

Ruling #:

2017-ZIA-127

Track

Zia Park

Ruling Date:

11/5/2017

Licensee:

PACHECO, ANGELO M

License Type:

2017 3 Year Owner

Applicant Id:

26568

License Number: 287262

Ruling #

2017-ZIA-127

Action Type:

Initial Ruling

Ruling:

Owner, ANGELO M. PACHECO, having been duly notified and having appeared before the Board of Stewards on November 5, 2017, for failing to disclose criminal/arrest history on his licensing application.

The Board of Stewards assessed ANGELO M. PACHECO a fine in the amount of two hundred dollars (\$200.00). The fine is to be paid on or before December 5, 2017, in accordance with 15.2.3.8 (B)(3)(1). Failure to pay the fine within the time prescribed may result in immediate suspension

Licensee is found to be in violation of:

16.47.1.8 (L)(1)(d) GROUNDS FOR REFUSAL, DENIAL, SUSPENSION, OR REVOCATION OF A LICENSE. Application requirements

<u>This ruling is written in accordance with:</u>
15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal / 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

Disert Smith



Ruling #:

2017-ZIA-128

Track

Zia Park

Ruling Date:

11/5/2017

Licensee:

FENNELL, ERNEST

License Type:

2015 3 Year Trainer

Applicant Id:

34414

License Number: 277642

Ruling #

2017-ZIA-128

Action Type:

Initial Ruling

Ruling:

Trainer Ernest Fennell (currently under summary suspension, 2017-ZIA-39), was duly notified and responded telephonically for his disciplinary hearing before the Board of Stewards on November 5, 2017. The hearing was in regard to an affidavit from UC/Davis (official testing laboratory for the NMSRC) for a confirmed positive for the prohibited (Class 2 Penalty A) (reference Uniform classification guideline page 11) drug "Zilpaterol" and the prohibited (class 3 penalty B) drug "clenbuterol" detected in the urine of horse "Peyote Run" (Test Sample #: NM29128), who finished 1st place in the 5th race on August 17, 2017, at the New Mexico State Fair in Albuquerque, NM. "Zilpaterol" and "clenbuterol" were confirmed in the urine of the split sample sent to TVMDL on October 13, 2017.

David L. Martinez, the owner of record for horse "Peyote Run", was duly notified and failed to appear for this hearing. In accordance with 15.2.1.9(B)(4)(d) Non-Appearance and 15.2.6.9 (B)(1)(2) Penalty Recommendations, this is David L. Martinez's FIRST Class 2 Penalty A medication violation and FIRST Class 3 Penalty B medication violation in a lifetime.

For Ernest Fennell's FIRST Class 2 Penalty A medication violation in a lifetime and FOURTH CLASS 3 PENALTY B medication violation in a lifetime issued in accordance with 15.2.6.9 (B)(1)(2) Penalty Recommendations, the Board of Stewards assessed a penalty as follows.

- 1. A 30 month suspension of all NMRC licenses running consecutively from ruling 2017-ZIA-90 April 16, 2018, through October 10, 2020 inclusive. (18 month suspension for the Class 2 Penalty Category A medication violation and a 12 month suspension for the Class 3 Penalty Category B medication violation)
- 2. A (\$20,000) twenty thousand dollar fine to paid on or before December 5, 2017, in accordance with 15.2.3.8 (B)(3)(I) (\$15,000 fine for the Class 2 Penalty Category A medication violation and a \$5,000 fine for the Class 3 Penalty Category B medication violation)Failure to pay fine in prescribed time may result in an immediate suspension.

During said time of applicable suspension, Ernest Fennell is hereby excluded from all areas of the grounds under the jurisdiction of the NMSRC, in accordance with 15.2.1.9 (C)(21) Exclusion. Additionally, effective upon applicable suspension dates, all horses owned, trained, or under the care of Ernest Fennell are ineligible to be entered or start in any race in this jurisdiction, per 15.2.1.9 (C)(21)(c) Exclusion.

Licensee is found in violation of 15.2.6.9(C)(1-2-3) NMAC: prima facie evidence [15.2.6.9(C)(3) There is no permissible concentration of Clenbuterol that is allowed to appear in any official sample/16.47.1.8 (U)(1)(2) PROTECTION OF HORSES/15.2.6.9(B)(1)(2) Penalty recommendations This ruling is written in accordance with 15.2.6.11(A) NMAC: Trainer Responsibility/16.47.1.10(B)(1) NMAC: Absolute Insurer/ Uniform classification guideline page 11 ZILPATEROL/Uniform Classification Guidelines Page iv/15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8/(T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/
16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a) Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday,

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Ruling #:

2017-ZIA-129

Track

Zia Park

Ruling Date:

11/5/2017

Licensee:

SOTO, ADRIAN N

License Type:

2017 3 Year Owner

Applicant Id:

160323

License Number: 287518

Ruling #

2017-ZIA-129

Action Type:

Initial Ruling

Ruling:

Owner, Adrian N. Soto, having been duly notified and having appeared before the Board of Stewards on November 4, 2017, for failing to disclose criminal/arrest history on his licensing application.

The Board of Stewards assessed Adrian N. Soto a fine in the amount of two hundred dollars (\$200.00). The fine is to be paid on or before **December 5, 2017**, in accordance with 15.2.3.8 (B)(3) (1). Failure to pay the fine within the time prescribed may result in immediate suspension

Licensee is found to be in violation of:

16.47.1.8 (L)(1)(d) GROUNDS FOR REFUSAL, DENIAL, SUSPENSION, OR REVOCATION OF A LICENSE. Application requirements

This ruling is written in accordance with:

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal / 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

Dealet Suit



Ruling #:

2017-ZIA-130

Track

Zia Park

Ruling Date:

11/5/2017

Licensee:

GILES, WESLEY T

License Type:

2016 3 Year Trainer

Applicant Id:

21313

License Number: 284440

Ruling #

2017-ZIA-130

Action Type:

Initial Ruling

Ruling:

Trainer Wesley Giles having been duly notified and having appeared before the Board of Stewards on November 5, 2017, for failing to have entry "RIVERS A BLAZIN" properly tattooed, which resulted in a late scratch and mutuel refund in the 7th race on October 1, 2017, at Zia Park Racetrack.

The Board of Stewards hereby assesses Wesley Giles a fine in the amount of two hundred dollars, (\$200.00). The fine is to be paid on or before **December 5, 2017**, in accordance with 15.2.3.8 (B)(3) (1) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension Licensee is found in violation of

15.2.5.12 (B) INELIGIBLE HORSES A horse shall be ineligible to start in a race when it is not fully identified and tattooed on the inside of the upper

16.47.1.10 (C)(5) TRAINER RESPONSIBILITY The trainer is responsible for the proper identity, custody, care, health, condition, and safety of horses in their charge.

This ruling in written in accordance with:

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal

check."

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

Dealet Smith



Ruling #:

2017-ZIA-131

Track

Zia Park

Ruling Date:

11/5/2017

Licensee:

MARTINEZ, DAVID L.

License Type:

2016 3 Year Owner

Applicant Id:

10472

License Number: 281256

In Der

Ruling #

2017-ZIA-131

Action Type:

Initial Ruling

Ruling:

Owner David L. Martinez having been duly notified, failing to appear, and had his hearing held in absentia, in accordance with 15.2.1.9(B)(4)(d)non-appearance, before the Board of Stewards on November 5, 2017, regarding an affidavit from UC/Davis (official testing laboratory for the NMSRC) for a confirmed positive for the prohibited (Class 2 Penalty A) (reference Uniform classification guideline page 11) drug "Zilpaterol" and the prohibited (class 3 penalty B) drug "clenbuterol" detected in the urine of horse "Peyote Run" (Test Sample #: NM29128), who finished 1st place in the 5th race on August 17, 2017, at the New Mexico State Fair in Albuquerque, NM. "Zilpaterol" and "clenbuterol" were confirmed in the urine of the split sample sent to TVMDL on October 13, 2017.

Ernest Fennell (currently under summary suspension, 2017-ZIA-39), the trainer of record for horse "Peyote Run", was duly notified and responded telephonically for this hearing. In accordance with 15.2.1.9(B)(4)(d) Non-Appearance and 15.2.6.9 (B)(1)(2) Penalty Recommendations, this is Ernest Fennell's FIRST Class 2 Penalty A medication violation in a lifetime and FOURTH CLASS 3 PENALTY B medication violation in a lifetime.

For David L. Martinez's FIRST Class 2 Penalty A medication violation in a lifetime and FIRST CLASS 3 PENALTY B medication violation in a lifetime issued in accordance with 15.2.6.9 (B)(1)(2) Penalty Recommendations, the Board of Stewards assessed a penalty as follows.

- 1. Pursuant to rules 15.2.3 (B)(9)(a)(e), 15.2.6.9(C)(7)(a), 15.2.6.9(C)(8), 15.2.6.9(C)(9)(a-d), the horse "Peyote Run" is placed on the Stewards list for a period of 60 days (beginning September 29, 2017). During this period, the papers are to be removed from the racing office and horse is denied entry until he passes a commission approved veterinary examination with a negative blood and urine test.
- 2. The horse "Peyote Run" is hereby disqualified from FIRST PLACE and ordered unplaced from the 5th race on August 17, 2017, at the New Mexico State Fair, for all but pari-mutuel wagering. The purse redistribution is as follows.
 - 1. Cache This Cheque
 - 2. MsFerrari Edition
 - 3. Rod James
 - 4. Fiery Streafly
 - 5. Rockin N Scootin
 - 6. Professor X

Unplaced "Peyote Run"

Licensee is found in violation of 15.2.6.9(C)(1-2-3) NMAC: prima facie evidence /15.2.6.9(C)(3) There is no permissible concentration of Clenbuterol that is allowed to appear in any official sample/16.47.1.8 (U)(1)(2) PROTECTION OF HORSES/15.2.6.9(B)(1)(2) Penalty recommendations This ruling is written in accordance with Uniform classification guideline page 11 ZILPATEROL/Uniform Classification Guidelines Page iv/15.2.3.8(B)(3)(k) NMAC: Purse Redistribution 15.2.5.14(D)(7) FAILURE TO RETURN PURSES AND PRIZES 15.2.5.13(E) (4)(d) NMAC: Stewards Authority 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a) Failure to Appeal / 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than of days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check,"

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Ruling #:

2017-ZIA-132

Track

Zia Park

Ruling Date:

11/5/2017

Licensee:

AMAYA-RUIZ, DANIEL ROBERTO

License Type:

2015 3 Year Jockey

Applicant Id:

33287

License Number: 279286

Ruling #

2017-ZIA-132

Action Type:

Initial Ruling

Ruling:

Jockey, <u>Daniel Amaya</u>, having been summoned <u>and having been represented by jockey Rodolfo</u> Fierro before the Board of Stewards on November 5, 2017, for careless riding during the running of the 7TH race on November 4, 2017, at Zia Park Racetrack while aboard "KONAS STREAKER" which resulted in his mount being disqualified from 5th place to 7th place.

For this violation, the Board of Stewards assessed Luis A. Apodaca, a suspension of 4 race days (November 13, 2017, November 14, 2017, November 18, 2017, and November 19, 2017). Daniel Amaya, is allowed to ride designated races.

Licensee is found in violation of:

15.2.5.13(E)(2)(a) Post to Finish Which states in pertinent part that "A jockey shall not ride carelessly or willfully so as to permit his/her mount to interfere with, impede or intimidate any other horse in the race." 15.2.5.13(E)(3)(d) Post to Finish In a straightaway race, every horse must maintain position as nearly as possible in the lane in which it starts. If a horse is ridden, drifts or swerves out of its lane in such a manner that it interferes with, impedes or intimidates another horse, it is a foul and may result in the disqualification of the offending horse.

This ruling is written in accordance with:

15.2.5.13(E)(3)(c) NMAC: Post to Finish 15.2.5.13(E)(3)(d) NMAC: Post to Finish 15.2.5.13(E)(4)(a) NMAC Disqualification./15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys/15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority /16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a) Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check.'

Dialet Smith



Ruling #:

2017-ZIA-133

Track

Zia Park

Ruling Date:

11/6/2017

Licensee:

SANCHEZ, MARIO G.

License Type:

2015 3 Year Trainer Applicant Id:

31581

License Number: 279968

Ruling #

2017-ZIA-133

Action Type:

Initial Ruling

Ruling:

Trainer Mario Sanchez having been duly notified and having responded telephonically before the Board of Stewards on November 6, 2017, regarding an affidavit from UC/Davis (official testing laboratory for the NMSRC) for a confirmed positive for the prohibited (class 3 penalty B) drug "clenbuterol" detected in the urine of horse "Walked Away" (Test Sample #: NM27528), who finished 2nd place in the 4th race on September 10, 2017, at Zia Park Racetrack in Hobbs, NM. Split sample rights as provided in 15.2.6.10 (D) NMAC, were afforded to and declined by Mario Sanchez.

Juan Adame (Adame Racing, LLC), the owner of record for horse "Walked Away", was duly notified and failed to appear for this hearing. This is Juan Adame's FIRST Class 3B medication violation in a lifetime.

For Trainer Mario Sanchez's SECOND Class 3B medication violation in a lifetime, the Board of Stewards assessed a penalty issued in accordance with 15.2.6.9 (B)(2) Penalty Recommendations as follows:

- 1. A 90 day suspension of all NMRC licenses running consecutively from ruling 2017-COMM-22, September 22, 2020, through December 21, 2020, inclusive.
- 2. A (\$2,500) two thousand and five hundred dollar fine to paid on or before December 6, 2017, in accordance with 15.2.3.8 (B)(3)(1). Failure to pay fine in prescribed time may result in an immediate suspension.

During said time of applicable suspension Mario Sanchez is hereby excluded from all areas of the grounds under the jurisdiction of the NMSRC, in accordance with 15.2.1.9 (C)(21). Additionally, effective upon applicable suspension dates, all horses owned, trained, or under the care of Mario Sanchez are ineligible to be entered or start in any race in this jurisdiction, per 15.2.1.9 (C)(21)(c).

Licensee is found in violation of 15.2.6.9(C)(1-2-3) Prima Facie Evidence /15.2.6.9(C)(3) Clenbuterol There is no permissible concentration of Clenbuterol that is allowed to appear in any official sample 16.47.1.8 (U)(1) PROTECTION OF HORSES 15.2.6.9. B(2) Penalty recommendations This ruling is written in accordance with 15.2.6.11(A) NMAC Trainer Responsibility/ 16.47.1.10(B)(1) NMAC/ Absolute Insurer 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Deset Smith



Ruling #:

2017-ZIA-134

Track

Zia Park

Ruling Date:

11/6/2017

Licensee:

ADAME, JUAN C

License Type:

2015 3 Year Owner

Applicant Id:

157298

License Number: 277087

Ruling #

2017-ZIA-134

Action Type:

Initial Ruling

Ruling:

Owner Juan Adame (Adame Racing, LLC), having been duly notified, failing to appear, and had his hearing held in absentia, in accordance with 15.2.1.9(B)(4)(d)non-appearance, before the Board of Stewards on November 6, 2017, regarding an affidavit from UC/Davis (official testing laboratory for the NMSRC) for a confirmed positive for the prohibited (class 3 penalty B) drug "clenbuterol" detected in the urine of horse "Walked Away" (Test Sample #: NM27528), who finished 2nd place in the 4th race on September 10, 2017, at Zia Park Racetrack in Hobbs, NM. Split sample rights as provided in 15.2.6.10 (D) NMAC, were afforded to and declined by Mario Sanchez.

Mario Sanchez, the trainer of record for horse "Walked Away", was duly notified and responded telephonically for this hearing. This is Mario Sanchez's SECOND Class 3B medication violation in a lifetime.

For Owner Juan Adame's (Adame Racing, LLC), FIRST Class 3B medication violation in a lifetime, the Board of Stewards assessed a penalty issued in accordance with 15.2.6.9 (B)(2) Penalty Recommendations as follows:

- 1. Pursuant to rules 15.2.3.8 (B)(9)(a)(e)Stewards List, 15.2.6.9(C)(7)(c) Positive Test Report, 15.2.6.9(C)(8) Positive Test List, 15.2.6.9(C) (9)(a-d)List Removal Requirements, the horse "Walked Away" is placed on the Stewards list for a period of 60 days (beginning September 29, 2017). During this period, the papers are to be removed from the racing office and horse is denied entry until he passes a commission approved veterinary examination with a negative blood and urine test.
- 2. The horse "Walked Away" is hereby disqualified from SECOND PLACE and ordered unplaced from the 4th race on September 10, 2017, at Zia Park Racetrack, for all but pari-mutuel wagering. The purse redistribution is as follows.
 - 1 California King
 - 2. Epic Proportions
 - 3. Jesszoomtothefinish
 - 4. Kissin Coronas
 - 5. Jm Yourgonnahearme
 - 6. One Streakin Eagle
 - 7. Rock You Up
 - 8. Jp Pendleton
 - 9. Jess Is Back

Unplaced "Walked Away"

Licensee is found in violation of 15.2.6.9(C)(1-2-3) Prima Facie Evidence /15.2.6.9(C)(3) Clenbuterol There is no permissible concentration of Clenbuterol that is allowed to appear in any official sample16.47.1.8 (U)(1) PROTECTION OF HORSES 15.2.6.9. B(2) Penalty recommendations This ruling is written in accordance with 15.2.3.8(B)(3)(k) NMAC/ Purse Redistribution 15.2.5.13(E)(4)(d) NMAC: Stewards Authority 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a) Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday, appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check." In bu Twan

Nevert Smith



Ruling #:

2017-ZIA-135

Track

Zia Park

Ruling Date:

11/6/2017

Licensee:

AMESTOY, ROSS A.

License Type:

2016 3 Year Trainer

Applicant Id:

16643

License Number: 280897

Ruling #

2017-ZIA-135

Action Type:

Initial Ruling

Ruling:

Trainer Ross Amestoy having been duly notified and having waived his rights to a 10 day notice of hearing before the Board of Stewards on November 6, 2017, for missing Lasix for entry, "TIZ VALIANT", which resulted in a late scratch and mutuel refund in the 9th race on October 23, 2017, at Zia Park Racetrack.

The Board of Stewards hereby assesses Ross Amestoy a fine in the amount of two hundred dollars, (\$200.00). The fine is to be paid on or before **December 6, 2017**, in accordance with 15.2.3.8 (B)(3)(1) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension.

Licensee is found in violation of.

15.2.6.9(D) (2) USE OF FUROSEMIDE IN DETENTION BARN The use of furosemide shall be permitted under the following circumstances on association grounds where a detention barn is utilized: furosemide shall be administered by the official veterinarian, the racing veterinarian, or practicing veterinarian no less than four hours prior to post in which the horse is entered. A horse qualified for furosemide administration must be brought to the detention barn one hour prior to the four hour administration requirement specified above. After treatment, the horse shall be required by the commission to remain in the detention barn in the care, custody and control of its trainer or the trainer's designated representative under association or commission security supervision until called to the saddling paddock.

15.2.6.9(D) (3) USE OF FUROSEMIDE ON ASSOSIATION GROUNDS The use of furosemide shall be permitted under the following circumstances on association grounds where a detention barn is not utilized: furosemide shall be administered by the official veterinarian, the racing veterinarian, or practicing veterinarian no less than four hours prior to post in which a horse is entered; the horse must be logged in at the stable gate with time and location no less than one hour prior to administration; the furosemide dosage administered shall not exceed 500 milligrams nor be less than 150 milligrams; the trainer of the treated horse shall cause to be delivered to the official veterinarian or their designee no later than one hour prior to post time for the race for which the horse is entered the following information under oath on a form provided by the commission: the racetrack name, the date and time the furosemide was administered to the entered horse; the dosage amount of furosemide administered to the entered horse; the printed name and signature of the attending licensed veterinarian who administered the Furosemide

This ruling in written in accordance with:

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal / 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Diolet Smith



Ruling #:

2017-ZIA-136

Track

Zia Park

Ruling Date:

11/6/2017

Licensee:

SOTO, MARCOS SEVERIANO

License Type:

2015 3 Year Jockey

Applicant Id:

158146

License Number: 280218

Ruling #

2017-ZIA-136

Action Type:

Initial Ruling

Ruling:

Jockey Marcos Soto having been duly notified, failing to appear, and had his hearing held in absentia, in accordance with 15.2.1.9(B)(4)(d)non-appearance, before the Board of Stewards on November 6, 2017, for having failed to be excused from, or to notify the Board of Stewards of his absence, and subsequent failure to honor his riding engagement (entry "RUNAWY CHARLI") in the 9th race on October 14, 2017, at Zia Park Racetrack.

The Board of Stewards hereby assesses Marcos Soto a penalty in the amount of two hundred dollars (\$200.00). The fine is to be paid on or before December 6, 2017, in accordance with 15.2.3.8(B)(3)(l) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension.

Licensee is found to be in violation of:

15.2.5.13(C)(1) Which states in pertinent part that "Jockeys shall report to the Jockey's quarters at the time designated by the association. Jockeys shall report their engagements and any overweight to the clerk of scales. Jockeys shall not leave the Jockeys' quarters, except to ride in scheduled races, until all of their riding engagements of the day have been fulfilled except as approved by the Stewards."

5.2.5.13(C)(3) Which states in pertinent part that "Except as otherwise provided by this subsection, a jockey engaged for a certain race or for a specified time may not fail or refuse to abide by the engagement agreement, unless excused by the Stewards.

This ruling is written in accordance with:

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

Diolet Smits



Ruling #:

2017-ZIA-137

Track

Zia Park

Ruling Date:

11/6/2017

Licensee:

CARDOSO, LAUREN N

License Type:

2017 1 Year Exercise P Applicant Id:

106643

License Number: 286318

Ruling #

2017-ZIA-137

Action Type:

Initial Ruling

Ruling:

Groom/ Exercise Rider Lauren Cardoso, having been duly notified, failing to appear, and had her hearing held in absentia, in accordance with 15.2.1.9(B)(4)(d)non-appearance, before the Board of Stewards on November 6, 2017, for performing the duties as an assistant trainer, without be properly licensed in that category, by saddling entry "SKY RANT in the 7th race on October 10, 2017, at Zia Park Racetrack. In addition, making false statements to a racing official when questioned about the capacity in which you were licensed.

Aggravating Circumstances: Ms. Cardoso has prior violations of performing duties without being properly licensed in that category. Furthermore, Ms. Cardoso was advised in Ruidoso to get her assistant license.

After considering the aggravating circumstances, the Board of Stewards assesses Lauren Cardoso a penalty in the amount of five hundred dollars (\$500). The fine is to be paid on or before December 6, 2017, in accordance with 15.2.3.8(B)(3)(l) NMAC. Failure to pay this fine within the time prescribed may result in immediate suspension.

Licensee is found to be in violation of:

16.47.1.8 L (1)(C) The commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued, or order disciplinary measures, if the applicant: who is unqualified, by experience or otherwise, to perform the activities for which a license is required, or who fails to pass an examination prescribed by the commission.

16.47.1.8 (R)(1) License presentation A person must present an appropriate license or other authorization issued by the commission to enter a restricted area. The commission may issue authorization to the spouse or child of a licensed owner, trainer or jockey to enter a restricted area. 16.47.1.8 (R)(2) License presentation The stewards may require visible display of a license while the licensee is engaged in the duty for which he/she is licensed and on the association grounds unless the licensee is mounted on a horse.

16.47.1.8 (L)(1)(f) Grounds for disciplinary measures for a licensee The commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued, or order disciplinary measures, if the applicant: has been found to have made false or misleading statements to the commission, stewards, or any racing official.

This ruling is written in accordance with:

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal / 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's pheck, money order or personal

Dealet Smith



Ruling #:

2017-ZIA-138

Track

Zia Park

Ruling Date:

11/6/2017

Licensee:

SALCEDO, MAURO

License Type:

2017 1 Year Duplicate Applicant Id:

plicant Id: 137075

License Number: 284667

Ruling #

2017-ZIA-138

Action Type:

Initial Ruling

Ruling:

Jockey <u>Mauro Salcedo</u>, having been summoned and having appeared before the Board of Stewards on <u>November 6, 2017</u>, for failure to make sufficient effort to control his mount "<u>MIGHTY B LEE", which resulted in his mounted being disqualified from 5th place to 7th place in the <u>5th race on November 5</u>, <u>2017</u>, at Zia Park Racetrack.</u>

The Board of Stewards hereby fines, <u>Mauro Salcedo</u>, in the amount of <u>two hundred dollars</u>, (\$200.00). This fine is to be paid on or before <u>December 6, 2017</u>, in accordance with 15.2.3.8 (B)(3)(I) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension.

<u>Licensee is found in violation of:</u>

15.2.5.13(E)(2)(a) NMAC: Post to Finish Which states in pertinent part that "A jockey shall not ride carelessly or willfully so as to permit his/her mount to interfere with, impede or intimidate any other horse in the race."

15.2.5.13(E)(3)(a) NMAC: Post to Finish When the way is clear in a race, a horse may be ridden to any part of the course, but if any horse swerves, or is ridden to either side, so as to interfere with, impede, or intimidate any other horse, it is a foul."

This ruling is written in accordance with:

15.2.5.13(E)(3)(c) NMAC: Post to Finish Which states in pertinent part that "If the Stewards determine the foul was intentional, or due to careless riding, they may fine or suspend the guilty jockey."

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in principle that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

Roll Smur

Ju Calley

Plube Livas



Ruling #:

2017-ZIA-139

Track

Zia Park

Ruling Date:

11/7/2017

Licensee:

ORTIZ, JOSE ENRIQUE

License Type:

2016 3 Year Jockey

Applicant Id:

35364

License Number:

281100

Ruling #

2017-ZIA-139

Action Type:

Initial Ruling

Ruling:

Jose Enrique Ortiz, having been summoned and having failed to appear or send a jockey guild's representative for film review regarding the 2nd race on November 4, 2017 at Zia Park Racetrack, is hereby assessed a fine of one hundred dollars (\$100.00). The fine is to be paid on or before December 7, 2017, in accordance with 15.2.3.8(B)(3)(I). Failure to pay the fine within the time prescribed may result in immediate suspension.

Licensee is found in violation of:

15.2.1.9(B)(4)(d) Nonappearance Of A Summoned Party Which states in pertinent part that "Nonappearance of a summoned party after adequate notice shall be construed as a waiver of the right to a hearing before the board of stewards.

15.2.1.9(B)(4)(A) Notice Of Hearing- Jockey Except as provided by these rules regarding summary suspension, jockey riding infractions and trial races, the stewards or a racing commission designee shall provide written notice, at least 10 days before the hearing, to a person who is the subject of a disciplinary hearing. The person may waive their right to 10 days notice by executing a written waiver.

This ruling is written in accordance with:

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/ 15.2.3.8 B (1) (a)(c)(d)Stewards General Authority /16.47.1.8 (T) KNOWLEDGE OF RULES/16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required/ 16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)
(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

Tealit Smith



Ruling #:

2017-ZIA-140

Track

Zia Park

Ruling Date:

11/7/2017

Licensee:

ORTIZ, JOSE ENRIQUE

License Type:

2016 3 Year Jockey

Applicant Id:

35364

License Number: 281100

Ruling #

2017-ZIA-140

Action Type:

Initial Ruling

Ruling:

Jockey Jose Enrique Ortiz having been duly notified, failing to appear, and had his hearing held in absentia, in accordance with 15.2.1.9(B)(4)(d)non-appearance, before the Board of Stewards on November 5, 2017, for failure to make sufficient effort to maintain his lane while aboard "DISCRETE", which resulted in the disqualification of his mount from 1st place to 4th place, in the 2nd race on November 4, 2017 at Zia Park Racetrack.

The Board of Stewards hereby assesses, Jose Enrique Ortiz, a fine in the amount of two hundred dollars, (\$200.00). The fine is to be paid on or before December 7, 2017, in accordance with 15.2.3.8 (B)(3)(1). Failure to pay the fine within the time prescribed may result in immediate suspension.

Licensee is found to be in violation of:

15.2.5.13(E)(3)(d) Post to Finish In a straightaway race, every horse must maintain position as nearly as possible in the lane in which it starts. If a horse is ridden, drifts or swerves out of its lane in such a manner that it interferes with, impedes or intimidates another horse, it is a foul and may result in the disqualification of the offending horse.

This ruling is written in accordance with:

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal / 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. Type appeal must be filed at the main Commission

offices, or with the Stewards who issued the ruling.

Dealet Smith



Ruling #:

2017-ZIA-141

Track

Zia Park

Ruling Date:

11/7/2017

Licensee:

MEDELLIN, ALEJANDRO HERRERA

License Type:

2016 3 Year Jockey

Applicant Id:

31173

License Number: 280673

Ruling #

2017-ZIA-141

Action Type:

Initial Ruling

Ruling:

Jockey, Alejandro Medillin, having been summoned and having appeared before Board of Stewards on November 7, 2017, for careless riding during the running of the 1st race on November 6, 2017, at Zia Park Racetrack, while aboard "THE GREAT DOME" which resulted in his mount being disqualified from 2nd place to 3RD place.

The Board of Stewards hereby assess Alejandro Medillin a suspension of 4 race days (November 18, 2017, November 19, 2017, November 20, 2017, and November 21, 2017,). Alejandro Medillin is allowed to ride designated races.

Licensee is found in violation of:

15.2.5.13(E)(2)(a) Post to Finish Which states in pertinent part that "A jockey shall not ride carelessly or willfully so as to permit his/her mount to interfere with, impede or intimidate any other horse in the race."

15.2.5.13(E)(3)(a) Thoroughbred Races When the way is clear in a race, a horse may be ridden to any part of the course, but if any horse swerves, or is ridden to either side, so as to interfere with, impede, or intimidate any other horse, it is a foul."

This ruling is written in accordance with:

15.2.5.13(E)(3)(c) Post to Finish/15.2.5.13(E)(3)(d) Post to Finish/15.2.5.13(E)(4)(a) Disqualification/ 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority / 16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures /15.2.1.9(B)(9) (a) Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission

offices, or with the Stewards who issued the ruling.

Tealet Smith



Ruling #:

2017-ZIA-142

Track

Zia Park

Ruling Date:

11/7/2017

Licensee:

HEBERT, TRACY JAMES

License Type:

2016 3 Year Jockey

Applicant Id:

159170

License Number: 283307

Ruling #

2017-ZIA-142

Action Type:

Initial Ruling

Ruling:

Jockey Tracy Hebert, having been summoned and having appeared before the Board of Stewards on November 7, 2017, for failure to make sufficient effort to control his mount "CONEJOS", in the 4TH race on November 6, 2017, at Zia Park Racetrack.

The Board of Stewards hereby assesses, <u>Tracy Hebert</u>, a fine in the amount of <u>two hundred dollars</u>, (\$200.00). This fine is to be paid on or before **December 7, 2017**, in accordance with 15.2.3.8 (B)(3)(1) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension.

Licensee is found in violation of:

15.2.5.13(E)(2)(a) NMAC: Post to Finish Which states in pertinent part that "A jockey shall not ride carelessly or willfully so as to permit his/her mount to interfere with, impede or intimidate any other horse in the race."

15.2.5.13(E)(3)(a) NMAC: Post to Finish When the way is clear in a race, a horse may be ridden to any part of the course, but if any horse swerves, or is ridden to either side, so as to interfere with, impede, or intimidate any other horse, it is a foul."

This ruling is written in accordance with:

15.2.5.13(E)(3)(c) NMAC: Post to Finish Which states in pertinent part that "If the Stewards determine the foul was intentional, or due to careless riding, they may fine or suspend the guilty jockey."

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal / 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

Deset Sarith



Ruling #:

2017-ZIA-143

Track

Zia Park

Ruling Date:

11/11/2017

Licensee:

BECERRA, JR SERGIO

License Type:

2017 3 Year Jockey

Applicant Id:

32539

License Number: 284870

Ruling #

2017-ZIA-143

Action Type:

Initial Ruling

Ruling:

Jockey Sergio Becerra Jr. having been duly notified and having responded telephonically before the Board of Stewards on November 11, 2017, for having failed to be excused from, or to notify the Board of Stewards of his absence, and subsequent failure to honor his riding engagement (entry "JJ CARTEL") in the 9th race on October 15, 2017, at Zia Park Racetrack.

The Board of Stewards hereby assesses Sergio Becerra Jr. a penalty in the amount of two hundred dollars (\$200.00). The fine is to be paid on or before **December 11, 2017**, in accordance with 15.2.3.8(B)(3)(1) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension.

Licensee is found to be in violation of:

15.2.5.13(C)(1) Which states in pertinent part that "Jockeys shall report to the Jockey's quarters at the time designated by the association. Jockeys shall report their engagements and any overweight to the clerk of scales. Jockeys shall not leave the Jockeys' quarters, except to ride in scheduled races, until all of their riding engagements of the day have been fulfilled except as approved by the Stewards."

5.2.5.13(C)(3) Which states in pertinent part that "Except as otherwise provided by this subsection, a jockey engaged for a certain race or for a specified time may not fail or refuse to abide by the engagement agreement, unless excused by the Stewards.'

This ruling is written in accordance with:

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal / 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission

offices, or with the Stewards who issued the ruling.

Dealet Smith



Ruling #: 2017-ZIA-144

Track Zia Park

Ruling Date: 11/11/2017

Licensee: BECERRA, JR SERGIO

License Type: 2017 3 Year Jockey Applicant Id: 32539

License Number: 284870

Ruling # 2017-ZIA-144

Action Type: Initial Ruling

Ruling:

Jockey <u>Sergio Becerra Jr.</u>, having been duly notified and responding telephonically before the Board of Stewards on <u>November 11, 2017</u>, for his misuse use of the whip on his mount <u>"FRST MOON GAME"</u> during the post parade/ warm-up for the <u>2nd race on November 4, 2017, at Zia Park Racetrack.</u>

The Board of Stewards hereby fine <u>Sergio Becerra Jr.</u> in the amount of <u>two hundred dollars</u> (\$200.00). The fine is to be paid on or before <u>December 11, 2017</u>, in accordance with <u>15.2.3.8(B)(3)</u> (I) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension.

Licensee is found to be in violation of:

15.2.5.13(E)(6)(e) Use of riding crops. Indiscriminate use of the whip is prohibited including whipping a horse: on the head, flanks or on any other part of its body other than the shoulders or hind quarters except when necessary to control a horse; during the post parade or after the finish of the race except when necessary to control the horse; excessively or brutally causing welts or breaks in the skin; when the horse is clearly out of the race or has obtained its maximum placing; persistently even though the horse is showing no response under the riding crop; or striking another rider or horse.

This ruling is written in accordance with:

15.2.1.9(B)(4)(A) Notice of hearing for Jockeys 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission."

offices, or with the Stewards who issued the ruling.

Dever Smit



Ruling #:

2017-ZIA-145

Track

Zia Park

Ruling Date:

11/11/2017

Licensee:

RAMOS, JOSE R

License Type:

2015 3 Year Trainer

Applicant Id:

31929

License Number: 279683

Ruling #

2017-ZIA-145

Action Type:

Initial Ruling

Ruling:

Trainer Jose R Ramos having been duly notifies and having responded telephonically before the Board of Stewards on November 11, 2017, for failure to declare equipment (Flipping Halter Off) at time of entry for "BUTCH UP SALLY", entered in the 8th race on October 15, 2017, at Zia Park Racetrack.

The Board of Stewards hereby accesses Jose R Ramos a fine in the amount of two hundred dollars (\$ 200.00). The fine is to paid on or before December 11, 2017, in accordance with 15.2.3.8(B)(3)(1) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension.

Licensee is found to be in violation of:

15.2.5.8 (B)(10) Procedure For Entries Any approved change of equipment must be declared at time of entry. Any changes after that time must be approved by the stewards.

15.2.5.8 (B)(6) Procedure For Entries No alteration may be made in any entry after the closing of entries, but an error may be corrected with permission of the stewards

15.2.5.13 (A)(6) Equipment For The Running Of The Race: No licensee may add blinkers to a horse's equipment or discontinue their use without the prior approval of the starter, the paddock judge, and the stewards.

15.2.6.11(E)(10)Trainer Responsibility The trainer is responsible for ensuring proper bandages, equipment and shoes.

This ruling is written in accordance with:

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal / 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission

offices, or with the Stewards who issued the ruling.

Desert Sonica



Ruling #:

2017-ZIA-146

Track

Zia Park

Ruling Date:

11/11/2017

Licensee:

CARRETE, JESUS J.

License Type:

2015 3 Year Trainer

Applicant Id:

3671

License Number: 277319

Ruling #

2017-ZIA-146

Action Type:

Initial Ruling

Ruling:

Trainer Jesus Carrete having been duly notifies and having responded telephonically before the Board of Stewards on November 11, 2017, for failure to declare equipment (Flipping Halter Off) at time of entry for "FIRST LLUVIA RUNAWAY", entered in the 1st race on October 15, 2017, at Zia Park Racetrack.

The Board of Stewards hereby accesses Jesus Carrete a fine in the amount of two hundred dollars (\$ 200.00). The fine is to paid on or before December 11, 2017, in accordance with 15.2.3.8(B)(3)(1) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension.

Licensee is found to be in violation of:

15.2.5.8 (B)(10) Procedure For Entries Any approved change of equipment must be declared at time of entry. Any changes after that time must be approved by the stewards.

15.2.5.8 (B)(6) Procedure For Entries No alteration may be made in any entry after the closing of entries, but an error may be corrected with permission of the stewards

15.2.5.13 (A)(6) Equipment For The Running Of The Race: No licensee may add blinkers to a horse's equipment or discontinue their use without the prior approval of the starter, the paddock judge, and the stewards.

15.2.6.11(E)(10)Trainer Responsibility The trainer is responsible for ensuring proper bandages, equipment and shoes.

This ruling is written in accordance with:

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check.'

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission

offices, or with the Stewards who issued the ruling.

Diseit Smire



Ruling #:

2017-ZIA-147

Track

Zia Park

Ruling Date:

11/11/2017

Licensee:

NEVAREZ-MORALES, CARLOS ABEL

License Type:

Deslet Smith

Applicant Id:

158915

License Number:

Ruling #

2017-ZIA-147

Action Type:

Initial Ruling

Ruling:

issued in error

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.



Ruling #:

2017-ZIA-148

Track

Zia Park

Ruling Date:

11/11/2017

Licensee:

NAVARRETTE, CARLOS ORTEGA

License Type:

2016 3 Year Trainer

Applicant Id:

17605

License Number: 284095

Ruling #

2017-ZIA-148

Action Type:

Initial Ruling

Ruling:

Trainer Carlos Navarrete having been duly notified, failing to appear, and had his hearing held in absentia, in accordance with 15.2.1.9(B)(4)(d)non-appearance, before the Board of Stewards on November 11, 2017, for failure to declare equipment (Flipping Halter ON) at time of entry for "KEEP ON ROCKIN" entered in 10th race on October 15, 2017, at Zia Park Racetrack.

The Board of Stewards hereby assesses **Carlos Navarrete** a penalty in the amount of **two hundred** dollars (\$200.00). The fine is to paid on or before December 11, 2017, in accordance with 15.2.3.8(B)(3)(1) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension.

Licensee is found to be in violation of:

15.2.5.8 (B)(10) Procedure For Entries Any approved change of equipment must be declared at time of entry. Any changes after that time must be approved by the stewards.

15.2.5.8 (B)(6) Procedure For Entries No alteration may be made in any entry after the closing of entries, but an error may be corrected with permission of the stewards

15.2.5.13 (A)(6) Equipment For The Running Of The Race: No licensee may add blinkers to a horse's equipment or discontinue their use without the prior approval of the starter, the paddock judge, and the stewards.

15.2.6.11(E)(10)Trainer Responsibility The trainer is responsible for ensuring proper bandages, equipment and shoes.

This ruling is written in accordance with:

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal / 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check.'

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main dommission offices, or with the Stewards who issued the ruling.

Dealet Somer



Ruling #:

2017-ZIA-149

Track

Zia Park

Ruling Date:

11/11/2017

Licensee:

GOMEZ, PEDRO GONSALEZ

License Type:

2017 3 Year Trainer

Applicant Id:

30693

License Number: 285987

Ruling #

2017-ZIA-149

Action Type:

Initial Ruling

Ruling:

Trainer Pedro Gomez, having been duly notified and waiving his rights telephonically to a 10 day notice and formal hearing before the Board of Stewards on November 11, 2017, for failure to be present in the paddock or notify the stewards of another trainer or assistant trainer to saddle entry "SOAR LIKE AN EAGLE" in the 5th race on October 21, 2017, at Zia Park Racetrack.

The Board of Stewards hereby assesses **Pedro Gomez** a fine in the amount of **one hundred dollars** (\$100.00). The fine is to be paid on or before December 11, 2017, in accordance with 15.2.3.8(B)(3) (l) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension.

Licensee is found to be in violation of:

16.47.1.10 (C)(23) TRAINER RESPONSIBILITY personally attending to their horses in the paddock and supervising the saddling thereof, unless excused by the stewards; if the trainer is excused, the trainer must secure another trainer or assistant trainer who is licensed by the commission to assume those duties

16.47.1.10 (E)(1) SUBSTITUE TRAINER If any licensed trainer is prevented from performing his duties or is absent from the track where he is participating, the stewards shall be immediately notified, and at the same time, a substitute trainer or assistant trainer, acceptable to the stewards, shall be appointed. The stewards shall be advised when the regular trainer resumes his duties

15.2.6.11(E)(13): Trainer Responsibility personally attending in the paddock and supervising the saddling thereof, unless excused by the stewards

This ruling is written in accordance with:

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal / 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission

offices, or with the Stewards who issued the ruling.

Deslit Smith



Ruling #:

2017-ZIA-150

Track

Zia Park

Ruling Date:

11/12/2017

Licensee:

DELGADO-BUSTILLOS, IRVING

License Type:

2016 3 Year Jockey

Applicant Id:

46028

License Number:

281344

Ruling #

2017-ZIA-150

Action Type:

Initial Ruling

Ruling:

Jockey <u>Irving Delgado Bustillos</u> having been duly notified and having responded telephonically before the Board of Stewards on <u>November 12, 2017</u>, for having failed to be excused from, or to notify the Board of Stewards of his absence, and subsequent failure to honor his riding engagement (entry "<u>FAIRLEE WILD"</u>) in the <u>2nd race on October 15, 2017, at Zia Park Racetrack.</u>

The Board of Stewards hereby assesses <u>Irving Delgado Bustillos</u> a penalty in the amount of <u>two hundred</u> <u>dollars (\$200.00)</u>. The fine is to be paid on or before <u>December 12, 2017</u>, in accordance with 15.2.3.8(B)(3) (l) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension.

Licensee is found to be in violation of:

15.2.5.13(C)(1) Which states in pertinent part that "Jockeys shall report to the Jockey's quarters at the time designated by the association. Jockeys shall report their engagements and any overweight to the clerk of scales. Jockeys shall not leave the Jockeys' quarters, except to ride in scheduled races, until all of their riding engagements of the day have been fulfilled except as approved by the Stewards."
5.2.5.13(C)(3) Which states in pertinent part that "Except as otherwise provided by this subsection, a jockey engaged for a certain race or for a

specified time may not fail or refuse to abide by the engagement agreement, unless excused by the Stewards.

This ruling is written in accordance with:

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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July M

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Ruling #:

2017-ZIA-151

Track

Zia Park

Ruling Date:

11/12/2017

Licensee:

FUENTES, ADAN

License Type:

2017 1 Year Assistant Applicant Id:

33127

License Number:

286803

Ruling #

2017-ZIA-151

Action Type:

Initial Ruling

Ruling:

Assistant trainer Adan Fuentes is hereby fined fifty dollars (\$50.00) for speeding in the barn area on November 6, 2017, at Zia Park Racetrack. This fine is to be paid on or before December 12, 2017, in accordance with 15.2.3.8(B)(3)(I) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension.

Licensee is found to be in violation of:

16.47.1.8 (L)(1)(i) - CONDUCT Which states in pertinent part "The Commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued, or order disciplinary measures if the applicant is a person whose conduct or reputation may reflect on the honesty, and integrity of horse racing"

This ruling is written in accordance with:

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal / 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

West Smith



Ruling #:

2017-ZIA-152

Track

Zia Park

Ruling Date:

11/12/2017

Licensee:

FLORES, MARCO A.

License Type:

2015 3 Year Trainer

Applicant Id:

33697

License Number: 226591

Ruling #

2017-ZIA-152

Action Type:

Initial Ruling

Ruling:

Trainer, Marco Flores, having been duly notified and having appeared before the Board of Stewards on November 12, 2017, regarding an affidavit from UC/Davis (official testing laboratory for the NMSRC) for a confirmed positive for the prohibited (class 3 penalty B) drug "clenbuterol" detected in the urine of horse "Reash Wagon" (Test Sample #: NM27529), who finished 1st place in the 5th race on September 10, 2017, at Zia Park Racetrack in Hobbs, NM. Split sample rights as provided in 15.2.6.10 (D) NMAC, were afforded to and declined by Marco Flores.

Melissa Soto, the owner of record for horse "Reash Wagon", was duly notified and failed to appear for this hearing. This is Melissa Soto's THIRD Class 3B medication violation in a lifetime.

For Marco Flores's FIRST Class 3B medication violation in a lifetime, the Board of Stewards assessed a penalty issued in accordance with 15.2.6.9 (B)(2) Penalty Recommendations as follows:

- 1. A 30 day suspension of all NMRC licenses starting November 12, 2017, through December 11, 2017, inclusive.
- 2. A (\$750) seven hundred and fifty dollar fine to paid on or before December 12, 2017, in accordance with 15.2.3.8 (B)(3)(I). Failure to pay fine in prescribed time may result in an immediate suspension.

During said time of applicable suspension Marco Flores is hereby excluded from all areas of the grounds under the jurisdiction of the NMSRC, in accordance with 15.2.1.9 (C)(21) Exclusion. Additionally, effective upon applicable suspension dates, all horses owned, trained, or under the care of Marco Flores are ineligible to be entered or start in any race in this jurisdiction, per 15.2.1.9 (C)(21)(c) Exclusion.

Licensee is found in violation of 15.2.6.9(C)(1-2-3) Prima Facie Evidence /15.2.6.9(C)(3) Clenbuterol There is no permissible concentration of Clenbuterol that is allowed to appear in any official sample 16.47.1.8 (U)(1) PROTECTION OF HORSES 15.2.6.9. B(2) Penalty recommendations This ruling is written in accordance with 15.2.6.11(A) NMAC Trainer Responsibility/ 16.47.1.10(B)(1) NMAC/ Absolute 15.2.3.8(B)(3)(k) NMAC/ Purse Redistribution 15.2.5.13(E)(4)(d) NMAC: Stewards Authority 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/15.2.1.9(B)(9)(a) Failure to Appeal/15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia-Rark, Stewards

Disect Smin



Ruling #: 2017-ZIA-153

Track Zia Park

Ruling Date: 11/12/2017

Licensee: SOTO, MELISSA

License Type: 2016 3 Year Owner Applicant Id: 158514

License Number: 281080

Ruling # 2017-ZIA-153

Action Type: Initial Ruling

Ruling:

Owner Melissa Soto having been duly notified failing to appear, and had her hearing held in absentia, in accordance with 15.2.1.9(B)(4)(d)non-appearance, before the Board of Stewards on November 12, 2017, regarding an affidavit from UC/Davis (official testing laboratory for the NMSRC) for a confirmed positive for the prohibited (class 3 penalty B) drug "clenbuterol" detected in the urine of horse "Reash Wagon" (Test Sample #: NM27529), who finished 1st place in the 5th race on September 10, 2017, at Zia Park Racetrack in Hobbs, NM. Split sample rights as provided in 15.2.6.10 (D) NMAC, were afforded to and declined by the trainer Marco Flores.

<u>Marco Flores</u>, the trainer of record for horse "<u>Reash Wagon</u>", was duly notified and appeared for this hearing. This is <u>Marco Flores's</u> <u>FIRST Class</u> <u>3B medication violation in a lifetime.</u>

For Melissa Soto's THIRD Class 3B medication violation in a lifetime, the Board of Stewards assessed a penalty issued in accordance with 15.2.6.9 (B)(2) Penalty Recommendations as follows:

- 1. A (\$5,000) five thousand dollar fine to paid on or before December 12, 2017, in accordance with 15.2.3.8 (B)(3)(I). Failure to pay fine in prescribed time may result in an immediate suspension.
- 2. Pursuant to rules 15.2.3.8 (B)(9)(a)(e)Stewards List, 15.2.6.9(C)(7)(c) Positive Test Report, 15.2.6.9(C)(8) Positive Test List, 15.2.6.9(C) (9)(a-d)List Removal Requirements, the horse "Reash Wagon" is placed on the Stewards list for a period of 60 days (beginning September 29, 2017). During this period, the papers are to be removed from the racing office and horse is denied entry until he passes a commission approved veterinary examination with a negative blood and urine test.
- 3. The horse "Reash Wagon" is hereby disqualified from FIRST PLACE and ordered unplaced from the 5th race on September 10, 2017, at Zia Park Racetrack, for all but pari-mutuel wagering. The purse redistribution is as follows.
 - 1. Java Sea
 - 2. Check It to Em Dale
 - 3. Jess a Tres
 - 4. Catchs Dream
 - 5. Hija De Curlys
 - 6. Teller Cat
 - 7. Stel Regal
 - 8. Miss Midnight Hawk
 - 9. Rockndinamite

Unplaced "Reash Wagon"

Licensee is found in violation of 15.2.6.9(C)(1-2-3) Prima Facie Evidence /15.2.6.9(C)(3) Clenbuterol There is no permissible concentration of Clenbuterol that is allowed to appear in any official sample16.47.1.8 (U)(1) PROTECTION OF HORSES 15.2.6.9. B(2) Penalty recommendations This ruling is written in accordance with 15.2.3.8(B)(3)(k) NMAC/ Purse Redistribution 15.2.5.13(E)(4)(d) NMAC: Stewards Authority 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Deslet Swith

Ju Carr

Renke Jun



Ruling #:

2017-ZIA-154

Track

Zia Park

Ruling Date:

11/12/2017

Licensee:

MUELA, JOSE LUIS

License Type:

2016 3 Year Trainer

Applicant Id: 32421

License Number: 281032

Ruling #

2017-ZIA-154

Action Type:

Initial Ruling

Ruling:

Trainer Jose Luis Muela, having been duly notified and responding telephonically before the Board of Stewards on November 12, 2017, for failure to be present in the paddock or notify the stewards of another trainer or assistant trainer to saddle entry "EYEMA BLUE WAGON" in the 5th race on October 21, 2017, at Zia Park Racetrack.

The Board of Stewards hereby assesses Jose Luis Muela a penalty in the amount of one hundred dollars (\$100.00). The fine is to be paid on or before December 12, 2017, in accordance with 15.2.3.8(B)(3)(1) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension.

Licensee is found to be in violation of:

16.47.1.10 (C)(23) TRAINER RESPONSIBILITY personally attending to their horses in the paddock and supervising the saddling thereof, unless excused by the stewards; if the trainer is excused, the trainer must secure another trainer or assistant trainer who is licensed by the commission to assume those duties

16.47.1.10 (E)(1) SUBSTITUE TRAINER If any licensed trainer is prevented from performing his duties or is absent from the track where he is participating, the stewards shall be immediately notified, and at the same time, a substitute trainer or assistant trainer, acceptable to the stewards, shall be appointed. The stewards shall be advised when the regular trainer resumes his duties

15.2.6.11(E)(13): Trainer Responsibility personally attending in the paddock and supervising the saddling thereof, unless excused by the stewards

This ruling is written in accordance with:
15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

Desert Saire

Jul Cahr



Ruling #:

2017-ZIA-155

Track

Zia Park

Ruling Date:

11/12/2017

Licensee:

ESTRADA, SR SALVADOR Z

License Type:

2016 3 Year Trainer

Applicant Id:

12209

License Number: 281449

Ruling #

2017-ZIA-155

Action Type:

Initial Ruling

Ruling:

Trainer, Salvador Z. Estrada Sr., having been duly notified and having appeared with translator Salvador Z. Estrada Jr. before the Board of Stewards on November 12, 2017, regarding an affidavit from UC/Davis (official testing laboratory for the NMSRC) for a confirmed positive for the prohibited (class 3 penalty B) drug "clenbuterol" detected in the urine of horse "Cp Runnin" (Test Sample #: NM27506), who finished 1st place in the 3rd race on September 9, 2017, at Zia Park Racetrack in Hobbs, NM. Clenbuterol was confirmed in the urine of the split sample sent to Industrial Laboratories on October 26,

Carlos Perez, the owner of record for horse "Cp Runnin", was duly notified and failed to appear for this hearing. This is Carlos Perez's FIRST Class 3B medication violation in a lifetime.

For Salvador Z. Estrada Sr.'s FIRST Class 3B medication violation in a lifetime, the Board of Stewards assessed a penalty issued in accordance with 15.2.6.9 (B)(2) Penalty Recommendations as follows:

- 1. A 30 day suspension of all NMRC licenses starting November 23, 2017, through December 22, 2017, inclusive.
- 2. A (\$750) seven hundred and fifty dollar fine to paid on or before December 12, 2017, in accordance with 15.2.3.8 (B)(3)(I). Failure to pay fine in prescribed time may result in an immediate suspension.

During said time of applicable suspension Salvador Z. Estrada Sr. is hereby excluded from all areas of the grounds under the jurisdiction of the NMSRC, in accordance with 15.2.1.9 (C)(21) Exclusion. Additionally, effective upon applicable suspension dates, all horses owned, trained, or under the care of Salvador Z. Estrada Sr. are ineligible to be entered or start in any race in this jurisdiction, per 15.2.1.9 (C)(21)(c) Exclusion.

Licensee is found in violation of 15.2.6.9(C)(1-2-3) Prima Facie Evidence /15.2.6.9(C)(3) Clenbuterol There is no permissible concentration of Clenbuterol that is allowed to appear in any official sample 16.47.1.8 (U)(1) PROTECTION OF HORSES 15.2.6.9. B(2) Penalty recommendations This ruling is written in accordance with 15.2.6.11(A) NMAC Trainer Responsibility/ 16.47.1.10(B)(1) NMAC/ Absolute 15.2.3.8(B)(3)(k) NMAC/ Purse Redistribution 15.2.5.13(E)(4)(d) NMAC: Stewards Authority 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a) Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Weilst Smith



Ruling #:

2017-ZIA-156

Track

Zia Park

Ruling Date:

11/12/2017

Licensee:

PEREZ, CARLOS C

License Type:

2015 3 Year Owner

Applicant Id:

27049

License Number: 277949

Ruling #

2017-ZIA-156

Action Type:

Initial Ruling

Ruling:

Owner Carlos Perez, having been duly notified failing to appear, and had his hearing held in absentia, in accordance with 15.2.1.9(B)(4)(d)non-appearance, before the Board of Stewards on November 12, 2017, regarding an affidavit from UC/Davis (official testing laboratory for the NMSRC) for a confirmed positive for the prohibited (class 3 penalty B) drug "clenbuterol" detected in the urine of horse "Cp Runnin" (Test Sample #: NM27506), who finished 1st place in the 3rd race on September 9, 2017, at Zia Park Racetrack in Hobbs, NM. Clenbuterol was confirmed in the urine of the split sample sent to Industrial Laboratories on October 26, 2017.

Salvador Z. Estrada Sr., the trainer of record for horse "Cp Runnin", was duly notified and appeared with translator Salvador Z. Estrada Jr. for this hearing. This is Salvador Z. Estrada Sr.'s FIRST Class 3B medication violation in a lifetime.

For Owner Carlos Perez's FIRST Class 3B medication violation in a lifetime, the Board of Stewards assessed a penalty issued in accordance with 15.2.6.9 (B)(2) Penalty Recommendations as follows:

1. Pursuant to rules 15.2.3.8 (B)(9)(a)(e)Stewards List, 15.2.6.9(C)(7)(c) Positive Test Report, 15.2.6.9(C)(8) Positive Test List, 15.2.6.9(C) (9)(a-d)List Removal Requirements, the horse "Cp Runnin" is placed on the Stewards list for a period of 60 days (beginning September 27, 2017). During this period, the papers are to be removed from the racing office and horse is denied entry until he passes a commission approved veterinary examination with a negative blood and urine test.

2. The horse "Cp Running" is hereby disqualified from FIRST PLACE and ordered unplaced from the 3rd race on September 9, 2017, at Zia Park Racetrack, for all but pari-mutuel wagering. The purse redistribution is as follows.

- 1. Rts Dragon Fly
- 2. Daddys Big Roll
- 3. Bar Three T
- 4. Balboa Reserve
- 5. Spools
- 6. Sixes Royal Chick
- 7. The Last Bullet

Unplaced "Cp Runnin"

Licensee is found in violation of 15.2.6.9(C)(1-2-3) Prima Facie Evidence /15.2.6.9(C)(3) Clenbuterol There is no permissible concentration of Clenbuterol that is allowed to appear in any official sample16.47.1.8 (U)(1) PROTECTION OF HORSES 15.2.6.9. B(2) Penalty recommendations This ruling is written in accordance with 15.2.3.8(B)(3)(k) NMAC/ Purse Redistribution 15.2.5.13(E)(4)(d) NMAC: Stewards Authority 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a see in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

Desert Smith