

Ruling #:

2016-SUN-94

Track

Sunland Park

Ruling Date:

2/9/2016

Licensee:

LOPEZ, JOAQUIN N.

License Type:

Trainer

Applicant Id: 35349

Date of Birth:

06/28/1989

License Number: 81901

Ruling #

2016-SUN-94

Action Type:

Initial Ruling

Ruling:

Trainer Joaquin Lopez, having been duly notified, and appeared telephonically before the Board of Stewards on February 9, 2016, regarding a confirmed positive test for Class IV drug "Phenylbutazone", which was found in sample NM 15295, after it was collected from the horse "Eagletefamous" which finished first in the third race, Saturday, November 14, 2015, at Zia Park.

(The original lab report indicated a finding of Phenylbutazone at 3.61 microgram/ml in blood/serum. Split sample rights, as provided in 15.2.6.10(D) NMAC were offered and waived).

Also, Mr. Lopez waived his right to a ten (10) day Written Notice of Hearing.

As such, the following applies:

15.2.6.9(D)(1-2-3) NMAC: Which states in pertinent part that "A finding by the Commission approved laboratory of a prohibited drug, chemical or other substance in a test specimen of a horse is prima facie evidence that the prohibited drug, chemical or other substance was administered to the horse and, in the case of a post-race test, was present in the horse's body while it was participating in a race (b) therapeutic medications in excess of established acceptable levels."

15.2.6.9 (E)(1) NMAC: Which states in pertinent part "The permitted quantitative test level of Phenylbutazone shall be administered in such dosage amount that the official test sample shall not exceed 2 micrograms per milliliter of plasma."

15.2.6.11(A) NMAC and 16.47.1.10(B)(1) NMAC: Which states in pertinent part that "The Trainer is the absolute insurer of the condition of horses entered in an official workout or race, and is responsible for the presence of any prohibited drug or medication, or other prohibited substance in such horse. A positive test for a prohibited drug or medication or other prohibited substance or the presence of permitted medication in excess of maximum allowable levels as reported by the commission approved laboratory is prima facie evidence of a violation of this rule. The Trainer is absolutely responsible regardless of the acts of third party."

Omar Soto is the recorded owner of the horse "Eagletefamous," which was the subject of the ruling issued on this date.

For this violation, the Board of Stewards assessed Joaquin Lopez a penalty of:

1. A fine in the amount of Two Hundred Fifty Dollars (\$250) to be paid on or before March 20, 2016, in accordance with 15.2.3.8 (B)(3) NMAC. Failure to pay fine in prescribed time may result in immediate suspension.

2. The horse "Eagletefamous" must pass a Commission approved examination before becoming eligible to race.

This is a first positive test violation in the past 365-day period, and is issued in accordance with 15.2.6.9 (C)(3) NMAC.

15.2.1.9(b):

Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."



Ruling #:

2016-SUN-95

Track

Sunland Park

Ruling Date:

2/13/2016

Licensee:

GONZALEZ, SAL

License Type:

2013 3 year Trainer

Applicant Id: 23584

Date of Birth:

05/14/1949

License Number: 61849

Ruling #

2016-SUN-95

Action Type:

Initial Ruling

Ruling:

Trainer Sal Gonzalez having been duly notified, having and appeared before the Board of Stewards on February 12, 2016, regarding a confirmed positive test for the Class IV drug "Dexamethasone" at a level of 196 pg per ml., which was found in sample NM10111, after it was collected from the horse "Bushmill's Pride" which finished third in the seventh race, December 20, 2014, at Sunland Park; For this violation, the Board of Stewards assessed penalties:

As full and final resolution of this matter, Trainer, Sal Gonzalez agrees to the following penalties: (1) A five hundred dollar (\$500.00) fine to be paid within thirty (30) days of approval and execution of this settlement agreement by the parties.

(2) The animal "Bushmill Pride" shall be subject to and pass a commission approved examination before becoming eligible to be entered in any race governed under the Commission.

As such, the following applies:

15.2.6.9 (C) NMAC: Which states "Category 4, Class C penalties will be assessed a licensed Trainer for violations due to the presence of a drug carrying a Category 4, Class C penalty: First offense within a 365 day period in any jurisdiction shall have the horse must pass a Commission-approved examination before becoming eligible to be entered".

(Per the terms of a signed Stipulated Settlement Agreement, this Licensee knowingly and voluntarily waives his right to judicial appeal. This waiver includes but not limited to, Licensee's right to (a) a full evidentiary hearing, including the right to present evidence and cross-examine witnesses; and (b) any right to appeal this settlement or any decision by the Commission in connection with this Settlement Agreement.) This Settlement Agreement shall be deemed approved and executed upon being signed by both parties. This Settlement Agreement constitutes a full and complete resolution of all claims and defenses that either party did raise or could have raised with the respect to these proceeding and execution of this Settlement constitutes full and final resolution. It is so Stipulated and Agreed.

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Ruling #:

2016-SUN-96

Track

Sunland Park

Ruling Date:

2/13/2016

Licensee:

HERNANDEZ, LUIS R

License Type:

2014 3 Year Trainer

Applicant Id:

116716

Date of Birth:

06/08/1977

License Number:

184556

Ruling #

2016-SUN-96

Action Type:

Initial Ruling

Ruling:

Trainer_Luis R. Hernandez_having been duly notified, and having appeared before the Board of Stewards on Saturday, February 13, 2016, regarding a lab report from UC/Davis (official testing laboratory for the NMSRC), for a confirmed positive test for the Class B drug 16-Hydroxy-stanozolol, a metabolite of stanozolol, which was found in sample NM18122 after it was collected from the horse "News Update" which finished second in the first race on December 20, 2015 at Sunland Park Race Track.

Split sampling rights, as provided in 15.2.6.10 (D) NMAC, were afforded to, and waivedJohnny Gonzalez is the owner of the horse "News Update," and he appeared before sthe Board of Stewards, along with his trainer, Luis R. Hernandez.

Luis R. Hernandez is found to be in violation of:

15.2.6.9. D (1-2-3) NMAC which states a finding by the commission approved laboratory of a prohibited drug, chemical or other substance in a test specimen of a horse is prima facie evidence that the prohibited drug, chemical or other substance was administered to the horse and, in the case of a post-race test, was present in the horse's body while it was participating in a race.

15.2.6.9. H (1-3) NMAC Which states (1)

No AAS shall be permitted in test sample collected from racing horses except for residues of the major metabolite of nandrolone, and the naturally occurring substances boldenone and testosterone at concentrations less than the indicated thresholds, and (3) Any other anabolic steroids are prohibited in racing horses.

15.2.6.11 (A) and 16.47.1.10(B)(1) NMAC

Which states the trainer is the absolute insurer of the condition of horses entered in an official workout or race and is responsible for the presence of any prohibited drug or medication, or other prohibited substance in such horses. A positive test for a prohibited drug or medication or other prohibited substance or the presence of permitted medication in excess of maximum allowable levels as reported by a commission-approved laboratory is prima facie evidence of a violation of this rule. The trainer is absolutely responsible regardless of the acts of third parties

For this violation, the Board of Stewards assessed Luis R. Hernandez a penalty as follows:

- (1) A suspension of any/all NMSRC issued licenses for a period of 60 calendar days, February 14, 2016, through April 13, 2016, Inclusive);
- (2) A fine in the amount of one-thousand dollars (\$1,000.00.);
- (3) The horse "News Update" must pass a Commission approved examination before becoming eligible to race.
- (4) Loss of purse of the 1st race, on December 20, 2015, at Sunland Park. The new order of finish is as follows:
 - 1. Lovely Lily Rose
 - 2. One Sweet Run
 - 3. Quarter Mile Miracle
 - 4. Black Orchid Crush
 - 5. Tempting Splash
 - 6. El Moro Grande 7. Blame The Senator
 - 8. Little Ghost
 - 9. Sweet Millie Tell Em

Unplaced: News Update

This is a first offense positive test violation in the past 365 day period, and is issued in accordance with 15.2.6.9 (C)(2) NMAC. The fine is to be paid on or before any reinstatement date, in accordance with 15.2.3.8(B)(3)(I) NMAC.

During said time of applicable suspension, Luis R. Hernandez is hereby excluded from all areas of the grounds under the

jurisdiction of the NMSRC, in accordance with 15.2.1.9 (C)(21)(a-b) NMAC, and 15.2.3.8 (B)(3)(f) NMAC. Additionally, effective upon applicable suspension dates, all horses owned or trained, or under the care of Luis R. Hernandez art ineligible to be entered or start in any race in this jurisdiction, per 15.2.1.9 (C)(21)(c) NMAC.



Ruling #:

2016-SUN-97

Track

Sunland Park

Ruling Date:

2/13/2016

Licensee:

GONZALEZ, SAL

License Type:

2013 3 year Trainer

Applicant Id:

23584

Date of Birth:

05/14/1949

License Number: 61849

Ruling #

2016-SUN-97

Action Type:

Initial Ruling

Ruling:

Trainer Sal Gonzalez, having been duly notified, and having appeared before the Board of Stewards on this date, regarding a lab report from UC/Davis (official testing laboratory for the NMSRC), for a confirmed positive test for the Class III drug "Clenbuterol", which was found in sample NM17522, after it was collected from the horse "Mini Tower" which finished second in the 8th race, November 28, 2015 at Zia Park. (The original lab report indicated a finding of Clenbuterol at 151 pg/ml. Split sampling rights, as provided in 15.2.6.10 (D) NMAC were afforded to, but denied, by Sal Gonzalez.

Trainer Sal Gonzalez is found to be in violation of:

15.2.6.9(D)(1-2-3) NMAC: Which states in that "A finding by the Commission approved laboratory of a prohibited drug, chemical or other substance in a test specimen of a horse is prima facie evidence that the prohibited drug, chemical or other substance was administered to the horse and, in the case of a post-race test, was present in the horse's body while it was participating in a race (b) therapeutic medications in excess of established acceptable levels".

15.2.6.9 (P) NMAC: Which states the suspension of authorized medications, as outlined in sections 1-2-3-4, which led to a published, posted, and distributed memo effective August 20, 2015 in which states in part "Clenbuterol is prohibited. A subsequent published, posted, and distributed memo dated August 20,2015, stated the criteria for Clenbuterol remained in effect".

15.2.6.11(A) NMAC and 16.47.1.10(B)(1) NMAC: Which states that "The Trainer is the absolute insurer of the condition of horses entered in an official workout or race, and is responsible for the presence of any prohibited drug or medication, or other prohibited substance in such horse. A positive test for a prohibited drug or medication or other prohibited substance or the presence of permitted medication in excess of maximum allowable levels as reported by the commission approved laboratory is prima facie evidence of a violation of this rule. The Trainer is absolutely responsible regardless of the acts of third party.

For this violation, the Board of Stewards assessed Sal Gonzalez a penalty as follows:

- (1) A suspension of any/all NMSRC issued licenses for a period of fifthteen(15) days starting on February 22,2016 ending on March 8th, 2016.
- (2) A fine in the amount of one thousand, dollars (\$1000.00.);
- (3) Loss of purse and the horse must pass a commission approved examine before becoming eligible to enter a race. The new order of finish is as follows: (1) Blue N Stone (2) Im Juan's Brother (3) L Dandy (4) Captain Kiss (5) The Way to Who (6) Pancho Who (7) Hank N Lefty Who (8) Fancy Jack ,UNPLACED Mini Tower. The Owner is Daniel Cardenas et al.

This is a first offense positive test violation in the past 365 day period, and is issued in accordance with 15.2.6.9 (C)(2)(b) NMAC. The fine is to be paid on or before any reinstatement date, in accordance with 15.2.3.8(B)(3)(l) NMAC.

During said time of applicable suspension, Sal Gonzalez is hereby excluded from all areas of the grounds under the jurisdiction of the NMSRC, in accordance with 15.2.1.9 (C) (21) (a-b) NMAC, and 15.2.3.8 (B) (3) (f) NMAC.

15.2.5.13(E)(4)(d) NMAC:

Which states in pertinent part that "The Stewards may determine that a horse shall be unplaced for purpose of the purse distribution and trial qualification."

15.2.3.8(B)(3)(k) NMAC:

Which states in pertinent part that "Purses, prizes, awards and trophies shall be redistributed if the Stewards or Commission order a change in the official order of finish."

15.2.1.9(b):

Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order of personal check."



Ruling #:

2016-SUN-98

Track

Sunland Park

Ruling Date:

2/20/2016

Licensee:

GONZALES,II JAMES JULIAN

License Type:

2015 3 Year Trainer

Applicant Id:

26440

Date of Birth:

07/21/1976

License Number: 226609

Ruling #

2016-SUN-98

Action Type:

Modified Penalty

Ruling:

RE: 2016-SUN-98 - Modified Penalty Trainer James J. Gonzales, II, having been duly notified, and having appeared before the Board of Stewards telephonically on Sunday, February 14, 2016, regarding a lab report from UC/Davis (official testing laboratory for the NMSRC), for a confirmed positive test for the Class B drug 16-Hydroxy-stanozolol, a metabolite of stanozolol, which was found in sample NM18077 after it was collected from the horse "Outta Texas" which finished third in the third race on December 18, 2015 at Sunland Park Race Track.

Split sampling rights, as provided in 15.2.6.10 (D) NMAC, were afforded to, and waived. Anwar Elias is the owner of the horse "Outta Texas," which is the subject of the ruling issued on this date.

James J. Gonzales, II, is found to be in violation of:

15.2.6.9. D (1-2-3) NMAC which states a finding by the commission approved laboratory of a prohibited drug, chemical or other substance in a test specimen of a horse is prima facie evidence that the prohibited drug, chemical or other substance was administered to the horse and, in the case of a post-race test, was present in the horse's body while it was participating in a race.

15.2.6.9. H (1-3) NMAC Which states (1) No AAS shall be permitted in test sample collected from racing horses except for residues of the major metabolite of nandrolone, and the naturally occurring substances boldenone and testosterone at concentrations less than the indicated thresholds, and (3) Any other anabolic steroids are prohibited in racing horses.

15.2.6.11 (A) and 16.47.1.10(B)(1) NMAC Which states the trainer is the absolute insurer of the condition of horses entered in an official workout or race and is responsible for the presence of any prohibited drug or medication, or other prohibited substance in such horses. A positive test for a prohibited drug or medication or other prohibited substance or the presence of permitted medication in excess of maximum allowable levels as reported by a commission-approved laboratory is prima facie evidence of a violation of this rule. The trainer is absolutely responsible regardless of the acts of third parties.

For this violation, the Board of Stewards assessed Jmes J. Gonzales, II, a penalty as follows:

- (1) A suspension of any/all NMSRC issued licenses for a period of 180 calendar days, May 22 2016, through November 18, 2016, Inclusive);
- (2) A fine in the amount of Two Thousand Five-hundred dollars (\$2,500.00.) in accordance with 15.2.3.8 (B)(3)(f) which must be paid within 30 days of this ruling.
- (3) The horse "Outta Texas" must pass a Commission approved examination before becoming eligible to race.
- Loss of purse of the third race, on December 18, 2015, at Sunland Park. The new order of finish is as follows:
 - 1. Funny Corona
 - 2. Prince Ivory James
 - 3. Perry First Flash
 - 4. Az It Should Be
 - 5. Vomvos
 - 6. Tenacious
 - 7. Swaggernaut
 - 8. Miss Corona Daise

Unplaced: Outta Texas

This is a second offense positive test violation in the past 365 day period, and is issued in accordance with 15.2.6.9 (C)(2) NMAC. The fine is to be paid on or before any reinstatement date, in accordance with 15.2.3.8(B)(3)(1) NMAC.

During said time of applicable suspension, James J. Gonzales, II, is hereby excluded from all areas of the grounds under the jurisdiction of the NMSRC, in accordance with 15.2.1.9 (C)(21)(a-b) NMAC, and 15.2.3.8 (B)(3)(f) NMAC.

Additionally, effective upon applicable suspension dates, all horses owned or trained, or under the care of James J. Gonzales, II, are ineligible to be entered or start in any race in this jurisdiction, per 15.2.1.9 (C)(21)(c) NMAC.

15.2.1.9(b):

Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not saturday, Sunday or legal holiday. The appeal must be veceyed by noon, at the main commission offices or with the stewards who issued the g ling and must be accompanied by a



Ruling #:

2016-SUN-99

Track

Sunland Park

Ruling Date:

2/14/2016

Licensee:

GONZALEZ, SAL

License Type:

Applicant Id:

23584

Date of Birth:

05/14/1949

License Number:

Ruling #

2016-SUN-99

Action Type:

Initial Ruling

Ruling:

Trainer Sal Gonzalez, having been duly notified, and having appeared before the Board of Stewards on this date, regarding a lab report from UC/Davis (official testing laboratory for the NMSRC), for a confirmed positive test for the Class III drug "Clenbuterol", which was found in sample NM15325, after it was collected from the horse "Suzzet Tower" which finished second in the 4th race, November 15, 2015 at Zia Park. (The original lab report indicated a finding of Clenbuterol at 428 pg/ml). Split sampling rights, as provided in 15.2.6.10 (D) NMAC were afforded to, but denied, by Sal Gonzalez. Trainer Sal Gonzalez is found to be in violation of:

15.2.6.9(D) (1-2-3) NMAC: Which states in that "A finding by the Commission approved laboratory of a prohibited drug, chemical or other substance in a test specimen of a horse is prima facie evidence that the prohibited drug, chemical or other substance was administered to the horse and, in the case of a post-race test, was present in the horse's body while it was participating in a race (b) therapeutic medications in excess of established acceptable levels".

15.2.6.9 (P) NMAC: Which states the suspension of authorized medications, as outlined in sections 1-2-3-4, which led to a published, posted, and distributed memo effective August 20, 2015 in which states in part "Clenbuterol is prohibited. A subsequent published, posted, and distributed memo dated August 20, 2015, stated the criteria for Clenbuterol remained in effect".

15.2.6.11(A) NMAC and 16.47.1.10(B)(1) NMAC: Which states that "The Trainer is the absolute insurer of the condition of horses entered in an official workout or race, and is responsible for the presence of any prohibited drug or medication, or other prohibited substance in such horse. A positive test for a prohibited drug or medication or other prohibited substance or the presence of permitted medication in excess of maximum allowable levels as reported by the commission approved laboratory is prima facie evidence of a violation of this rule. The Trainer is absolutely responsible regardless of the acts of third party."

For this violation, the Board of Stewards assessed Sal Gonzalez a penalty as follows:

- (1) A suspension of any/all NMSRC issued licenses for a period of sixty (60) days starting on March 9, 2016 ending on May 7, 2016.
- (2) A fine in the amount of fifteen-hundred, dollars (\$1500.00.);
- (3) Loss of purse and the horse must pass a commission approved examine before becoming eligible to enter a race. The new order of finish is (1)Raging Affair (2) Suzett's Memory (3)Judy's Bluff (4)Tricky Kitty (5) Twiggy Teras (6)Shug's Spirit (7) Peaceful Dome, UNPLACED- Suzzet Tower. The owner is Daniel Cardenas.

This is a second offense positive test violation in the past 365 day period, and is issued in accordance with 15.2.6.9 (C) (2) (b) NMAC. The fine is to be paid on or before any reinstatement date, in accordance with 15.2.3.8(B) (3) (1) NMAC.

During said time of applicable suspension, Sal Gonzalez is hereby excluded from all areas of the grounds under the jurisdiction of the NMSRC, in accordance with 15.2.1.9 (C) (21) (a-b) NMAC and 15.2.3.8 (B)

(3) (f) NMAC.

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Ruling #:

2016-SUN-100

Track

Sunland Park

Ruling Date:

2/19/2016

Licensee:

GRISHAM, KENNETH MERLE

License Type:

2015 3 Year Trainer

Applicant Id:

7221

Date of Birth:

01/23/1939

License Number:

226523

Ruling #

2016-SUN-100

Action Type:

Initial Ruling

Ruling:

Trainer, Kenneth K. Grisham having been duly notified, and appeared before the Board of Stewards for failure to comply with a NMRC Directive regarding the turning in of temperatures of horses under his care. Mr. Grisham signed a waiver to have the hearing and was hereby fined the sum of two hundred and fifty dollars, (\$250.00). The fine is to be paid on or before a thirty (30) day period, 3/19/2016 in accordance with 15.2.3.8 (B)(3)(f) NMAC. Failure to paid fine in designated time may result in immediate suspensions.

15.2.3 NMAC 8 B 1d: The stewards have the authority to interpret the rules and to decide all questions of racing not specifically covered by the rules.

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16.47.1.8(L)(1)(h) NMAC:

Which states that "The Commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued or order disciplinary measures, if the applicant is a person whose conduct or reputation may reflect on the honesty and integrity of horse racing or interfere with the orderly conduct of a race meet. Interfering with the orderly conduct of the race meet shall include, but not limit to, disruptive or intemperate behavior or behavior which exposes otherS to danger anywhere on the racetrack grounds. The fact that the race meet was not actually interrupted is not a defense to the imposition of discipline under this rule."

15.2.1.9(b):

Which states that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."



Ruling #:

2016-SUN-101

Track

Sunland Park

Ruling Date:

2/20/2016

Licensee:

SIERRA, CIRILO M

License Type:

2013 3 year Trainer

Applicant Id:

10715

Date of Birth:

02/09/1944

License Number:

Ruling #

2016-SUN-101

Action Type:

Initial Ruling

Ruling:

Trainer Cirilo M. Sierra, having been duly notified, and appeared before the Board of Stewards on February, 20, 2016, regarding a confirmed positive test for the Class III drug "Clenbuterol," which was found in sample NM 10123, after it was collected from the horse "Mozilla" which finished first in the third race, Sunday, December 21, 2014, at Sunland Park Racetrack. Mr. Sierra waived his right to a ten-day written notice of hearing prior to this hearing.

(The original lab report indicated a finding of Clenbuterol in the urine at 391 pg/ml. Split sample rights, as provided in 15.2.6.10(D) NMAC, were afforded to Mr. Sierra and came back at 320 pg/ml from College Station Laboratory). Alberto Villanueva is the recorded Owner of the horse "Mozilla," which was the subject of related rulings issued this date, at Sunland Park, in which sanctions were made against the Trainer of Record.

Cirilo M. Sierra is found to be in violation of:

15.2.6.9(D)(1-2-3) NMAC: Which states in pertinent part that "A finding by the Commission approved laboratory of a prohibited drug, chemical or other substance in a test specimen of a horse is prima facie evidence that the prohibited drug, chemical or other substance was administered to the horse and, in the case of a post-race test, was present in the horse's body while it was participating in a race (b) therapeutic medications in excess of established acceptable levels."

15.2.6.9 (P) NMAC: Which states in pertinent part "Clenbuterol in a post race test that exceeds the screening limit of 140 pg/ml in urine will

constitute a violation of NMSRC rules.'

15.2.6.11(A) NMAC and 16.47.1.10(B)(1) NMAC: Which states in pertinent part that "The Trainer is the absolute insurer of the condition of horses entered in an official workout or race, and is responsible for the presence of any prohibited drug or medication, or other prohibited substance in such horse. A positive test for a prohibited drug or medication or other prohibited substance or the presence of permitted medication in excess of maximum allowable levels as reported by the commission approved laboratory is prima facie evidence of a violation of this rule. The Trainer is absolutely responsible regardless of the acts of third party.

15.2.6.9 (C) NMAC: Which states in pertinent part "Category B penalties will be assessed a licensed Owner for violations due to the presence of a drug carrying a Category B penalty: First offense within a 365 day period in any jurisdiction shall be disqualification, loss of purse, and

the horse must pass a Commission-approved examination before becoming eligible to be entered."

For this violation, the Board of Stewards assessed Cirilo M. Sierra the following:

1. A fine in the amount of one thousand dollars (\$1,000.00) to be paid on or before March 21, 2016, in accordance with 15.2.3.8 (B)(3)(f) NMAC. Failure to pay the fine in designated time may result in immediate suspension.

2. A suspension of any/all NMSRC issued licenses for a period of 60 calendar days, with the suspension hereby waived by the Commission per Section 3, provided Mr. Sierra is compliant with the full terms of the Stipulated Settlement Agreement.

3. Loss of purse in the third race, Sunday, December 21, 2014, at Sunland Park. The new order of finish is: (1) Just Thoughts, (2) Takin Back, (3) Shut Up and Catch Me, (4) Trippys Royal Toast, (5) Weather Woman, (6) Alteza, (7) Dirty Little Hamster, (8) Deceptive Practice, (9) Rihanna, and Unplaced: Mozilla.

4. The horse Mozilla must pass a Commission approved examination before becoming eligible to race.

5. A probation period of 180 days starting Sunday, February 21, 2016, and ending Thursday, August 18, 2016.

(Per the terms of a signed Stipulated Settlement Agreement, this is considered a first offense positive test violation in the past 365 day period, and is issued in accordance with 15.2.6.9 (C)(2) NMAC. Any subsequent Class B violation within a 180 day period following this date will result in further penalty, as specified in Section 6.Per the written terms of the signed Stipulated Settlement Agreement as specified in section 2. Cirilo M. Sierra, furthermore knowingly, intentionally, and voluntarily waives any/all appeal rights, including any judicial appeal regarding this matter).



Ruling #:

2016-SUN-102

Track

Sunland Park

Ruling Date:

2/21/2016

Licensee:

GONZALEZ, CYNTHIA GUADALUPE

License Type:

Trainer

Applicant Id:

20355

Date of Birth:

08/24/1991

License Number:

81819

Ruling #

2016-SUN-102

Action Type:

Initial Ruling

Ruling:

Trainer, Cynthia Gonzalez having appeared before the Board of Stewards on February 15, 2016 for employing a person in a capacity for which he/she was not licensed.

Licensee was found to be in violation of Commission rules. Thus, for this rule violation, the Board of Stewards assessed licensee a penalty in the amount of one hundred dollars (\$100.00). The fine is to be paid on or before March 15, 2016 in accordance with 15.2.3.8(B)(3)(1) NMAC.

Failure to pay this fine within the time prescribed may result in immediate suspension.

Licensee is found to be in violation of the following rules:

16.47.1.10 (C) (4) providing a list to the chief of security of the trainer's employees on association grounds and any other area under the jurisdiction of the commission; the list shall include each employee's name, occupation, social security number, and occupational license number; the chief of security shall be notified by the trainer, in writing, within 24 hours of any change;

16.47.1.8(L)(1)(h) NMAC:

Which states that "The Commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued or order disciplinary measures, if the applicant is a person whose conduct or reputation may reflect on the honesty and integrity of horse racing or interfere with the orderly conduct of a race meet. Interfering with the orderly conduct of the race meet shall include, but not limit to, disruptive or intemperate behavior or behavior which exposes otherS to danger anywhere on the racetrack grounds. The fact that the race meet was not actually interrupted is not a defense to the imposition of discipline under this rule."

15.2.1.9(b):

Which states that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Sunland Park Stewards

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Ruling #:

2016-SUN-103

Track

Sunland Park

Ruling Date:

2/21/2016

Licensee:

ARREOLA, ENRIQUE HERNADEZ

License Type:

2015 3 Year Jockey

Applicant Id:

30828

Date of Birth:

06/27/1967

License Number:

280262

Ruling #

2016-SUN-103

Action Type:

Initial Ruling

Ruling:

Issued in error.

15.2.1.9(b):

Which states that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Sunland Park Stewards

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Ruling #:

2016-SUN-104

Track

Sunland Park

Ruling Date:

2/21/2016

Licensee:

ARREOLA, ENRIQUE HERNADEZ

License Type:

2015 3 Year Jockey

Applicant Id:

30828

Date of Birth:

06/27/1967

License Number:

280262

Ruling #

2016-SUN-104

Action Type:

Initial Ruling

Ruling:

Exercise rider, Enrique Arreola having appeared before the Board of Stewards on February 20, 2016 for his conduct towards an official and failure to follow orders while jogging backwards on the race track while the starting gates were up.

Licensee was found to be in violation of Commission rules. Thus, for this rule violation, the Board of Stewards assessed licensee a penalty in the amount of one hundred dollars (\$100.00). The fine is to be paid on or before March 20, 2016 which is a thirty day period (30), the licensee has ten (10) days to appeal this ruling, in accordance with 15.2.3.8(B) (3)(l) NMAC.

This conduct, action, or omission has resulted in charges or allegations against you that you violated the

following Statutes or Rules:

16.47.1.8(L)(1)(h) NMAC: Which states "The Commission may refuse to issue a license to an applicant, or may suspend or revoke a license or order disciplinary measures, if the applicant is a person whose conduct or reputation may reflect on the honesty and integrity of horse racing interfere with the orderly conduct of a race meet. Interfering with the orderly conduct of the race meet shall include, but not limited to, disruptive or intemperate behavior which exposes others to danger anywhere on the racetrack grounds. The fact that the race meet was not actually interrupted is not a defense to the imposition of discipline under the rule.

Failure to pay this fine within the time prescribed may result in immediate suspension.



Ruling #: 2016-SUN-105
Track Sunland Park
Ruling Date: 2/21/2016

Licensee: RIVAS, JUAN CARLOS

License Type: Applicant Id: 30997

Date of Birth: 12/21/1986 License Number:

Ruling # 2016-SUN-105

Action Type: Initial Ruling

Ruling:

Exercise rider, J C Rivas having appeared before the Board of Stewards on February 20, 2016 for his conduct towards an official and failure to follow orders while jogging backwards on the race track.

Licensee was found to be in violation of Commission rules. Thus, for this rule violation, the Board of Stewards assessed licensee a penalty in the amount of one hundred dollars (\$100.00). The fine is to be paid on or before March 20, 2016 which is a thirty day period (30), the licensee has ten (10) days to appeal this ruling, in accordance with 15.2.3.8(B) (3)(I) NMAC.

This conduct, action, or omission has resulted in charges or allegations against you that you violated the following Statutes or Rules:

16.47.1.8(L)(1)(h) NMAC: Which states "The Commission may refuse to issue a license to an applicant, or may suspend or revoke a license or order disciplinary measures, if the applicant is a person whose conduct or reputation may reflect on the honesty and integrity of horse racing interfere with the orderly conduct of a race meet. Interfering with the orderly conduct of the race meet shall include, but not limited to, disruptive or intemperate behavior which exposes others to danger anywhere on the racetrack grounds. The fact that the race meet was not actually interrupted is not a defense to the imposition of discipline under the rule.

Failure to pay this fine within the time prescribed may result in immediate suspension.

By Order of The Sunland Park Stewards

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Ruling #:

2016-SUN-106

Track

Sunland Park

Ruling Date:

2/21/2016

Licensee:

MORROW, SHAUN CHRISTOPHER

License Type:

2015 3 Year Trainer

Applicant Id:

24069

Date of Birth:

05/09/1980

License Number: 277180

Ruling #

2016-SUN-106

Action Type:

Initial Ruling

Ruling:

Licensee having appeared before the Board of Stewards on February 14, 2016, for employing a groom, Brayan Amaparan Salas, whose NMRC Groom License was expired.

Licensee was found to be in violation of Commission rules. Thus, for this rule violation, the Board of Stewards assessed licensee a penalty in the amount of two hundred dollars (\$200). The fine is to be paid on or before April 1, 2016 in accordance with 15.2.3.8(B)(3)(l) NMAC.

Failure to pay this fine within the time prescribed may result in immediate suspension.

Licensee is found to be in violation of the following rules:

16.47.1.8 (A)NMAC and 15.2.1.7 (L) NMAC: Which states in pertinent party "A person shall not participate in Pari Mutuel racing under the jurisdiction of the Commission, or be employed by an Association who is a gaming operator, without a valid license issued by the Commission"

16.47.1.8 (G)(1) NMAC: Which states in pertinent part "The employment of any unlicensed person under the jurisdiction of the Commission is prohibited".

16.47.1.10 (C)(4) NMAC: Which states in pertinent part "A Trainer must provide a list to the Chief of Security of the Trainer's employees on association grounds and any other area under the jurisdiction of the Commission.

15.2.1.9(b):

Which states that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."



Ruling #:

2016-SUN-107

Track

Sunland Park

Ruling Date:

2/21/2016

Licensee:

CADENA, III EMILIO

License Type:

Applicant Id:

18884

Date of Birth:

11/25/1983

License Number:

Ruling #

2016-SUN-107

Action Type:

Initial Ruling

Ruling:

Trainer, Emilio Cadena III having been duly notified, and signed a waiver of written notice of hearing appeared before the Board of Stewards for failure to comply with a NMRC Directive regarding his conduct towards Sunland Park security.

This conduct, action, or omission has resulted in charges that you have violated the following Statutes or rules:

"All licensees will make every effort to follow the recommended procedures to sanitize, disinfect and otherwise attempt to avoid the communication of the Equine Herpes Virus Level I. These recommendationed procedures are available through the Racing office and Security at Sunland Park. Emilio Cadena III was hereby fined the sum of two hundred and fifty dollars, (\$250.00) and placed on probation for the remainder of the race meet. The fine is to be paid on or before a thirty (30) day period, 3/19/2016 in accordance with 15.2.3.8 (B)(3)(f) NMAC. Failure to paid fine in designated time may result in immediate suspensions.

15.2.3 NMAC 8 B 1d: The stewards have the authority to interpret the rules and to decide all questions of racing not specifically covered by the rules.

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16.47.1.8(L)(1)(h) NMAC:

Which states that "The Commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued or order disciplinary measures, if the applicant is a person whose conduct or reputation may reflect on the honesty and integrity of horse racing or interfere with the orderly conduct of a race meet. Interfering with the orderly conduct of the race meet shall include, but not limit to, disruptive or intemperate behavior or behavior which exposes otherS to danger anywhere on the racetrack grounds. The fact that the race meet was not actually interrupted is not a defense to the imposition of discipline under this rule."



Ruling #:

2016-SUN-108

Track

Sunland Park

Ruling Date:

2/21/2016

Licensee:

CHAVEZ, LORI LEANN

License Type:

Trainer

Applicant Id:

29659

Date of Birth:

04/25/1957

License Number: 81847

Ruling #

2016-SUN-108

Action Type:

Initial Ruling

Ruling:

Licensee having been duly notified and represented by Larry Chavez, after signing a waiver of representation, before the Board of Stewards on February 21, 2016, for employing a groom, Martin Zamora, whose NMRC Groom License was expired.

Licensee was found to be in violation of Commission rules. Thus, for this rule violation, the Board of Stewards assessed licensee a penalty in the amount of two hundred dollars (\$200). The fine is to be paid on or before April 1, 2016 in accordance with 15.2.3.8(B)(3)(1) NMAC.

Failure to pay this fine within the time prescribed may result in immediate suspension.

Licensee is found to be in violation of the following rules:

16.47.1.8 (A)NMAC and 15.2.1.7 (L) NMAC: Which states in pertinent party "A person shall not participate in Pari Mutuel racing under the jurisdiction of the Commission, or be employed by an Association who is a gaming operator, without a valid license issued by the Commission"

16.47.1.8 (G)(1) NMAC: Which states in pertinent part "The employment of any unlicensed person under the jurisdiction of the Commission is prohibited".

16.47.1.10 (C)(4) NMAC: Which states in pertinent part "A Trainer must provide a list to the Chief of Security of the Trainer's employees on association grounds and any other area under the jurisdiction of the Commission.

15.2.1.9(b):

Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."



Ruling #:

2016-SUN-109

Track

Sunland Park

Ruling Date:

2/26/2016

Licensee:

CRUZ, EDUARDO LOYOLA

License Type:

Applicant Id:

31910

Date of Birth:

10/29/1972

License Number:

Ruling #

2016-SUN-109

Action Type:

Initial Ruling

Ruling:

Issued in error

By Order of The Sunland Park Stewards

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Ruling #:

2016-SUN-110

Track

Sunland Park

Ruling Date:

2/26/2016

Licensee:

CRUZ, EDUARDO LOYOLA

License Type:

2015 3 Year Trainer Applicant Id:

31910

Date of Birth:

10/29/1972

License Number: 279966

Ruling #

2016-SUN-110

Action Type:

Initial Ruling

Ruling:

Trainer/Owner, Eduardo Cruz having been duly notified for a scheduled hearing and waived his notice of hearing in writing and had the hearing telephonically before the Board of Stewards on this date, February 18, 2016, regarding a lab report from UC/Davis (official testing laboratory for the NMSRC) for a confirmed overage of allowable limits for the Class IV drug "Phenylbutazone" which was found in sample NM17646, after it was collected from the horse, "JD'S Vow" which participated in the 5th race, on December 6, 2016 at Sunland Park .

(The original lab report indicated a finding of Phenylbutazone at 3.18 ug/ml in blood/serum. Split sampling rights, as provided in 15.2.6.10 (D) NMAC were offered but waived by Eduardo Cruz).

Eduardo Cruz is found to be in violation of:

15.2.6.9(D)(1-2-3) NMAC: Which states that "A finding by the Commission approved laboratory of a prohibited drug, chemical or other substance in a test specimen of a horse is prima facie evidence that the prohibited drug, chemical or other substance was administered to the horse and, in the case of a post-race test, was present in the horse's body while it was participating in a race (b) therapeutic medications in excess of established acceptable levels".

15.2.6.9 (E)(1) NMAC: Which states "The permitted quantitative test level of Phenylbutazone shall be administered in such dosage amount that the official test sample shall not exceed 2 micrograms per milliliter of plasma".

15.2.6.11(A) NMAC and 16.47.1.10(B)(1) NMAC: Which states that "The Trainer is the absolute insurer of the condition of horses entered in an official workout or race, and is responsible for the presence of any prohibited drug or medication, or other prohibited substance in such horse. A positive test for a prohibited drug or medication or other prohibited substance or the presence of permitted medication in excess of maximum allowable levels as reported by the commission approved laboratory is prima facie evidence of a violation of this rule. The Trainer is absolutely responsible regardless of the acts of third party."

For this violation, the Board of Stewards assessed Curt Ferguson a penalty as follows;

- 1. A fine in the amount of Two Hundred Fifty Dollars (\$250.00);
- 2. Horse must pass a commission approved examination before becoming eligible to enter.

This is a first positive test violation in the past 365 day period, and is issued in accordance with 15.2.6.9 (C) (3) NMAC. The fine is to be paid on or before March 19, 2016 in accordance with 15.2.3.8(B) (3) NMAC. (Failure to pay fine in prescribed time may result in immediate suspension).

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.



Ruling #:

2016-SUN-111

Track

Sunland Park

Ruling Date:

2/26/2016

Licensee:

HANKINS, JESS LOWELL

License Type:

2013 3 year Owner

Applicant Id:

26326

Date of Birth:

01/07/1947

License Number: 64365

Ruling #

2016-SUN-111

Action Type:

Initial Ruling

Ruling:

Trainer/Owner, Jess L. Hankin having been duly notified for a scheduled hearing and waived his notice of hearing in writing and had the hearing telephonically before the Board of Stewards on this date, February 18, 2016, regarding a lab report from UC/Davis (official testing laboratory for the NMSRC) for a confirmed overage of allowable limits for the Class IV drug "Dexamethasone" which was found in sample NM16834, after it was collected from the horse, "Jlh Hot Fury" which participated in the 6 th race, on August 30, 2015 at Ruidoso Downs.

(The original lab report indicated a finding of Dexamethasone at a concentration of (150 pg/ml) which exceeds the limit of 5.0 picogram per milliliter. Split sampling rights, as provided in 15.2.6.10 (D) NMAC were offered but waived by Jess L. Hankins).

Jess L. Hankins is found to be in violation of:

15.2.6.9(D)(1-2-3) NMAC: Which states that "A finding by the Commission approved laboratory of a prohibited drug, chemical or other substance in a test specimen of a horse is prima facie evidence that the prohibited drug, chemical or other substance was administered to the horse and, in the case of a post-race test, was present in the horse's body while it was participating in a race (b) therapeutic medications in excess of established acceptable levels".

15.2.6.9 (E)(1) NMAC: Which states "The permitted quantitative test level of Dexamethasone shall be administered in such dosage amount that the official test sample shall not exceed 5 picograms per milliliter".

15.2.6.11(A) NMAC and 16.47.1.10(B)(1) NMAC: Which states that "The Trainer is the absolute insurer of the condition of horses entered in an official workout or race, and is responsible for the presence of any prohibited drug or medication, or other prohibited substance in such horse. A positive test for a prohibited drug or medication or other prohibited substance or the presence of permitted medication in excess of maximum allowable levels as reported by the commission approved laboratory is prima facie evidence of a violation of this rule. The Trainer is absolutely responsible regardless of the acts of third party."

For this violation, the Board of Stewards assessed Jess L Hankins a penalty as follows;

- 1. A fine in the amount of Two Hundred Fifty Dollars (\$250.00);
- 2. Horse must pass a commission approved examination before becoming eligible to enter.

This is a first positive test violation in the past 365 day period, and is issued in accordance with 15.2.6.9 (C) (3) NMAC. The fine is to be paid on or before March 19, 2016 in accordance with 15.2.3.8(B) (3) NMAC. (Failure to pay fine in prescribed time may result in immediate suspension).

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.



Ruling #:

2016-SUN-112

Track

Sunland Park

Ruling Date:

2/27/2016

Licensee:

DOMINGUEZ, HENRY

License Type:

Trainer

Applicant Id:

11241

Date of Birth:

04/23/1958

License Number:

81905

Ruling #

2016-SUN-112

Action Type:

Initial Ruling

Ruling:

Licensee having been duly notified and waived his right to a 10 day notice of hearing appeared before the Board of Stewards on February 26, 2016, for employing owner, Jose Lomeli, as an exercise rider.

Licensee was found to be in violation of Commission rules. Thus, for this rule violation, the Board of Stewards assessed licensee a penalty in the amount of one hundred dollars (\$100). The fine is to be paid on or before April 7, 2016 in accordance with 15.2.3.8(B)(3)(l) NMAC.

Failure to pay this fine within the time prescribed may result in immediate suspension.

Licensee is found to be in violation of the following rules:

16.47.1.8 L **(1)(C)** The commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued, or order disciplinary measures, if the applicant: who is unqualified, by experience or otherwise, to perform the activities for which a license is required, or who fails to pass an examination prescribed by the commission.

16.47.1.10 (C)(4) NMAC: Which states in pertinent part "A Trainer must provide a list to the Chief of Security of the Trainer's employees on association grounds and any other area under the jurisdiction of the Commission.

15.2.1.9(b):

Which states that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Sunland Park Stewards

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Ruling #:

2016-SUN-113

Track

Sunland Park

Ruling Date:

2/27/2016

Licensee:

BACHICHA, ELLIOTT LLOYD

License Type:

Trainer

Applicant Id:

Date of Birth:

11/24/1964

License Number: 81871

Ruling #

2016-SUN-113

Action Type:

Initial Ruling

Ruling:

Owner/Trainer, Elliot Bachicha, having been duly notified, and having appeared by telephone before the Board of Stewards February 26, 2016 regarding a lab report from UC/Davis (official testing laboratory for the NMSRC), for a confirmed positive test for the Class IV Category C drug "Isopredone", which was taken from horse "Shesalasting Runner" (sample NM15240) who finished second place in the 3rd race on September 16, 2015 at The Downs Of Albuquerque. (The original lab report indicated a finding of Isopredone at a level of 180 pg/ml. The allowable limit is 100 pg/ml. Split sampling rights, as provided in 15.2.6.10 (D) NMAC were afforded to, and accepted by, Elliot Bachicha. The split was confirmed positive by Texas A&M with a finding of 190 ±7 pg/ml).

Elliot Bachicha is also the owner of record for Shesalasting Runner and has been found in violation of:

15.2.6.9(D)(1-2-3) NMAC: Which states in pertinent part that "A finding by the Commission approved laboratory of a prohibited drug, chemical or other substance in a test specimen of a horse is prima facie evidence that the prohibited drug, chemical or other substance was administered to the horse and, in the case of a post-race test, was present in the horse's body while it was participating in a race (b) therapeutic medications in excess of established acceptable levels".

15.2.6.9 (P) NMAC: Which states in pertinent part the suspension of authorized medications, as outlined in sections 1-2-3-4, Revised April 17, 2014. The level for Methylprednisolone is 100 picograms per milliliter of plasma or serum.

15.2.6.11(A) NMAC and 16.47.1.10(B)(1) NMAC: Which states in pertinent part that "The Trainer is the absolute insurer of the condition of horses entered in an official workout or race, and is responsible for the presence of any prohibited drug or medication, or other prohibited substance in such horse. A positive test for a prohibited drug or medication or other prohibited substance or the presence of permitted medication in excess of maximum allowable levels as reported by the commission approved laboratory is prima facie evidence of a violation of this rule. The Trainer is absolutely responsible regardless of the acts of third party."

15.2.6.9 C. PENALTY RECOMMENDATIONS:

(3) Category C penalties will be assessed for violations due to the presence of a drug carrying a category C penalty and overages for NSAID's and furosemide (all concentrations are for measurements in serum or plasma). Recommended penalties for category C violations, overages for permitted NSAID's and furosemide are as follows

Second offense (Trainer) in a Class C category (365-day period) in any jurisdiction in the following levels, the penalty is a minimum fine of \$1,500 and 15 day suspension.

Second Lifetime offense (365-day period) in any jurisdiction: disqualification, loss of purse and horse must pass a commission approved examination before becoming eligible to be entered.

For this second violation in a 365 day period, the Board of Stewards assessed:

- (1) After consulting with the chief medical director, the Board of Stewards waived the 15 day suspension due to mitigating circumstances, a slight
- (2) A fine in the amount of one-thousand dollars (\$1,000.00.);
- (3) Disqualification and loss of purse of the 3rd race on September 16, 2015 at The Downs Of Albuquerque. Order of finish is as fallows:
 - 1. Buccanneer Angel
 - 2. WDC Vital Sound
 - 3. Miz Good Friday
 - 4. What a Zoomer
 - 5. Rp Jets Azoomen
 - 6. Takin First Lien
 - 7. Ac Back in Black
 - 8. Gold Modelo 9. Departist

UNPLACED: Shesalasting Runner

(4) Horse Shesalasting Runner must pass a commission approved vet exam before being eligible to enter. This is a second positive test violation in the past 365 day period, and is issued in accordance with 15.2.6.9 (C)(2) NMAC.

April 7, 2016 in accordance with 15.2.3.8(B)(3)(l) NMAC. Failure to pay said fine may result in immediate suspension.



Ruling #:

2016-SUN-114

Track

Sunland Park

Ruling Date:

3/1/2016

Licensee:

MARCOM, JR ROY LEE

License Type:

2015 3 Year Trainer

Applicant Id:

Date of Birth:

03/09/1944

License Number: 279236

Ruling #

2016-SUN-114

Action Type:

Modified Penalty

Ruling:

RE: 2016-SUN-114 - Modified Penalty

Trainer Roy Marcom, having been duly notified and having appeared before the Board of Stewards on February 27th, 2016 regarding a lab report from UC/Davis (official testing laboratory for the NMSRC), for a confirmed positive test for the Class 4C drug "Methylprenisolone", which was found in sample #NM17705, after it was collected from the horse "Calvert" which finished 2rd in the seventh(7th) race, December 08, 2016 at Zia Park:

(The original lab report indicated a finding of Methylprednisolone at 979 picograms per milliliter. This exceeds the allowable limits of 100 picograms per milliliter. Split sampling rights, as provided in 15.2.6.10 (D) NMAC was conducted by LGC Science which confirmed a finding of Methylprednisolone with a concentration of 1121±(-4) picograms per milliliter.)

Beverly Marcom is the owner of record for horse "Calvert" which was the subject of a Methylprednisolone positive. Trainer Roy Marcom is found to be in violation of:

15.2.6.9 NMAC:

Class 4 - This category is comprised primarily of therapeutic medications routinely used in race horses. These may influence performance, but generally have a more limited ability to do so. Groups of drugs assigned to this category include the following. D. MEDICATION RESTRICTIONS:

(1) A finding by the commission approved laboratory of a prohibited drug, chemical or other substance in a test specimen of a horse is prima facie evidence that the prohibited drug, chemical or other substance was administered to the horse and, in the case of a post-race test, was present in the horse's body while it was participating in a race. Prohibited substances include: drugs or medications for which no acceptable levels have been established; therapeutic medications in excess of established acceptable levels; substances present in the horse in excess of levels at which such substances could occur naturally; substances foreign to a horse at levels that cause interference with testing procedures. (2) Drugs or medications in horses are permissible, provided: the drug or medication is listed by The Association of Racing Commissioners International's drug testing standards and practices program; the maximum permissible urine or blood concentration of the drug or medication does not exceed the published limit.

(3) Except as otherwise provided by this part, a person may not administer or cause to be administered by any means to a horse a prohibited drug, medication, chemical or other substance, including any restricted medication pursuant to this part during the 24-hour period before post time for the race in which the horse is entered

Penalty Recommendations:

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15.2.6.9 (C) 3 LICENSED TRAINER: Which states that, "2" offense (365-day period) in any jurisdiction in the following levels, the penalty is a minimum fine of \$1,500 and 15 day suspension."

15.2.1.9(B)(7)(f) NMAC and 15.2.3.8 (B)(3)(1) NMAC: Which states that "All fines imposed by the Stewards shall be paid to the commission within 30 days after the ruling is issued, unless otherwise ordered.

Appeal Filing Deadline: 15.2.1.9(B)(9)(b)NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

For this first violation (365 day period), The Board of Stewards have assessed: Trainer Roy Marcom, a penalty of

1. A fine in the amount of one thousand hundred dollars (\$1,000).

This horse must pass a commission approved examination before allowing entry. The fine is to be paid on or before April 4, 2016 in accordance with 15.2.3.8(B)(3)(1) NMAC.

Failure to pay this fine within the time prescribed may result in immediate suspension.



Ruling #:

2016-SUN-115

Track

Sunland Park

Ruling Date:

3/1/2016

Licensee:

REYES, FRANK

License Type:

2014 3 Year Jockey

Applicant Id:

116762

Date of Birth:

10/09/1990

License Number: 184679

Ruling #

2016-SUN-115

Action Type:

Initial Ruling

Ruling:

Jockey, Frank Reyes, having been summoned and appeared before the Board of Stewards on March 1, 2016, for careless riding, while aboard "Cruiserweight" in the second race, February 28, 2016, at Sunland Park, is found to be in violation of Commission rules.

For this violation, the Board of Stewards assessed, Frank Reyes a suspension of 2 racing days (March 11, 2016 and March 12, 2016).

This ruling is in accordance with:

15.2.5.13(E)(3)(d) NMAC:

Which states in pertinent part that "In a straightaway race, every horse must maintain position as nearly as possible in the lane in which it starts. If a horse is ridden, drifts or swerves out of its lane in such a manner that it interferes with, impedes or intimidates another horse, it is a foul and may result in the disqualification of the offending horse."

15.2.5.13(E)(3)(c) NMAC:

Which states in pertinent part that "If the Stewards determine the foul was intentional, or due to careless riding, they may fine or suspend the guilty jockey."

15.2.5.13(E)(2)(a) NMAC:

which states in pertinent part that "A jockey shall not ride carelessly or willfully so as to permit his/her mount to interfere with, impede or intimidate any other horse in the race."

15.2.1.9(b):

Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."



Ruling #:

2016-SUN-116

Track

Sunland Park

Ruling Date:

3/5/2016

Licensee:

ORTIZ, JOSE ENRIQUE

License Type:

2013 3 year Jockey Applicant Id:

35364

Date of Birth:

03/02/1996

License Number: 68333

Ruling #

2016-SUN-116

Action Type:

Initial Ruling

Ruling:

Jockey Jose Enrique Ortiz, having been summoned appeared before the Board of Stewards on February 27, 2016, for careless riding and failure to maintain a straight course, while aboard "Queen Arabella" in the fourth race, February 26, 2016, at Sunland Park, is found to be in violation of Commission rules.

For this violation, the Board of Stewards assessed Jose Enrique Ortiz a fine in the amount of one hundred dollars (\$100.00).

The fine is to be paid on or before April 4, 2016 in accordance with 15.2.3.8 (B)(3)(8) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension.

This ruling is in accordance with:

15.2.5.13(E)(3)(d) NMAC:

Which states in pertinent part that "In a straightaway race, every horse must maintain position as nearly as possible in the lane in which it starts. If a horse is ridden, drifts or swerves out of its lane in such a manner that it interferes with, impedes or intimidates another horse, it is a foul and may result in the disqualification of the offending horse."

15.2.5.13(E)(3)(c) NMAC:

Which states in pertinent part that "If the Stewards determine the foul was intentional, or due to careless riding, they may fine or suspend the guilty jockey."

15.2.5.13(E)(2)(a) NMAC:

which states in pertinent part that "A jockey shall not ride carelessly or willfully so as to permit his/her mount to interfere with, impede or intimidate any other horse in the race."

15.2.1.9(b):

Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."



Ruling #:

2016-SUN-117

Track

Sunland Park

Ruling Date:

3/5/2016

Licensee:

ALDRETE-BIZCARRA, HECTOR

License Type:

2015 3 Year Jockey

Applicant Id:

35261

Date of Birth:

12/21/1985

License Number: 280381

Ruling #

2016-SUN-117

Action Type:

Initial Ruling

Ruling:

Jockey Hector Aldrete, having been summoned appeared before the Board of Stewards on February 28, 2016, for careless riding and failure to maintain a straight course, while aboard "Seemerock" in the second race, February 27, 2016, at Sunland Park, is found to be in violation of Commission rules.

For this violation, the Board of Stewards assessed Hector Aldrete a fine in the amount of two hundred dollars (\$200.00).

The fine is to be paid on or before April 4, 2016 in accordance with 15.2.3.8 (B)(3)(8) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension.

This ruling is in accordance with:

15.2.5.13(E)(3)(d) NMAC:

Which states in pertinent part that "In a straightaway race, every horse must maintain position as nearly as possible in the lane in which it starts. If a horse is ridden, drifts or swerves out of its lane in such a manner that it interferes with, impedes or intimidates another horse, it is a foul and may result in the disqualification of the offending horse."

15.2.5.13(E)(3)(c) NMAC:

Which states in pertinent part that "If the Stewards determine the foul was intentional, or due to careless riding, they may fine or suspend the guilty jockey."

15.2.5.13(E)(2)(a) NMAC:

which states in pertinent part that "A jockey shall not ride carelessly or willfully so as to permit his/her mount to interfere with, impede or intimidate any other horse in the race."

15.2.1.9(b):

Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."



Ruling #:

2016-SUN-118

Track

Sunland Park

Ruling Date:

3/5/2016

Licensee:

PEREZ, ARNOLD

License Type:

2015 3 Year Jockey

Applicant Id: 158386

Date of Birth:

06/29/1991

License Number: 280392

Ruling #

2016-SUN-118

Action Type:

Initial Ruling

Ruling:

Jockey Arnold Perez, having been summoned appeared before the Board of Stewards on February 28, 2016, for careless riding and failure to maintain a straight course, while aboard "Ghosttown Brice" in the fifth race, February 27, 2016, at Sunland Park, is found to be in violation of Commission rules.

For this violation, the Board of Stewards assessed y Arnold Perez, a fine in the amount of two hundred dollars (\$200.00).

The fine is to be paid on or before April 4, 2016 in accordance with 15.2.3.8 (B)(3)(8) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension.

This ruling is in accordance with:

15.2.5.13(E)(3)(c) NMAC:

Which states in pertinent part that "If the Stewards determine the foul was intentional, or due to careless riding, they may fine or suspend the guilty jockey."

15.2.5.13(E)(3)(a) NMAC:

Which states in pertinent part that "When the way is clear in a race, a horse may be ridden to any part of the course, but if any horse swerves, or is ridden to either side, so as to interfere with, impede, or intimidate any other horse, it is a foul."

15.2.5.13(E)(2)(a) NMAC:

Which states in pertinent part that "A jockey shall not ride carelessly or willfully so as to permit his/her mount to interfere with, impede or intimidate any other horse in the race."

15.2.1.9(b):

Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check.'



Ruling #:

2016-SUN-119

Track

Sunland Park

Ruling Date:

3/5/2016

Licensee:

EIKLEBERRY, RY PATRICK

License Type:

2014 3 Year Jockey

30493

Date of Birth:

01/11/1989

License Number: 184582

Applicant Id:

Ruling #

2016-SUN-119

Action Type:

Initial Ruling

Ruling:

Jockey Ry Eikleberry, having been summoned appeared before the Board of Stewards on February 28, 2016, for careless riding and failure to maintain a straight course, while aboard "My Bikini Fell Off" in the ninth race, February 27, 2016, at Sunland Park, is found to be in violation of Commission rules.

For this violation, the Board of Stewards assessed <u>Ry Eikleberry</u> a fine in the amount of two hundred dollars (\$200.00).

The fine is to be paid on or before April 4, 2016 in accordance with 15.2.3.8 (B)(3)(8) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension.

This ruling is in accordance with:

15.2.5.13(E)(3)(a) NMAC:

Which states in pertinent part that "When the way is clear in a race, a horse may be ridden to any part of the course, but if any horse swerves, or is ridden to either side, so as to interfere with, impede, or intimidate any other horse, it is a foul."

15.2.5.13(E)(2)(a) NMAC:

Which states in pertinent part that "A jockey shall not ride carelessly or willfully so as to permit his/her mount to interfere with, impede or intimidate any other horse in the race."

15.2.1.9(b):

Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Sunland Park Stewards

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Ruling #:

2016-SUN-120

Track

Sunland Park

Ruling Date:

3/5/2016

Licensee:

PARGA-LEOS, JAIME

License Type:

Jockey

Applicant Id:

30593

Date of Birth:

01/01/1981

License Number: 80735

Ruling #

2016-SUN-120

Action Type:

Initial Ruling

Ruling:

Jockey Jaime Parga Leos having failed to appear or send a jockey guild's representative when summoned by the Board of Stewards for race/film review regarding the first race on Feb. 28, 2016 at Sunland Park is found in violation of Commission rules.

For this rule violation, the Board of Stewards assessed Jaime Parga Leos a penalty of fifty dollars (\$50.00). The fine is to be paid on or before April 4, 2016 in accordance with 15.2.3.8(B) (3)(l) NMAC.

Failure to pay the fine within the time prescribed may result in immediate suspension.

Licensee is found to be in violation of:

15.2.1.9(B)(4)(d)NMAC: Which states in pertinent part that "Nonappearance of a summoned party after adequate notice shall be construed as a waiver of the right to a hearing before the board of stewards

15.2.1.9(b):

Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."+C9



Ruling #:

2016-SUN-121

Track

Sunland Park

Ruling Date:

3/5/2016

Licensee:

CORDOVA, OSCAR

License Type:

2014 3 Year Jockey 05/11/1991

Applicant Id: 31477

Date of Birth:

License Number: 184705

Ruling #

2016-SUN-121

Action Type:

Initial Ruling

Ruling:

Jockey Oscar Cordova, having been summoned appeared before the Board of Stewards on March 1, 2016, for careless riding and failure to maintain a straight course, while aboard "Gg Steakin South" in the second race, February 28, 2016, at Sunland Park, is found to be in violation of Commission rules.

For this violation, the Board of Stewards assessed Oscar Cordova a fine in the amount of two hundred dollars (\$200.00).

The fine is to be paid on or before April 4, 2016 in accordance with 15.2.3.8 (B)(3)(8) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension.

This ruling is in accordance with:

15.2.5.13(E)(3)(d) NMAC:

Which states in pertinent part that "In a straightaway race, every horse must maintain position as nearly as possible in the lane in which it starts. If a horse is ridden, drifts or swerves out of its lane in such a manner that it interferes with, impedes or intimidates another horse, it is a foul and may result in the disqualification of the offending horse."

15.2.5.13(E)(3)(c) NMAC:

Which states in pertinent part that "If the Stewards determine the foul was intentional, or due to careless riding, they may fine or suspend the guilty jockey."

15.2.5.13(E)(2)(a) NMAC:

which states in pertinent part that "A jockey shall not ride carelessly or willfully so as to permit his/her mount to interfere with, impede or intimidate any other horse in the race."

15.2.1.9(b):

Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."



Ruling #:

2016-SUN-122

Track

Sunland Park

Ruling Date:

3/5/2016

Licensee:

MEDELLIN, ALEJANDRO HERRERA

License Type:

2016 3 Year Jockey

Applicant Id:

31173

Date of Birth:

01/23/1978

License Number: 280673

Ruling #

2016-SUN-122

Action Type:

Initial Ruling

Ruling:

Jockey Alejandro Medellin, having been summoned appeared before the Board of Stewards on March 1, 2016, for careless riding and failure to maintain a straight course, while aboard "Princess Jesse" in the fourth race, February 28, 2016, at Sunland Park, is found to be in violation of Commission rules.

For this violation, the Board of Stewards assessed Alejandro Medellin a fine in the amount of two hundred dollars (\$200.00).

The fine is to be paid on or before April 4, 2016 in accordance with 15.2.3.8 (B)(3)(8) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension.

This ruling is in accordance with:

15.2.5.13(E)(3)(d) NMAC:

Which states in pertinent part that "In a straightaway race, every horse must maintain position as nearly as possible in the lane in which it starts. If a horse is ridden, drifts or swerves out of its lane in such a manner that it interferes with, impedes or intimidates another horse, it is a foul and may result in the disqualification of the offending horse."

15.2.5.13(E)(3)(c) NMAC:

Which states in pertinent part that "If the Stewards determine the foul was intentional, or due to careless riding, they may fine or suspend the guilty jockey."

15.2.5.13(E)(2)(a) NMAC:

which states in pertinent part that "A jockey shall not ride carelessly or willfully so as to permit his/her mount to interfere with, impede or intimidate any other horse in the race."

15.2.1.9(b):

Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check.'



Ruling #:

2016-SUN-123

Track

Sunland Park

Ruling Date:

3/5/2016

Licensee:

MADEIRA, CARLOS D

License Type:

2015 3 Year Jockey

Applicant Id:

22615

Date of Birth:

12/19/1975

License Number: 246654

Ruling #

2016-SUN-123

Action Type:

Initial Ruling

Ruling:

Jockey Carlos Madera having failed to appear or send a jockey guild's representative when summoned by the Board of Stewards for race/film review regarding the fourth race on February 28, 2016 at Sunland Park is found in violation of Commission rules.

For this rule violation, the Board of Stewards assessed Carlos Madera a penalty of fifty dollars (\$50.00). The fine is to be paid on or before April 4, 2016 in accordance with 15.2.3.8(B)(3)(l) NMAC.

Failure to pay the fine within the time prescribed may result in immediate suspension.

Licensee is found to be in violation of:

15.2.1.9(B)(4)(d)NMAC: Which states in pertinent part that "Nonappearance of a summoned party after adequate notice shall be construed as a waiver of the right to a hearing before the board of stewards

15.2.1.9(b):

Which states that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."



Ruling #:

2016-SUN-124

Track

Sunland Park

Ruling Date:

3/5/2016

Licensee:

MARQUEZ, JESUS ELIAS

License Type:

Trainer

Applicant Id:

33006

Date of Birth:

12/03/1978

License Number: 81802

Ruling #

2016-SUN-124

Action Type:

Initial Ruling

Ruling:

Trainer Jesus Marquez, having been duly notified, and having appeared before the Board of Stewards telephonically on this date, regarding a lab report from UC/Davis (official testing laboratory for the NMSRC), for a confirmed positive test for the Class III drug "Clenbuterol", which was found in sample NM13049, after it was collected from the horse "Jumpn Gun" which finished second in the 2nd race, May 31, 2015 at The Downs at Albuquerque. (The original lab report indicated a finding of Clenbuterol at 789 pg/ml). Split sampling rights, as provided in 15.2.6.10 (D) NMAC were afforded to, but denied, by Jesus Marquez. Mr. J. Marquez was representing his owner Manuel Rivera as well. Trainer Jesus Marquez is found to be in violation of: 15.2.6.9(D) (1-2-3) NMAC: Which states in that "A finding by the Commission approved laboratory of a prohibited drug, chemical or other substance in a test specimen of a horse is prima facie evidence that the prohibited drug, chemical or other substance was administered to the horse and, in the case of a post-race test, was present in the horse's body while it was participating in a race (b) therapeutic medications in excess of established acceptable levels". 15.2.6.9 (P) NMAC: Which states the suspension of authorized medications, as outlined in sections 1-2-3-4, which led to a published, posted, and distributed memo effective August 20, 2015 in which states in part "Clenbuterol is prohibited. A subsequent published, posted, and distributed memo dated August 20, 2015, stated the criteria for Clenbuterol remained in effect".

15.2.6.11(A) NMAC and 16.47.1.10(B)(1) NMAC: Which states that "The Trainer is the absolute insurer of the condition of horses entered in an official workout or race, and is responsible for the presence of any prohibited drug or medication, or other prohibited substance in such horse. A positive test for a prohibited drug or medication or other prohibited substance or the presence of permitted medication in excess of maximum allowable levels as reported by the commission approved laboratory is prima facie evidence of a violation of this rule. The Trainer is absolutely responsible regardless of the acts of third party."

For this violation, the Board of Stewards assessed Jesus Marquez a penalty as follows:

(1) a suspension of any/all NMSRC issued licenses for a period of sixty (180) days starting on March 16, 2016 ending on September 11th, 2016.

(2) A fine in the amount of twenty-five hundred dollars (\$2500.00.);

(3) Loss of purse and the horse must pass a commission approved examine before becoming eligible to enter a race. The new order of finish is (1)Mozilla (2) Eyesa a Diamond (3)Sheza Honkin Jesse (4) Alissas Spice Girl (5) Ginas Baby (6) What a Zoomer (7) Shezaokeydokeymaddie (8) Rp Jets Azoomen (9) Gimme the Most, UNPLACED- Jumpn Gun

This is a second offense positive test violation in the past 365 day period, and is issued in accordance with 15.2.6.9 (C) (2) (b) NMAC. The fine is to be paid on or before any reinstatement date, in accordance with 15.2.3.8(B) (3) (l) NMAC.

During said time of applicable suspension, Jesus Marquez is hereby excluded from all areas of the grounds under the jurisdiction of the NMSRC, in accordance with 15.2.1.9 (C) (21)(a-b) NMAC, and

15.2.3.8 (B) (3) (f) NMAC.



Ruling #:

2016-SUN-125

Track

Sunland Park

Ruling Date:

3/6/2016

Licensee:

LOMELI, JOSE ASCENCION

License Type:

2016 1 Year Exercise P Applicant Id:

30909

Date of Birth:

10/06/1965

License Number:

280746

Ruling #

2016-SUN-125

Action Type:

Initial Ruling

Ruling:

Licensee having been duly notified and appeared before the Board of Stewards on March 1, 2016, for working for Henry Dominguez in a capacity in which he was not licensed for, an exercise rider while only holding a valid owners license.

Licensee was found to be in violation of Commission rules. Thus, for this rule violation, the Board of Stewards assessed licensee a penalty in the amount of fifty dollars (\$50.00). The fine is to be paid on or before April 4, 2016 in accordance with 15.2.3.8(B)(3)(l) NMAC.

Failure to pay this fine within the time prescribed may result in immediate suspension.

Licensee is found to be in violation of the following rules:

16.47.1.8 L **(1)(C)** The commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued, or order disciplinary measures, if the applicant: who is unqualified, by experience or otherwise, to perform the activities for which a license is required, or who fails to pass an examination prescribed by the commission.

15.2.1.9(b):

Which states that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Sunland Park Stewards

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Ruling #:

2016-SUN-126

Track

Sunland Park

Ruling Date:

3/6/2016

Licensee:

MONTOYA, MARIO ALVARO

License Type:

Applicant Id:

28655

Date of Birth:

02/13/1983

License Number:

Ruling #

2016-SUN-126

Action Type:

Initial Ruling

Ruling:

Trainer Mario Montoya, having been duly notified, and appeared telephonically before the Board of Stewards on February 28, 2016, regarding a confirmed a lab report from UC/Davis (official testing laboratory for the NMSRC) for a confirmed overage of allowable limits for the Class IV drug "Dexamethasone" which was found in sample NM15236, after it was collected from the horse "Fly Foose Fly," which participated in the first race on September 16, 2015, at the New Mexico State Fair. Fly Foose Fly finished in a dead heat for second in that race.

(The original lab report indicated a finding of Dexamethasone at a level of 125 pg/ml. which exceeds the authorized medication limit of 5.0 picograms per milliliter. Split sample rights, as provided in 15.2.6.10(D) NMAC were offered but waived by Mario Montoya.

Marilyn and Michael Miera are the recorded Owners of the horse "Fly Foose Fly" which was the subject of related rulings issued this date at Sunland Park, in which sanctions were made against the Trainer of record.

Mario Montova is found to be in violation of:

15.2.6.9(D)(1-2-3)NMAC: Which states that "A finding by the Commission approved laboratory of a prohibited drug, chemical or other substance in a test specimen of a horse is prima facie evidence that the prohibited drug, chemical or other substance was administered to the horse and, in case of a post-race test, was present in the horse's body while it was participating in a race (b) therapeutic medication in excess of established acceptable levels."

15.2.6.9(E)(1) NMAC: Which states "The permitted quantitative level of Dexamethasone shall be administered in such dosage amount that the

official test sample shall not exceed 5 picograms per milliliter.

15.2.6.11(A) NMAC and 16.47.1.10(B)(1) NMAC: Which states that "The trainer is the absolute insurer of the condition of horses entered in an official workout or race, and is responsible for the presence of any prohibited drug or medication, or other prohibited substance in such horse. A positive test for a prohibited drug or medication or other prohibited substance or the presence of permitted medication in excess of maximum allowable levels as reported by the commission approved laboratory is prima facie evidence of a violation of this rule. The Trainer is absolutely responsible regardless of the acts of third party."

For this violation, the Board of Stewards assessed Mario Montoya a penalty of:

1. A fine if the amount of \$500 to be paid on or before April 5, 2016, in accordance with 15.2.3.8 (B)(3)(8) NMAC. The fine must be paid before re-instatement of trainer's license.

2. A probation of 180 days beginning March 6, 2016, through September 1, 2016, inclusive.

3. The horse 'Fly Foose Fly" must pass a Commission approved examination before becoming eligible to enter.

(Per the terms of a signed Stipulated Settlement Agreement, this is considered a first offense positive test violation in the past 365 day period, and is issued in accordance with 15.2.6.9 (C)(3) NMAC. Per the written terms of the signed Stipulated Settlement Agreement as specified in section 2, Mario Montoya, furthermore knowingly, intentionally, and voluntarily waives any/all appeal rights, including any judicial appeal regarding this <u>matter).</u>

By Order of The Sunland Park Stewards

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Ruling #:

2016-SUN-127

Track

Sunland Park

Ruling Date:

3/6/2016

Licensee:

LAMBERT, SR CLIFFORD C.

License Type:

2015 3 Year Trainer

Applicant Id:

23359

Date of Birth:

11/23/1936

License Number:

280419

Ruling #

2016-SUN-127

Action Type:

Initial Ruling

Ruling:

march 1,2016

Licensee having been duly notified and appeared before the Board of Stewards on MRCH 1, 2016, for having an unlicensed person living in his tack room.

Licensee was found to be in violation of Commission rules. Thus, for this rule violation, the Board of Stewards assessed licensee a penalty in the amount of fifty dollars (\$50). The fine is to be paid on or before April 4, 2016 in accordance with 15.2.3.8(B)(3)(I) NMAC.

Failure to pay this fine within the time prescribed may result in immediate suspension.

Licensee is found to be in violation of the following rules:

16.47.1.8 (A)NMAC and 15.2.1.7 (L) NMAC: Which states in pertinent party "A person shall not participate in Pari Mutuel racing under the jurisdiction of the Commission, or be employed by an Association who is a gaming operator, without a valid license issued by the Commission"

16.47.1.8 (G)(1) NMAC: Which states in pertinent part "The employment of any unlicensed person under the jurisdiction of the Commission is prohibited".

16.47.1.10 (C)(4) NMAC: Which states in pertinent part "A Trainer must provide a list to the Chief of Security of the Trainer's employees on association grounds and any other area under the jurisdiction of the Commission.

15.2.1.9(b):

Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Sunland Park Stewards

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Ruling #:

2016-SUN-128

Track

Sunland Park

Ruling Date:

3/6/2016

Licensee:

BENCOMO, THOMAS TEX

License Type:

2015 1 Year Exercise P Applicant Id:

157881

Date of Birth:

03/05/1991

License Number: 278917

Ruling #

2016-SUN-128

Action Type:

Initial Ruling

Ruling:

Licensee having been duly notified and appeared before the Board of Stewards on MARCH 1, 2016, for having an unlicensed person living with him in the tack room belonging to trainer Cliff Lambert.

Licensee was found to be in violation of Commission rules. Thus, for this rule violation, the Board of Stewards assessed licensee a penalty in the amount of fifty dollars (\$50). The fine is to be paid on or before April 4, 2016 in accordance with 15.2.3.8(B)(3)(1) NMAC.

Failure to pay this fine within the time prescribed may result in immediate suspension.

Licensee is found to be in violation of the following rules:

16.47.1.8 (A)NMAC and 15.2.1.7 (L) NMAC: Which states in pertinent party "A person shall not participate in Pari Mutuel racing under the jurisdiction of the Commission, or be employed by an Association who is a gaming operator, without a valid license issued by the Commission"

16.47.1.8(L)(1)(h) NMAC:

Which states that "The Commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued or order disciplinary measures, if the applicant is a person whose conduct or reputation may reflect on the honesty and integrity of horse racing or interfere with the orderly conduct of a race meet. Interfering with the orderly conduct of the race meet shall include, but not limit to, disruptive or intemperate behavior or behavior which exposes otherS to danger anywhere on the racetrack grounds. The fact that the race meet was not actually interrupted is not a defense to the imposition of discipline under this rule."

15.2.1.9(b):

Which states that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."



Ruling #:

2016-SUN-129

Track

Sunland Park

Ruling Date:

3/6/2016

Licensee:

MEDINA, ANTHONY CHAYANNE

License Type:

2015 3 Year Jockey

Applicant Id:

30292

Date of Birth:

10/01/1989

License Number:

278514

Ruling #

2016-SUN-129

Action Type:

Initial Ruling

Ruling:

Licensee having been duly notified and appeared before the Board of Stewards on MARCH 1, 2016, for failure to follow the instructions of a racing official and backtracking in the morning while gates were up. Licensee is also currently on probation until the end of the 2016 Sunland Park meet. Licensee was found to be in violation of Commission rules. Thus, for this rule violation, the Board of Stewards assessed licensee a penalty in the amount of fifty dollars (\$50). The fine is to be paid on or before April 4, 2016 in accordance with 15.2.3.8(B)(3)(I) NMAC.

Failure to pay this fine within the time prescribed may result in immediate suspension.

Licensee is found to be in violation of the following rules:

16.47.1.8(L)(1)(h) NMAC: Which states in pertinent part "The Commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued or order disciplinary measures, if the applicant is a person whose conduct or reputation may reflect on the honesty and integrity of horse racing or interfere with the orderly conduct of a race meet. Interfering with the orderly conduct of the race meet shall include, but not limit to, disruptive or intemperate behavior or behavior which exposes others to danger anywhere on the racetrack grounds. The fact that the race meet was not actually interrupted is not a defense to the imposition of discipline under this rule."

15.2.1.9(b):

Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Sunland Park Stewards

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Ruling #:

2016-SUN-130

Track

Sunland Park

Ruling Date:

3/6/2016

Licensee:

EVANS, JUSTIN ROBERT

License Type:

2015 3 Year Trainer

Applicant Id:

30562

Date of Birth:

06/22/1981

License Number:

246959

Ruling #

2016-SUN-130

Action Type:

Initial Ruling

Ruling:

Trainer <u>Justin Evans</u>, having appeared before the Board of Stewards March 4, 2016 regarding his conduct and language towards an official on February 14th on the backside of Sunland Park;

Justin Evans is found to be in violation of:

16.47.1.8(L)(1)(h) NMAC: Which states in pertinent part "The Commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued or order disciplinary measures, if the applicant is a person whose conduct or reputation may reflect on the honesty and integrity of horse racing or interfere with the orderly conduct of a race meet. Interfering with the orderly conduct of the race meet shall include, but not limit to, disruptive or intemperate behavior or behavior which exposes others to danger anywhere on the racetrack grounds. The fact that the race meet was not actually interrupted is not a defense to the imposition of discipline under this rule."

For this rule violation the Board of Stewards assessed <u>Justin Evans</u> a penalty of five hundred dollars (\$500.00). The fine is to be paid on or before Date: April 4, 2016 in accordance with 15.2.3.8(B)(3)(I) NMAC.

Failure to pay the fine within the time prescribed may result in immediate suspension.

15.2.1.9(b):

Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Sunland Park Stewards

Manuel Amush



Ruling #:

2016-SUN-131

Track

Sunland Park

Ruling Date:

3/6/2016

Licensee:

HERNANDEZ, ANTONIO BACA

License Type:

Applicant Id:

11656

Date of Birth:

12/26/1959

License Number:

Ruling #

2016-SUN-131

Action Type:

Initial Ruling

Ruling:

Trainer Antonio Baca Hernandez, having been duly notified, and having appeared before the Board of Stewards on this date, regarding a lab report from UC/Davis (official testing laboratory for the NMSRC), for a confirmed positive test for the Class III drug "Clenbuterol", which was found in sample NM10126, after it was collected from the horse "Cowboy Wave" which finished third in the 4th race, December 21, 2014 at Sunland Park. (The original lab report indicated a finding of Clenbuterol at 298 pg/ml). Split sampling rights, as provided in 15.2.6.10 (D) NMAC were afforded to, but denied, by Antonio B. Hernandez. Trainer Antonio B. Hernandez who also represented his owner, Eusebio Sanchez during this hearing is found to be in violation of:

15.2.6.9(D) (1-2-3) NMAC: Which states in that "A finding by the Commission approved laboratory of a prohibited drug, chemical or other substance in a test specimen of a horse is prima facie evidence that the prohibited drug, chemical or other substance was administered to the horse and, in the case of a post-race test, was present in the horse's body while it was participating in a race (b) therapeutic medications in excess of established acceptable levels".

15.2.6.9 (P) NMAC: Which states the suspension of authorized medications, as outlined in sections 1-2-3-4, which led to a published, posted, and distributed memo effective August 20, 2015 in which states in part "Clenbuterol is prohibited. A subsequent published, posted, and distributed memo dated August 20, 2015, stated the criteria for Clenbuterol remained in effect".

15,2.6.11(A) NMAC and 16.47.1.10(B)(1) NMAC: Which states that "The Trainer is the absolute insurer of the condition of horses entered in an official workout or race, and is responsible for the presence of any prohibited drug or medication, or other prohibited substance in such horse. A positive test for a prohibited drug or medication or other prohibited substance or the presence of permitted medication in excess of maximum allowable levels as reported by the commission approved laboratory is prima facie evidence of a violation of this rule. The Trainer is absolutely responsible regardless of the acts of third party."

For this violation, the Board of Stewards assessed Antonio B. Hernandez a penalty as follows:

(1) Antonio B. Hernandez is placed on probation for a period of one hundred eighty (180) days starting starting on March 6th thru August 27th, 2016.

(2) A fine in the amount of on thousand dollars (\$1000.00.); which must be paid within a thirty (30) period or may be subject to suspension. (3) Loss of purse and the horse must pass a commission approved examine before becoming eligible to enter a race. The new order of finish is (1)Trip to the Jungle (2) Country Spencerman(3) King Kamahamaha (4)Jet Black Legend (5) Wagon Cash (6) Big Sluggo (7) Lord Valiant (8) Chicks Royal Dude(9) Bullit in a Gun, UNPLACED- Cowboy Wave.

This is a first offense positive test violation in the past 365 day period, and is issued in accordance with 15.2.6.9 (C) (2) (b) NMAC. The fine is to be paid on or before any reinstatement date, in accordance with 15.2.3.8(B) (3) (l) NMAC.

(Per the terms of a signed Stipulated Settlement Agreement, this is considered a first offense positive test violation in the past 365 day period, and is issued in accordance with 15.2.6.9 (C)(2) NMAC. Any subsequent Class B violation within a 180 day period following this date will result in further penalty, as specified in Section 6.Per the written terms of the signed Stipulated Settlement Agreement as specified in section 2, Antonio B Hernandez furthermore knowingly, intentionally, and voluntarily waives any/all

appeal rights, including any judicial appeal regarding this matter).

By Order of The Sunland Park Stewards

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Ruling #:

2016-SUN-132

Track

Sunland Park

Ruling Date:

3/6/2016

Licensee:

EVANS, JUSTIN ROBERT

License Type:

2015 3 Year Trainer

Applicant Id:

30562

Date of Birth:

06/22/1981

License Number: 246959

Ruling #

2016-SUN-132

Action Type:

Initial Ruling

Ruling:

Licensee having been duly notified appeared before the Board of Stewards on March 4, 2016, for employing a groom, Ruben Urbina, whose NMRC Groom License was expired.

Licensee was found to be in violation of Commission rules. Thus, for this rule violation, the Board of Stewards assessed licensee a penalty in the amount of two hundred dollars (\$200). The fine is to be paid on or before April 4, 2016 in accordance with 15.2.3.8(B)(3)(I) NMAC.

Failure to pay this fine within the time prescribed may result in immediate suspension.

Licensee is found to be in violation of the following rules:

16.47.1.8 (A)NMAC and 15.2.1.7 (L) NMAC: Which states in pertinent party "A person shall not participate in Pari Mutuel racing under the jurisdiction of the Commission, or be employed by an Association who is a gaming operator, without a valid license issued by the Commission"

16.47.1.8 (G)(1) NMAC: Which states in pertinent part "The employment of any unlicensed person under the jurisdiction of the Commission is prohibited".

16.47.1.10 (C)(4) NMAC: Which states in pertinent part "A Trainer must provide a list to the Chief of Security of the Trainer's employees on association grounds and any other area under the jurisdiction of the Commission.

15.2.1.9(b):

Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."



Ruling #:

2016-SUN-133

Track

Sunland Park

Ruling Date:

3/6/2016

Licensee:

MUNOZ, JR GUADALUPE

License Type:

2014 3 Year Trainer

Applicant Id:

21993

Date of Birth:

11/28/1981

License Number:

112193

Ruling #

2016-SUN-133

Action Type:

Initial Ruling

Ruling:

Licensee having been duly notified appeared before the Board of Stewards on March 4, 2016, for employing an unlicensed exercise rider.

Licensee was found to be in violation of Commission rules. Thus, for this rule violation, the Board of Stewards assessed licensee a penalty in the amount of two hundred dollars (\$200). The fine is to be paid on or before April 4, 2016 in accordance with 15.2.3.8(B)(3)(l) NMAC.

Failure to pay this fine within the time prescribed may result in immediate suspension.

Licensee is found to be in violation of the following rules:

16.47.1.8 (A)NMAC and 15.2.1.7 (L) NMAC: Which states in pertinent party "A person shall not participate in Pari Mutuel racing under the jurisdiction of the Commission, or be employed by an Association who is a gaming operator, without a valid license issued by the Commission"

16.47.1.8 (G)(1) NMAC: Which states in pertinent part "The employment of any unlicensed person under the jurisdiction of the Commission is prohibited".

16.47.1.10 (C)(4) NMAC: Which states in pertinent part "A Trainer must provide a list to the Chief of Security of the Trainer's employees on association grounds and any other area under the jurisdiction of the Commission.

15.2.1.9(b):

Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."+C9

By Order of The Sunland Park Stewards

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Ruling #:

2016-SUN-134

Track

Sunland Park

Ruling Date:

3/6/2016

Licensee:

URBINA, RUBEN

License Type:

2016 1 Year Groom

Applicant Id:

15730

Date of Birth:

10/12/1946

License Number:

280730

Ruling #

2016-SUN-134

Action Type:

Initial Ruling

Ruling:

Licensee having been duly notified appeared before the Board of Stewards on March 6, 2016, for working with an expired grooms license for Justin Evans.

Licensee was found to be in violation of Commission rules. Thus, for this rule violation, the Board of Stewards assessed licensee a penalty in the amount of one hundred dollars (\$100). The fine is to be paid on or before April 4, 2016 in accordance with 15.2.3.8(B)(3)(I) NMAC.

Failure to pay this fine within the time prescribed may result in immediate suspension.

Licensee is found to be in violation of the following rules:

16.47.1.8 (A)NMAC and 15.2.1.7 (L) NMAC: Which states in pertinent party "A person shall not participate in Pari Mutuel racing under the jurisdiction of the Commission, or be employed by an Association who is a gaming operator, without a valid license issued by the Commission"

 $\underline{16.47.1.8}$ (G)(1) NMAC: Which states in pertinent part "The employment of any unlicensed person under the jurisdiction of the Commission is prohibited".

16.47.1.10 (C)(4) NMAC: Which states in pertinent part "A Trainer must provide a list to the Chief of Security of the Trainer's employees on association grounds and any other area under the jurisdiction of the Commission.

15.2.1.9(b):

Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Sunland Park Stewards

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Ruling #:

2016-SUN-135

Track

Sunland Park

Ruling Date:

3/6/2016

Licensee:

WOOLLEY, JR BENNIE L

License Type:

2015 3 Year Trainer Applicant Id:

34470

Date of Birth:

12/21/1963

License Number: 280318

Ruling #

2016-SUN-135

Action Type:

Initial Ruling

Ruling:

Licensee having been duly notified appeared before the Board of Stewards on March 6, 2016, for employing an unlicensed exercise rider.

Licensee was found to be in violation of Commission rules. Thus, for this rule violation, the Board of Stewards assessed licensee a penalty in the amount of one hundred dollars (\$100). The original fine for this violation is \$200, but due to mitigating circumstances (Trainer made effort to license the exercise rider, but the exercise rider failed to finish the application process). The fine is to be paid on or before April 4, 2016 in accordance with 15.2.3.8(B)(3)(1) NMAC.

Failure to pay this fine within the time prescribed may result in immediate suspension.

Licensee is found to be in violation of the following rules:

16.47.1.8 (A)NMAC and 15.2.1.7 (L) NMAC: Which states in pertinent party "A person shall not participate in Pari Mutuel racing under the jurisdiction of the Commission, or be employed by an Association who is a gaming operator, without a valid license issued by the Commission"

16.47.1.8 (G)(1) NMAC: Which states in pertinent part "The employment of any unlicensed person under the jurisdiction of the Commission is prohibited".

16.47.1.10 (C)(4) NMAC: Which states in pertinent part "A Trainer must provide a list to the Chief of Security of the Trainer's employees on association grounds and any other area under the jurisdiction of the Commission.

15.2.1.9 (C)(21)(a) NMAC:

Which states in pertinent part that "The Stewards, agency director, or Commission may order an individual ejected or excluded from all or part if any premises under the regulatory jurisdiction of the Commission if the stewards, agency director, or commission determine that the individual's presence on association grounds is inconsistent with maintaining the honesty and integrity of racing."



Ruling #:

2016-SUN-136

Track

Sunland Park

Ruling Date:

3/6/2016

Licensee:

RODRIGUEZ, GUSTAVO

License Type:

2014 3 Year Owner

Applicant Id:

86366

Date of Birth:

03/10/1968

License Number:

142627

Ruling #

2016-SUN-136

Action Type:

Initial Ruling

Ruling:

Licensee having been duly notified appeared before the Board of Stewards on March 4, 2016, for working in a capacity in which he was not licensed for. Working as a groom for James J Gonzalez while holding an owners badge and not having any papers on file in the office for horses that he owns.

Licensee was found to be in violation of Commission rules. Thus, for this rule violation, the Board of Stewards assessed licensee a penalty in the amount of one hundred dollars (\$100). The fine is to be paid on or before April 7, 2016 in accordance with 15.2.3.8(B)(3)(I) NMAC.

Failure to pay this fine within the time prescribed may result in immediate suspension.

Licensee is found to be in violation of the following rules:

16.47.1.8 L **(1)(C)** The commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued, or order disciplinary measures, if the applicant: who is unqualified, by experience or otherwise, to perform the activities for which a license is required, or who fails to pass an examination prescribed by the commission.

15.2.1.9(b):

Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."+C9

By Order of The Sunland Park Stewards

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Ruling #:

2016-SUN-137

Track

Sunland Park

Ruling Date:

3/6/2016

Licensee:

RODRIGUEZ, GUSTAVO

License Type:

2014 3 Year Owner

Applicant Id:

86366

Date of Birth:

03/10/1968

License Number:

142627

Ruling #

2016-SUN-137

Action Type:

Initial Ruling

Ruling:

Licensee's New Mexico Racing Commission Owner License #142627 is herby rescinded after found working on the backside as a groom for trainer James J Gonzalez and having no horses in his ownership, which is a requirement to be licensed as an owner.

This ruling is in accordance with:

16.47.1.8 (M)(2)NMAC: Which states in pertinent part "A license is valid only under the condition that the licensee remains eligible to hold such a license

By Order of The Sunland Park Stewards

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Ruling #:

2016-SUN-138

Track

Sunland Park

Ruling Date:

3/6/2016

Licensee:

GONZALES,II JAMES JULIAN

License Type:

2013 3 year Trainer

Applicant Id:

26440

Date of Birth:

07/21/1976

License Number: 64475

Ruling #

2016-SUN-138

Action Type:

Initial Ruling

Ruling:

Licensee having been duly notified appeared before the Board of Stewards on March 6, 2016, for employing help licensed in the wrong capacity. Owner Gustavo Rodriguez working as a groom.

Licensee was found to be in violation of Commission rules. Thus, for this rule violation, the Board of Stewards assessed licensee a penalty in the amount of one hundred dollars (\$100). The fine is to be paid on or before April 7, 2016 in accordance with 15.2.3.8(B)(3)(I) NMAC.

Failure to pay this fine within the time prescribed may result in immediate suspension.

Licensee is found to be in violation of the following rules:

16.47.1.8 L (1)(C) The commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued, or order disciplinary measures, if the applicant: who is unqualified, by experience or otherwise, to perform the activities for which a license is required, or who fails to pass an examination prescribed by the commission.

16.47.1.10 (C)(4) NMAC: Which states in pertinent part "A Trainer must provide a list to the Chief of Security of the Trainer's employees on association grounds and any other area under the jurisdiction of the Commission.

15.2.1.9(b):

Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."



Ruling #:

2016-SUN-139

Track

Sunland Park

Ruling Date:

3/8/2016

Licensee:

LELLO, ANTHONY P

License Type:

2013 3 Year Trainer

Applicant Id:

2418

Date of Birth:

08/08/1956

License Number:

38729

Ruling #

2016-SUN-139

Action Type:

Initial Ruling

Ruling:

Trainer Anthony P. Lello, having been duly notified, and appeared telephonically before the Board of Stewards on March 1, 2016, regarding a confirmed a lab report from UC/Davis (official testing laboratory for the NMSRC) for a confirmed overage of allowable limits for the Class IV drugs "Phenylbutazone" and "Flunixin" which combined is considered a Class II Stacking Violation found in sample NM18111, after it was collected from the horse "Three Run Jack" which finished third in the sixth race on December 19, 2015, at Sunland Park.

(The original lab report indicated a finding in the blood of Phenylbutazone at 2.18 microgram per milliliter and Flunixin at 26.7 nanograms per milliliter. Split sample rights, as provided in 15.2.6.1.10(D) NMAC were sent to Industrial Laboratories and came back with a finding at 1.9 mcg/ml for Phenylbutazone and 23 ng/ml for Flunixin). Gary Rudolph is the recorded Owner of the horse "Three Run Jack" which is the subject of related rulings issued this date at Sunland Park, in which sanctions were made against the Trainer of Record.

Anthony P. Lello is found to be in violation of:

15.2.6.9(D)(1-2-3)NMAC: Which states that "A finding by the Commission approved laboratory of a prohibited drug, chemical or other substance in a test specimen of a horse is prima facie evidence that the prohibited drug, chemical or other substance was administered to the horse and, in case of a post-race test, was present in the horse's body while it was participating in a race (b) therapeutic medication in excess of established acceptable levels."

15.2.6.9(P)(5)(c) Which states in pertinent part that "A class 2 NSAID stacking violation with a penalty class C occurs when any one substance if found in excess of the restrictions contained therein in combination with one of the following substances at levels below the restrictions so noted but in excess of the following levels:

Phenylbutzone at 0.3 mcg/ml, and 15.2.6.9(P)(3)(c) Flunixin in excess of 20 NG/ml.

15.2.6.11(A) NMAC and 16.47.1.10(B)(1) NMAC: Which states that "The trainer is the absolute insurer of the condition of horses entered in an official workout or race, and is responsible for the presence of any prohibited drug or medication, or other prohibited substance in such horse. A positive test for a prohibited drug or medication or other prohibited substance or the presence of permitted medication in excess of maximum allowable levels as reported by the commission approved laboratory is prima facie evidence of a violation of this rule. The Trainer is absolutely responsible regardless of the acts of third party."

For this violation, the Board of Stewards assessed Anthony P. Lello a penalty of:

- 1. A fine if the amount of \$1,000 to be paid on or before April 17, 2016, in accordance with 15.2.3.8 (B)(3)(8) NMAC. Failure to pay the fine by the established deadline may result in immedicate suspenstion.
- 2. The horse "Three Run Jack" must pass a Commission approved examination before becoming eligible to enter.

15.2.1.9(b):

Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."



Ruling #:

2016-SUN-140

Track

Sunland Park

Ruling Date:

3/11/2016

Licensee:

CHAVEZ, ARTURO

License Type:

Applicant Id:

23940

Date of Birth:

10/09/1957

License Number:

Ruling #

2016-SUN-140

Action Type:

Initial Ruling

Ruling:

Trainer, Arturo Chavez having been duly notified, and having appeared before the Board of Stewards on February 28, 2016, regarding a lab report from UC/Davis (official testing laboratory for the NMSRC) for a confirmed positive test for the steroid "Boldenone" which was found in sample NM17004, after it was collected from the horse, "Chief Coronas Charm" which participated in the second(2nd) race, September 27, 2015at Zia Park Race Track. Arturo Chavez explained that he was representing both his owners of this horse, Castulo M. Morales and Jorge A. Ruiz since neither could be present at this time.

(The original lab report indicated the concentration of Boldenone was 85 nanogram/ml in the urine. Split sampling rights, as provided in 15.2.6.10 (D) NMAC were afforded, and said split sampling by TVMDL(Texas A&M) lab confirmed 58 ng/ml in the urine).

Arturo Chavez is found to be in violation of:

15.2.6.9(D)(1-2-3) NMAC: Which states that "A finding by the Commission approved laboratory of a prohibited drug, chemical or other substance in a test specimen of a horse is prima facie evidence that the prohibited drug, chemical or other substance was administered to the horse and, in the case of a post-race test, was present in the horse's body while it was participating in a race.

15.2.6.9 (H)(1) NMAC: Which states no AAS shall be permitted in test sample collected from horses except for residues of the major metabolite of nandrolone, and the naturally occurring substances Boldenone and testosterone at concentration less than indicated thresholds .(2) Concentrations shall not exceed the following urine threshold concentration for total(i.e., free drug metabolite and drug or metabolite liberated from conjugates): (a) Boldenone (Equipoise is undercylenate ester of Boldenone) in male horses other than geldings- 15ng/ml; no Boldenone shall be permitted in geldings or female horses.

15.2.6.11(A) NMAC and 16.47.1.10(B)(1) NMAC: Which states that "The Trainer is the absolute insurer of the condition of horses entered in an official workout or race, and is responsible for the presence of any prohibited drug or medication, or other prohibited substance in such horse. A positive test for a prohibited drug or medication or other prohibited substance or the presence of permitted medication in excess of maximum allowable levels as reported by the commission approved laboratory is prima facie evidence of a violation of this rule. The Trainer is absolutely responsible regardless of the acts of third party."

For this violation, the Board of Stewards assessed Arturo Chavez a penalty as follows;

- A suspension of any/all NMSRC issued licenses for a period of 15 days, (March 9, through March 25, 2016, inclusive);
- (2) A fine in the amount of five hundred dollars \$500.00);
- (3) Loss of purse of the second (2nd) race, September 27, 2015 at the Zia Park Race Track.
- (4) The horse "Chief Coronas Charm" must pass a commission approved examination before re-entry.

This is a first positive test violation in the past 365 day period, and is issued in accordance with 15.2.6.9 (C)(3)(a) NMAC. The fine is to be paid on or before any reinstatement date, in accordance with 15.2.3.8(B)(3)(I) NMAC.

During said time of applicable suspension, Arturo Chavez is hereby excluded from all areas under the jurisdiction of the NMSRC, in accordance with 15.2.1.9(C)(21)(a-b) NMAC, and 15.2.3.8 (B)(3)(f) NMAC;

Additionally, effective upon applicable suspension dates, all horses owned or trained, or under the care of Arturo Chavez are ineligible to be entered of start in any race in this jurisdiction, per 15.2.1.9 (C)(21)(c) NMAC;

BY ORDER OF THE SUNLAND PARK BOARD OF STEWARD

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Ruling #:

2016-SUN-141

Track

Sunland Park

Ruling Date:

3/11/2016

Licensee:

CHAVEZ, ARTURO

License Type:

Applicant Id:

23940

Date of Birth:

10/09/1957

License Number:

Ruling #

2016-SUN-141

Action Type:

Initial Ruling

Ruling:

Trainer, Arturo Chavez having been duly notified, and having appeared before the Board of Stewards on February 28, 2016, regarding a lab report from UC/Davis (official testing laboratory for the NMSRC) for a confirmed positive test for the steroid "Boldenone" which was found in sample NM17934, after it was collected from the horse, "Chief Coronas Charm" which participated in the third (3rd) race, October 20, 2015at Zia Park Race Track. Arturo Chavez explained that he was representing both his owners of this horse, Castulo M. Morales and Jorge A. Ruiz since neither could be present at this time.

(The original lab report indicated the concentration of Boldenone was 70 nanograms/ml in the urine. Split sampling rights, as provided in 15.2.6.10 (D) NMAC were afforded, and said split sampling by TVMDL (Texas A&M) lab confirmed 58 ng/ml in the urine).

Arturo Chavez is found to be in violation of:

15.2.6.9(D) (1-2-3) NMAC: Which states that "A finding by the Commission approved laboratory of a prohibited drug, chemical or other substance in a test specimen of a horse is prima facie evidence that the prohibited drug, chemical or other substance was administered to the horse and, in the case of a post-race test, was present in the horse's body while it was participating in a race.

15.2.6.9 (H)(1) NMAC: Which states no AAS shall be permitted in test sample collected from horses except for residues of the major metabolite of nandrolone, and the naturally occurring substances Boldenone and testosterone at concentration less than indicated thresholds .(2) Concentrations shall not exceed the following urine threshold concentration for total(i.e., free drug metabolite and drug or metabolite liberated from conjugates): (a) Boldenone (Equipoise is undercylenate ester of Boldenone) in male horses other than geldings- 15ng/ml; no Boldenone shall be permitted in geldings or female horses.

15.2.6.11(A) NMAC and 16.47.1.10(B)(1) NMAC: Which states that "The Trainer is the absolute insurer of the condition of horses entered in an official workout or race, and is responsible for the presence of any prohibited drug or medication, or other prohibited substance in such horse. A positive test for a prohibited drug or medication or other prohibited substance or the presence of permitted medication in excess of maximum allowable levels as reported by the commission approved laboratory is prima facie evidence of a violation of this rule. The Trainer is absolutely responsible regardless of the acts of third party."

For this violation, the Board of Stewards assessed Arturo Chavez a penalty as follows;

- A suspension of any/all NMSRC issued licenses for a period of 15 days, (March 25, through April 11, 2016, inclusive);
- (2) A fine in the amount of five hundred dollars \$500.00);
- (3) Loss of purse of the third (3rd) race, October 20, 2015 at the Zia Park Race Track.
- (4) The horse "Chief Coronas Charm" must pass a commission approved examination before re-entry.

This is a first positive test violation in the past 365 day period, and is issued in accordance with 15.2.6.9 (C) (3) (a) NMAC. The fine is to be paid on or before any reinstatement date, in accordance with 15.2.3.8(B) (3) (l) NMAC.

During said time of applicable suspension, Arturo Chavez is hereby excluded from all areas under the jurisdiction of the NMSRC, in accordance with 15.2.1.9(C) (21) (a-b) NMAC, and 15.2.3.8 (B) (3) (f) NMAC;

Additionally, effective upon applicable suspension dates, all horses owned or trained, or under the care of Arturo Chavez are ineligible to be entered or start in any race in this jurisdiction, per 15.2.1.9 (C) (21) (c) NMAC;

BY ORDE TO THE SUNLAND PARK A SOLD OF STEWARDS

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Ruling #:

2016-SUN-142

Track

Sunland Park

Ruling Date:

3/11/2016

Licensee:

CHAVEZ, ARTURO

License Type:

Applicant Id:

23940

Date of Birth:

10/09/1957

License Number:

Ruling #

2016-SUN-142

Action Type:

Initial Ruling

Ruling:

Issued In Error

By Order of The Sunland Park Stewards

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Ruling #:

2016-SUN-143

Track

Sunland Park

Ruling Date:

3/11/2016

Licensee:

JAIME, RICARDO

License Type:

Jockey

Applicant Id:

31030

Date of Birth:

07/16/1967

License Number:

80744

Ruling #

2016-SUN-143

Action Type:

Initial Ruling

Ruling:

Jockey <u>Ricardo Jaime</u> having failed to appear or send a jockey guild's representative when summoned by the Board of Stewards for race/film review regarding the <u>sixth race on March 5</u>, <u>2016</u> at Sunland Park is found in violation of Commission rules.

For this rule violation, the Board of Stewards assessed <u>Ricardo Jaime</u> a penalty of fifty dollars (\$50.00). The fine is to be paid on or before April 10, 2016 in accordance with 15.2.3.8(B)(3)(l) NMAC.

Failure to pay the fine within the time prescribed may result in immediate suspension.

Licensee is found to be in violation of:

15.2.1.9(B)(4)(d)NMAC: Which states in pertinent part that "Nonappearance of a summoned party after adequate notice shall be construed as a waiver of the right to a hearing before the board of stewards

15.2.1.9(b):

Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Sunland Park Stewards

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Ruling #:

2016-SUN-144

Track

Sunland Park

Ruling Date:

3/11/2016

Licensee:

SMITH, PAUL ALLEN

License Type:

2016 3 Year Trainer

Applicant Id:

5353

Date of Birth:

07/18/1933

License Number:

280792

Ruling #

2016-SUN-144

Action Type:

Initial Ruling

Ruling:

Licensee having been duly notified appeared before the Board of Stewards on March 6, 2016, for employing help licensed in the wrong capacity. Owner Jennifer Holmes working as a groom.

Licensee was found to be in violation of Commission rules. Thus, for this rule violation, the Board of Stewards assessed licensee a penalty in the amount of one hundred dollars (\$100). The fine is to be paid on or before April 10, 2016 in accordance with 15.2.3.8(B)(3)(I) NMAC.

Failure to pay this fine within the time prescribed may result in immediate suspension.

Licensee is found to be in violation of the following rules:

16.47.1.8 L **(1)(C)** The commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued, or order disciplinary measures, if the applicant: who is unqualified, by experience or otherwise, to perform the activities for which a license is required, or who fails to pass an examination prescribed by the commission.

16.47.1.10 (C)(4) NMAC: Which states in pertinent part "A Trainer must provide a list to the Chief of Security of the Trainer's employees on association grounds and any other area under the jurisdiction of the Commission.

15.2.1.9(b):

Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Sunland Park Stewards

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Ruling #: 2016-SUN-145

Track Sunland Park

Ruling Date: 3/12/2016

Licensee: BLEA,III ANTHONY R.

License Type: 2014 3 Year Trainer Applicant Id: 4852

Date of Birth: 03/12/1964 License Number: 205827

Ruling # 2016-SUN-145

Action Type: Initial Ruling

Ruling:

Trainer Anthony Robert Blea III, having been duly notified, and having appeared before the Board of Stewards on this date, regarding a lab report from UC/Davis (official testing laboratory for the NMSRC), for a confirmed positive test for the Class III drug "Clenbuterol", which was found in sample NM10127, after it was collected from the horse "Misty Mirage" which finished first(1st) in the 5th race, December 21, 2014 at Sunland Park. (The original lab report indicated a finding of Clenbuterol at 313 pg/ml). Split sampling rights, as provided in 15.2.6.10 (D) NMAC were afforded to Anthony R. Blea III and sent to LSU with the result confirming the presence of the drug clenbuterol. Trainer Anthony R. Blea who also represented his owner, Victoria Hernandez during this hearing is found to be in violation of:

15.2.6.9(D) (1-2-3) NMAC: Which states in that "A finding by the Commission approved laboratory of a prohibited drug, chemical or other substance in a test specimen of a horse is prima facie evidence that the prohibited drug, chemical or other substance was administered to the horse and, in the case of a post-race test, was present in the horse's body while it was participating in a race (b) therapeutic medications in excess of established acceptable levels".

15.2.6.9 (P) NMAC: Which states the suspension of authorized medications, as outlined in sections 1-2-3-4, which led to a published, posted, and distributed memo effective August 20, 2015 in which states in part "Clenbuterol is prohibited. A subsequent published, posted, and distributed memo dated August 20, 2015, stated the criteria for Clenbuterol remained in effect".

15.2.6.11(A) NMAC and 16.47.1.10(B)(1) NMAC: Which states that "The Trainer is the absolute insurer of the condition of horses entered in an official workout or race, and is responsible for the presence of any prohibited drug or medication, or other prohibited substance in such horse. A positive test for a prohibited drug or medication or other prohibited substance or the presence of permitted medication in excess of maximum allowable levels as reported by the commission approved laboratory is prima facie evidence of a violation of this rule. The Trainer is absolutely responsible regardless of the acts of third party."

For this violation, the Board of Stewards assessed Anthony Blea III a penalty as follows:

(1) A suspension of any/all NMSRC issued licenses for a period of one hundred eighty(180) days with the suspension waived and he is placed on probation for a period of one hundred and eighty(180) days per stipulated agreement.

(2) A fine in the amount of on thousand dollars (\$1000.00.);

(3) Loss of purse and the horse must pass a commission approved examine before becoming eligible to enter a race. The new order of finish is (1)Yea Ima Redhead (2) Peaceful Dome(3) Lady Five O Five (4)Imapistal (5) R L Babyblue (6) Gracie Come Home (7) Tricky Who (8) Sakuramea, UNPLACED- Misty Mirage.

This is a first offense positive test violation in the past 365 day period, and is issued in accordance with 15.2.6.9 (C) (2) (b) NMAC. The fine is to be paid on or before any reinstatement date, in accordance with 15.2.3.8(B) (3) (l) NMAC.

(Per the terms of a signed Stipulated Settlement Agreement, this is considered a first offense positive test violation in the past 365 day period, and is issued in accordance with 15.2.6.9 (C)(2) NMAC. Any subsequent Class B violation within a 180 day period following this date will result in further penalty, as specified in Section 6.Per the written terms of the signed Stipulated Settlement Agreement as specified in section 2, Anthony R. Blea III furthermore knowingly, intentionally, and voluntarily waives any/all appeal rights, including any judicial appeal regarding this matter).

BY ORDER OF THE SUNLAND PARK BOARD OF STEWARDS

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Ruling #:

2016-SUN-146

Track

Sunland Park

Ruling Date:

3/12/2016

Licensee:

ALDRETE-BIZCARRA, HECTOR

License Type:

2015 3 Year Jockey

Applicant Id:

35261

Date of Birth:

12/21/1985

License Number:

280381

Ruling #

2016-SUN-146

Action Type:

Initial Ruling

Ruling:

Jockey, <u>Hector Aldrete</u>, having been summoned and appeared before the Board of Stewards on March 12, 2016 for careless riding and <u>excessive use of the whip, while aboard "Rancers Dancer" in the fourth race</u>, <u>March 11, 2016</u>, is found to be in violation of Commission rules.

For this violation, the Board of Stewards assessed , Hector Aldrete a suspension of 2 days (March 20, 2016 and March 22, 2016).

This ruling is in accordance with:

15.2.5.13(E)(3)(d) NMAC:

Which states in pertinent part that "In a straightaway race, every horse must maintain position as nearly as possible in the lane in which it starts. If a horse is ridden, drifts or swerves out of its lane in such a manner that it interferes with, impedes or intimidates another horse, it is a foul and may result in the disqualification of the offending horse."

15.2.5.13(E)(3)(c) NMAC:

Which states in pertinent part that "If the Stewards determine the foul was intentional, or due to careless riding, they may fine or suspend the guilty jockey."

15.2.5.13(E)(2)(a) NMAC:

which states in pertinent part that "A jockey shall not ride carelessly or willfully so as to permit his/her mount to interfere with, impede or intimidate any other horse in the race."

15.2.1.9(b):

Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Sunland Park Stewards

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Ruling #:

2016-SUN-147

Track

Sunland Park

Ruling Date:

3/12/2016

Licensee:

MARTINEZ, LEZLY SALVADOR

License Type:

2015 3 Year Jockey

Applicant Id:

33253

Date of Birth:

04/19/1983

License Number:

279771

Ruling #

2016-SUN-147

Action Type:

Initial Ruling

Ruling:

ISSUED IN ERROR

15.2.5.13(E)(3)(d) NMAC:

Which states in pertinent part that "In a straightaway race, every horse must maintain position as nearly as possible in the lane in which it starts. If a horse is ridden, drifts or swerves out of its lane in such a manner that it interferes with, impedes or intimidates another horse, it is a foul and may result in the disqualification of the offending horse."

15.2.5.13(E)(3)(c) NMAC:

Which states in pertinent part that "If the Stewards determine the foul was intentional, or due to careless riding, they may fine or suspend the guilty jockey."

15.2.5.13(E)(2)(a) NMAC:

which states in pertinent part that "A jockey shall not ride carelessly or willfully so as to permit his/her mount to interfere with, impede or intimidate any other horse in the race."

15.2.1.9(b):

Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Sunland Park Stewards

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Ruling #:

2016-SUN-148

Track

Sunland Park

Ruling Date:

3/12/2016

Licensee:

HERRERA, RAUL

License Type:

2014 3 Year Jockey

Applicant Id: 46080

Date of Birth:

09/20/1990

License Number: 81953

Ruling #

2016-SUN-148

Action Type:

Initial Ruling

Ruling:

Jockey, <u>RAUL HERRERA</u>, having been summoned and appeared before the Board of Stewards on March 12, 2016 for careless <u>while aboard "KLC FAVORITE"</u> in the THIRD race, <u>March 11, 2016</u>, is found to be in violation of Commission rules.

For this violation, the Board of Stewards assessed <u>RAUL HERRERA</u> a suspension of 2 days (March 20, 2016 and March 22, 2016).

This ruling is in accordance with:

15.2.5.13(E)(3)(d) NMAC:

Which states in pertinent part that "In a straightaway race, every horse must maintain position as nearly as possible in the lane in which it starts. If a horse is ridden, drifts or swerves out of its lane in such a manner that it interferes with, impedes or intimidates another horse, it is a foul and may result in the disqualification of the offending horse."

15.2.5.13(E)(3)(c) NMAC:

Which states in pertinent part that "If the Stewards determine the foul was intentional, or due to careless riding, they may fine or suspend the guilty jockey."

15.2.5.13(E)(2)(a) NMAC:

which states in pertinent part that "A jockey shall not ride carelessly or willfully so as to permit his/her mount to interfere with, impede or intimidate any other horse in the race."

15.2.1.9(b):

Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Sunland Park Stewards

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