is found to be in violation of and this ruling is written in accordance with:

15.2.5.8 (B)(10) Procedure For Entries Any approved change of equipment must be declared at time of entry. Any changes after that time must be approved by the stewards.

15.2.5.8 (B)(6) Procedure For Entries No alteration may be made in any entry after the closing of entries, but an error may be corrected with permission of the stewards

15.2.6.11(E)(11)Trainer Responsibility The trainer is responsible for ensuring proper bandages, equipment and shoes.

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Changes In Application Information / 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a)Failure to Appeal

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2019-RUI-45

Track

Ruidoso Downs

Ruling Date:

5/31/2019

Licensee:

MELANCON, JANSEN GERARD

License Type:

2018 1 Year Jockey

Applicant Id:

161162

License Number:

290553

Ruling #

2019-RUI-45

Action Type:

Initial Ruling

Ruling:

issued in error

15.2.3.8(B)(1)(e) Stewards Authority The stewards shall have the authority to amend, revoke, rescind or modify any ruling that they issued in error in accordance with the laws of this state and these rules.

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2019-RUI-46

Track

Ruidoso Downs

Ruling Date:

6/1/2019

Licensee:

HERNANDEZ, ALAN ALEXIS

License Type:

2018 3 Year Jockey

Applicant Id: 161096

License Number: 290674

Ruling #

2019-RUI-46

Action Type:

Initial Ruling

Ruling:

Jockey Alan Hernandez was summoned and appeared before the Board of Stewards on May 31, 2019 for careless riding during the running of the 9th race on May 27, 2019, at Ruidoso Downs, while aboard "KID COMBACK", which resulted in his mount being disqualified from 5th place to 10th place. (Bore out sharply under left handed whip, causing interference with outside horses.)

The Board of Stewards hereby assess Alan Hernandez a suspension of 7 calendar days (June 8, 2019, through June 14, 2019, inclusive). Alan Hernandez is allowed to ride designated races.

Licensee is found in violation of and this ruling is written in accordance with:

15.2.5.13(E)(2)(a) Post to Finish A jockey shall not ride carelessly or willfully so as to permit his/her mount to interfere with, impede or intimidate any other horse in the race."

15.2.5.13(E)(3)(d) Post to Finish In a straightaway race, every horse must maintain position as nearly as possible in the lane in which it starts. If a horse is ridden, drifts or swerves out of its lane in such a manner that it interferes with, impedes or intimidates another horse, it is a foul and may result in the disqualification of the offending horse.

15.2.5.13(E)(3)(c) NMAC: Post to Finish 15.2.5.13(E)(3)(d) NMAC: Post to Finish 15.2.5.13(E)(4)(a) NMAC Disqualification./15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys/15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority /16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a) Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal ander this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission

offices, or with the Stewards who issued the ruling.

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Ruling #:

2019-RUI-47

Track

Ruidoso Downs

Ruling Date:

6/1/2019

Licensee:

ARMENDARIZ, JR RICARDO GARCIA

License Type:

2017 3 Year Trainer

Applicant Id:

12260

License Number:

286741

Ruling #

2019-RUI-47

Action Type:

Initial Ruling

Ruling:

<u>Trainer ,RICARDO GARCIA ARMENDARIZ JR.</u>, signed a trainer's citation before the Board of Stewards on <u>May 31, 2019</u>, for failing to declare equipment (<u>Flipping Halter Off</u>) at time of entry for <u>"JM IKNOWIMSPECIALTOO"</u>, entered in <u>the 12th race on May 25, 2019</u>, at Ruidoso Downs.

The Board of Stewards hereby fines <u>RICARDO GARCIA ARMENDARIZ JR.</u> in the amount of <u>two hundred dollars (\$ 200.00)</u>. The fine is to paid on or before <u>July 1</u>, <u>2019</u>, in accordance with 15.2.3.8(B)(3)(l) NMAC. Failure to pay the fine within the time prescribed shall result in immediate suspension.

Licensee is found to be in violation of and this ruling is written in accordance with:

15.2.5.8 (B)(10) Procedure For Entries Any approved change of equipment must be declared at time of entry. Any changes after that time must be approved by the stewards.

15.2.5.8 (B)(6) Procedure For Entries No alteration may be made in any entry after the closing of entries, but an error may be corrected with permission of the stewards

15.2.6.11(E)(11)Trainer Responsibility The trainer is responsible for ensuring proper bandages, equipment and shoes.

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority
16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Changes In Application Information / 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/15.2.1.9(B)(9)(a)Failure to Appeal

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2019-RUI-48

Track

Ruidoso Downs

Ruling Date:

6/1/2019

Licensee:

MUELA, JOSE LUIS

License Type:

2019 3 Year Trainer

Applicant Id:

32421

License Number: 293553

Ruling #

2019-RUI-48

Action Type:

Initial Ruling

Ruling:

Trainer, JOSE LUIS MUELA, signed a trainer's citation before the Board of Stewards on May 31, 2019, for failing to declare equipment (Flipping Halter Off) at time of entry for "EAGLE COAST", entered in the 10th race on May 26, 2019, at Ruidoso Downs.

The Board of Stewards hereby fines **JOSE LUIS MUELA** in the amount of two hundred dollars (\$ 200.00). The fine is to paid on or before July 1, 2019, in accordance with 15.2.3.8(B)(3)(l) NMAC. Failure to pay the fine within the time prescribed shall result in immediate suspension.

Licensee is found to be in violation of and this ruling is written in accordance with:

15.2.5.8 (B)(10) Procedure For Entries Any approved change of equipment must be declared at time of entry. Any changes after that time must be approved by the stewards.

15.2.5.8 (B)(6) Procedure For Entries No alteration may be made in any entry after the closing of entries, but an error may be corrected with permission of the stewards

15.2.6.11(E)(11)Trainer Responsibility The trainer is responsible for ensuring proper bandages, equipment and shoes.

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Changes In Application Information / 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a)Failure to Appeal

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2019-RUI-49

Track

Ruidoso Downs

Ruling Date:

6/1/2019

Licensee:

ALDRETE-BIZCARRA, HECTOR

License Type:

2019 3 Year Jockey

Applicant Id:

35261

License Number: 292775

Ruling #

2019-RUI-49

Action Type:

Initial Ruling

Ruling:

check."

Jockey Hector Aldrete was summoned and failed to appear, respond, or send a jockey guild's representative for film review regarding the 7th race on May 26, 2019, at Ruidoso Downs.

The Board of Stewards hereby fines **Hector Aldrete** in the amount of **one hundred** dollars (\$100.00). The fine is to be paid on or before July 1, 2019 in accordance with 15.2.3.8(B)(3)(I). Failure to pay the fine within the time prescribed will result in immediate suspension.

Licensee is found in violation of and this ruling is written in accordance with:

15.2.1.9(B)(4)(d) Nonappearance Of A Summoned Party Nonappearance of a summoned party after adequate notice shall be construed as a waiver of the right to a hearing before the board of stewards.

15.2.1.9(B)(4)(A) Notice Of Hearing- Jockey Except as provided by these rules regarding summary suspension, jockey riding infractions and trial races, the stewards or a racing commission designee shall provide written notice, at least 10 days before the hearing, to a person who is the subject of a disciplinary hearing. The person may waive their right to 10 days notice by executing a written waiver.

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/ 15.2.3.8 B (1) (a)(c)(d)Stewards General Authority /16.47.1.8 (T) KNOWLEDGE OF RULES/16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required/ 16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal / 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission

offices, or with the Stewards who issued the ruling.

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Ruling #: 2019-RUI-50 Track Ruidoso Downs

Ruling Date: 6/1/2019

Licensee: ALDRETE-BIZCARRA, HECTOR

License Type: 2019 3 Year Jockey Applicant Id: 35261

License Number: 292775

Ruling # 2019-RUI-50

Action Type: Initial Ruling

Ruling:

Jockey Hector Aldrete, was summoned, failed to appear or respond, and had his hearing held in absentia, in accordance with 15.2.1.9(B)(4)(d)non-appearance, before the Board of Stewards on May 31, 2019. The hearing was in regard to Hector Aldrete returning to the unsaddling saddling area with a lame horse after the 7th race on May 26, 2019, at Ruidoso Downs.

The Board of Stewards hereby fines, **Hector Aldrete**, in the amount of **two hundred** dollars, (\$200.00). This fine is to be paid on or before July 1, 2019 in accordance with 15.2.3.8 (B)(3)(l) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension.

Licensee is found in violation of:

16.47.1.8 (L)(1)(i) The commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued, or order disciplinary measures, if the applicant is a person whose conduct or reputation may adversely reflect on the honesty and integrity of horse racing or interfere with the orderly conduct of a race meeting; interfering with the orderly conduct of a race meeting shall include, but is not limited to, disruptive or intemperate behavior or behavior which exposes others to danger anywhere on the racetrack grounds; the fact that the race meet was not actually interrupted is not a defense to the imposition of discipline under this rule. 15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Changes In Application Information / 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check.'

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission

offices, or with the Stewards who issued the ruling.

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Ruling #:

2019-RUI-51

Track

Ruidoso Downs

Ruling Date:

6/1/2019

Licensee:

JARAMILLO, PAUL A.

License Type:

2019 1 Year Exercise P Applicant Id:

License Number: 293736

17134

Ruling #

2019-RUI-51

Action Type:

Initial Ruling

Ruling:

<u>Paul Jarmillo</u> failed <u>(FIRST ATTEMPT)</u> the <u>written portion (NM Rules/Diagrams/ Entries)</u> of the New Mexico Trainers test <u>on May 31, 2019</u>. <u>He</u> is eligible to retake the Trainers test in <u>30 days</u>, after <u>June 30, 2019</u>.

This ruling is written in accordance with:

16.47.1.10 (A)(2) TRAINERS: A. Eligibility: (2) Applicants not previously licensed as a trainer shall be qualified, as determined by the stewards or other commission designee, by reason of (b) Shall be required to pass a written examination, oral interviews with stewards and a regulatory veterinarian; and demonstrate practical skills. 16.47.1 NMAC 8 (d) Applicants failing the first written/oral examination must wait 30 days before retaking the trainer's test. (e) Applicants failing the second written/oral examination must wait 60 days before retaking the trainer's test. (f) Applicants failing the third written/oral examination must wait one year before retaking the trainer's test.

<u>16.47.1.10 (D)(2)Assistant trainers Qualifications</u> for obtaining an assistant trainer's license shall be prescribed by the stewards and the commission may include those requirements prescribed in Paragraph (1) of Subsection A of 16.47.1.10 NMAC.

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Changes In Application Information / 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/15.2.1.9(B)(9)(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check.

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #: 2019-RUI-52 **Track** Ruidoso Downs

Ruling Date: 6/1/2019

HERNANDEZ, ALAN ALEXIS Licensee:

2018 3 Year Jockey Applicant Id: License Type: 161096

License Number: 290674

Ruling #

2019-RUI-52

Action Type:

Initial Ruling

Ruling:

Jockey Alan Hernandez, was duly notified and appeared before the Board of Stewards on June 1, 2019. The hearing was in regard to Alan Hernandez's misuse of whip on his mount "CYNS OF D MONEY" during the post parade for the 13th race on May 31, 2019, at Ruidoso Downs.

The Board of Stewards hereby fines Alan Hernandez in the amount of one hundred dollars (\$100.00). The fine is to be paid on or before July 1, 2019, in accordance with 15.2.3.8(B)(3)(I) NMAC. Failure to pay the fine within the time prescribed will result in immediate suspension.

Licensee is found to be in violation of and this ruling is written in accordance with

15.2.5.13(E)(6)(e) Use of riding crops. Indiscriminate use of the whip is prohibited including whipping a horse: on the head, flanks or on any other part of its body other than the shoulders or hind quarters except when necessary to control a horse; during the post parade or after the finish of the race except when necessary to control the horse; excessively or brutally causing welts or breaks in the skin; when the horse is clearly out of the race or has obtained its maximum placing; persistently even though the horse is showing no response under the riding crop; or striking another rider or horse.

16.47.1.8(U)(2)PROTECTION OF HORSES No licensee or other person under the jurisdiction of the commission shall subject or permit any animal under their control, custody or supervision to be subjected to or to incur any form of cruelty, mistreatment, neglect or abuse or abandon, injure, maim or kill or administer any noxious substance to or deprive any animal of necessary care or sustenance, shelter or veterinary care

16.47.1.8 (L)(1)(i) - CONDUCT The Commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued, or order disciplinary measures if the applicant is a person whose conduct or reputation may reflect on the honesty, and integrity of horse racing 15.2.1.9(B)(4)(A) Notice of hearing for Jockeys 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal / 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling, The appeal must be filed at the main Commission Lenker Know

offices, or with the Stewards who issued the ruling

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Ruling #:

2019-RUI-53

Track

Ruidoso Downs

Ruling Date:

6/1/2019

Licensee:

ORTIZ, JOSE ENRIQUE

License Type:

2019 3 Year Jockey

Applicant Id:

35364

License Number:

294190

Ruling #

2019-RUI-53

Action Type:

Initial Ruling

Ruling:

<u>Stewards</u> and failed to appear, respond, or send a jockey guild's representative <u>on June 1, 2019, at Ruidoso Racetrack.</u> For his actions, <u>Jose Enrique Ortiz</u> is hereby assessed a fine in the amount <u>one hundred dollars</u> (<u>\$100.00</u>). This fine is to be paid on or before <u>July 1, 2019</u>, in accordance with 15.2.3.8(B)(3)(I). Failure to pay the fine within the time prescribed may result in immediate suspension.

Licensee is found in violation of and this ruling is written in accordance with:

15.2.1.9(B)(4)(d) Nonappearance Of A Summoned Party Which states in pertinent part that "Nonappearance of a summoned party after adequate notice shall be construed as a waiver of the right to a hearing before the board of stewards.

15.2.1.9(B)(4)(A) Notice Of Hearing- Jockey Except as provided by these rules regarding summary suspension, jockey riding infractions and trial races, the stewards or a racing commission designee shall provide written notice, at least 10 days before the hearing, to a person who is the subject of a disciplinary hearing. The person may waive their right to 10 days notice by executing a written waiver.

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/ 15.2.3.8 B (1) (a)(c)(d)Stewards General Authority /16.47.1.8 (T) KNOWLEDGE OF RULES/16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required/ 16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)
(a) Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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