

Ruling #:

2017-ZIA-183

Track

Zia Park

Ruling Date:

11/20/2017

Licensee:

COX, SHAE LARA

License Type:

2016 3 Year Trainer

Applicant Id: 6315

License Number: 282198

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Ruling #

2017-ZIA-183

Action Type:

Initial Ruling

Ruling:

Trainer, Shae Cox, having been duly notified and responding telephonically before the Board of Stewards, on November 20, 2017, regarding a lab report from UC/Davis (official testing laboratory for the NMRC). The report confirmed an overage for the therapeutic (class 4 penalty C) drug Methylprednisolone found in sample # NM29113 taken from the horse "Executive Walk Thru", who finished 1st place in the 3rd race on September 16, 2017, at The New Mexico State Fair in Albuquerque, Nm.

The initial lab report reported the concentration of Methylprednisolone was 147 pg/ml in the blood, which exceeds the authorized medication limit of 100 pg/ml in the blood (15.2.6.9 Attachment #2, Page 5). Methylprednisolone was confirmed in the split sample at a level of 133±17 pg/ml in the blood, which was sent to Industrial Laboratories on October 31, 2017.

Daniel Nunez, the owner of record for horse "Executive Walk Thru", was duly notified and failed to appear for this hearing. In accordance with 15.2.6.9(B)(4) PENALTY RECOMMENDATIONS, this is Daniel Nunez's FIRST Class 4 Category C medication violation in a 365-day period.

For Shae Cox's Second Class 4 Category C medication violation in a 365-day period, 15.2.6.9(B)(4) **PENALTY RECOMMENDATIONS**, the Board of Steward assess a penalty of :

- 1. A fine in the amount of one thousand and five hundred dollars (\$ 1,500.00). This fine is to paid on or before **December 20, 2017**, in accordance with 15.2.3.8 (B)(3)(I). Failure to pay this fine within the time prescribed may result in an immediate suspension.
- 2. A 15 day suspension starting November 21, 2017, through December 6, 2017, inclusive.

During said time of applicable suspension, Shae Cox is hereby excluded from all areas of the grounds under the jurisdiction of the NMSRC, in accordance with 15.2.1.9 (C)(21)(a-b) Exclusion. Additionally, effective upon applicable suspension dates, all horses owned, trained, or under the care Shae Cox are ineligible to be entered or start in any race in this jurisdiction, per 15.2.1.9 (C)(21)(c) Exclusion.

Licensee is found to be in violation of: 15.2.6.9(C)(1): Prima Facic Evidence /16.47.1.8 (U)(1) PROTECTION OF HORSES 15.2.6.9 Attachment #2, Page 5 Threshold limit for Methylprednisolone- 100 pg/ml in the blood / 15.2.6.9(B)(4) PENALTY RECOMMENDATIONS This ruling is written in accordance with: 15.2.6.9 CLASS 4 UNIFORM CLASSIFICATION GUIDELINES /15.2.6.11(A): Trainer Responsibility /15.2.6.11(B) Trainer Responsibility /16.47.1.10 (C) (5) TRAINER RESPONSIBILITY/16.47.1.10(B)(1): Absolute Insurer /16.47.1.8 (L)(1)(i) - CONDUCT 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority /16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal / 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section mast be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by normal the main commission offices or with the stewards/who issued the

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Ruling #:

2017-ZIA-184

Track

Zia Park

Ruling Date:

11/20/2017

Licensee:

NUNEZ, DANIEL A.

License Type:

2015 3 Year Owner

Applicant Id: 158323

License Number: 280178

Ruling #

2017-ZIA-184

Action Type:

Initial Ruling

Ruling:

Owner, Daniel Nunez, having been duly notified, failing to appear, and had his hearing held in absentia, in accordance with 15.2.1.9(B)(4)(d)non-appearance, before the Board of Stewards, on November 20, 2017, regarding a lab report from UC/Davis (official testing laboratory for the NMRC). The report confirmed an overage for the therapeutic (class 4 penalty C) drug Methylprednisolone found in sample # NM29113 taken from the horse "Executive Walk Thru", who finished 1st place in the 3rd race on September 16, 2017, at The New Mexico State Fair in Albuquerque, Nm.

The initial lab report reported the concentration of Methylprednisolone was 147 pg/ml in the blood, which exceeds the authorized medication limit of 100 pg/ml in the blood (15.2.6.9 Attachment #2, Page 5). Methylprednisolone was confirmed in the split sample at a level of 133±17 pg/ml in the blood, which was sent to Industrial Laboratories on October 31, 2017.

Shae Cox, the trainer of record for horse "Executive Walk Thru", was duly notified and responded telephonically for this hearing. In accordance with 15.2.6.9(B)(4) PENALTY RECOMMENDATIONS, this is Shae Cox's SECOND Class 4 Category C medication violation in a 365-day period.

For <u>Daniel Nunez's FIRST Class 4 Category C medication violation in a 365-day period, 15.2.6.9(B)(4)</u> **PENALTY RECOMMENDATIONS**, the Board of Steward assess a penalty of:

1. The horse "Executive Walk Thru", must pass a commission-approved examination before being eligible to enter.

Licensee is found to be in violation of:

15.2.6.9(C)(1): Prima Facie Evidence /16.47.1.8 (U)(1) PROTECTION OF HORSES /15.2.6.9 Attachment #2, Page 5 Threshold limit for Methylprednisolone- 100 pg/ml in the blood /15.2.6.9(B)(4) PENALTY RECOMMENDATIONS

This ruling is written in accordance with:

15.2.6.9 CLASS 4 UNIFORM CLASSIFICATION GUIDELINES 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority /16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a)Failure to Appeal / 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money/orger or personal check.

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Ruling #:

2017-ZIA-185

Track

Zia Park

Ruling Date:

11/26/2017

Licensee:

SIGALA, ALFREDO S.

License Type:

2015 3 Year Jockey

158216 Applicant Id:

License Number: 279889

Ruling #

2017-ZIA-185

Action Type:

**Initial Ruling** 

Ruling:

Jockey Alfredo Sigala, having been summoned and having appeared before the Board of Stewards on November 20, 2017, for failure to make sufficient effort to maintain his lane while aboard "REDNECK CARTEL", in the 4th race on November 19, 2017, at Zia Park Racetrack.

The Board of Stewards hereby assesses, Alfredo Sigala, a fine in the amount of two hundred dollars, (\$200.00). The fine is to be paid on or before December 26, 2017, in accordance with 15.2.3.8 (B)(3)(1). Failure to pay the fine within the time prescribed may result in immediate suspension.

## Licensee is found to be in violation of:

15.2.5.13(E)(3)(d) NMAC: Post to Finish (d) In a straightaway race, every horse must maintain position as nearly as possible in the lane in which it starts. If a horse is ridden, drifts or swerves out of its lane in such a manner that it interferes with, impedes or intimidates another horse, it is a foul and may result in the disqualification of the offending horse.

## This ruling is written in accordance with:

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards

General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal / 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission. offices, or with the Stewards who issued the ruling.

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Ruling #:

2017-ZIA-186

Track

Zia Park

Ruling Date:

11/26/2017

Licensee:

SANTANA, JR RICARDO

License Type:

2017 1 Year Jockey

Applicant Id:

35564

License Number:

288078

Ruling #

2017-ZIA-186

Action Type:

**Initial Ruling** 

Ruling:

Jockey, Ricardo Santana Jr., having been summoned and having appeared before Board of Stewards on November 22, 2017, for careless riding during the running of the 8th race on November 22, 2017, at Zia Park Racetrack, while aboard "RIO INNOKO" which resulted in his mount being disqualified from 4th place to 5th place.

The Board of Stewards hereby suspends, <u>Ricardo Santana Jr.</u> for <u>4 race days (December 3, 2017, December 4, 2017, December 5, 2017, and December 9, 2017)</u>. <u>Ricardo Santana Jr.</u> is allowed to ride designated races.

#### Licensee is found in violation of:

15.2.5.13(E)(2)(a) Post to Finish Which states in pertinent part that "A jockey shall not ride carelessly or willfully so as to permit his/her mount to interfere with, impede or intimidate any other horse in the race."

15.2.5.13(E)(3)(a) Thoroughbred Races When the way is clear in a race, a horse may be ridden to any part of the course, but if any horse swerves, or is ridden to either side, so as to interfere with, impede, or intimidate any other horse, it is a foul."

#### This ruling is written in accordance with:

15.2.5.13(E)(3)(c) Post to Finish/15.2.5.13(E)(3)(d) Post to Finish/15.2.5.13(E)(4)(a) Disqualification/15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority / 16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority /16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures /15.2.1.9(B)(9)(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission

offices, or with the Stewards who issued the ruling.

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# **New Mexico Racing Commission**

Ruling #:

2017-ZIA-187

Track

Zia Park

Ruling Date:

11/26/2017

Licensee:

RONQUILLO, JOHANN

License Type:

2017 I Year Groom

Applicant Id:

160408

License Number: 287831

Ruling #

2017-ZIA-187

Action Type:

Initial Ruling

Ruling:

Groom, Johann Ronquillo, having been duly notified, failing to appear, and had his hearing held in absentia, in accordance with 15.2.1.9(B)(4)(d), before the Board of Stewards on November 21, 2017, for failing to disclose criminal/arrest history on his licensing application.

For this violation, the Board of Stewards issue **Johann Ronquillo** a penalty of the following: A revocation of any/all New Mexico Racing Commission licenses, effective immediately November 21, 2017, and is referred to the New Mexico Racing Commission for their further review prior to any further application.

During said time of suspension Johann Ronquillo is denied access to all grounds under the jurisdiction of the New Mexico Racing Commission in accordance with 15.2.1.9(C)(21)(a) NMAC.

### Licensee is found to be in violation of:

16.47.1.8 (L)(1)(d) GROUNDS FOR DISCIPLINARY MEASURES The commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued, or order disciplinary measures, if the applicant has failed to disclose or falsely states any information required in the application;

#### This ruling is written in accordance with:

16.47.1.8 (L)(1)(i) - CONDUCT Which states in pertinent part "The Commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued, or order disciplinary measures if the applicant is a person whose conduct or reputation may reflect on the honesty, and integrity of horse racing"

15.2.3.8 (B)(3)(g) STEWARDS AUTHORITY The stewards may order that a person be ineligible for licensing; or they may deny a license to an applicant on grounds set forth in the act or these rules.

15.2.3.8 (B)(3)(j) REFER TO THE COMMISSION The stewards may refer any matter to the commission and may include recommendations for disposition. The absence of a steward's referral shall not preclude commission action in any matter

16.47.1.8(L)(2) Written Notice Of Suspension Or Revocation To Licensee And Other Jurisdictions

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority /16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a) Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check,"

By Order of The Zia Park Stewards

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Ruling #: 2017-ZIA-188

Track Zia Park

Ruling Date: 11/26/2017

Licensee: GONZALEZ,JR JOSE R

License Type: 2017 3 Year Trainer Applicant Id: 32929

License Number: 284813

Ruling #

2017-ZIA-188

Action Type:

**Initial Ruling** 

Ruling:

Trainer <u>Jose R Gonzalez Jr.</u>, having been duly notified, having failed to appear, and had his hearing held in absentia, in accordance with 15.2.1.9(B)(4)(d)Nonappearance, before the Board of Stewards on <u>November 25, 2017</u>, for failure have his entry, "<u>PUEBLO MAIDEN"</u> in the paddock at the designated time, <u>which resulted in a late scratch and mutuel refund in the 9th race on October 24, 2017, at Zia Park Racetrack.</u>

For this rule violation, the Board of Stewards assessed <u>Jose R Gonzalez Jr.</u> a penalty in the amount of <u>two hundred dollars (\$200.00)</u>. The fine is to be paid on or before <u>December 26, 2017</u>, in accordance with 15.2.3.8(B)(3)(l) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension.

### Licensee is found to be in violation of:

16.47.1.10 (C) (22) presenting his/her horse in the paddock at least 20 minutes before post time or at a time otherwise appointed before the race in which the horse is entered;

### This ruling is written in accordance with:

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)
(a) Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

## By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #: 2017-ZIA-189

Track Zia Park

Ruling Date: 11/26/2017

Licensee: SHULTS, CHARLES DUKE

License Type: 2015 3 Year Trainer Applicant Id: 5343

License Number: 278064

Ruling # 2017-ZIA-189

Action Type: Initial Ruling

Ruling:

<u>Trainer C. Duke Shults</u>, having been duly notified ,failing to appear, and had <u>his</u> hearing held in absentia, in accordance with <u>15.2.1.9(B)(4)(d)non-appearance</u>, before the Board of Stewards on <u>November 25, 2017</u>, for failure to have a properly licensed groom in attendance for his entry "<u>BAR THREE T"</u> after the running of the <u>3rd race on October 28, 2017, at Zia Park Racetrack</u>. (Entry "<u>BAR THREE T"</u> was picked up after the race by licensed jockey Juan Carlos Rivas.)

The Board of Stewards hereby fines <u>C. Duke Shults</u> in the amount of <u>two hundred dollars (\$200)</u>. The fine is to be paid on or before <u>December 26, 2017</u>, in accordance with 15.2.3.8(B)(3)(l) NMAC. Failure to pay this fine within the time prescribed may result in immediate suspension.

## Licensee is found to be in violation of:

16.47.1.8 L (1)(C) The commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued, or order disciplinary measures, if the applicant: who is unqualified, by experience or otherwise, to perform the activities for which a license is required, or who fails to pass an examination prescribed by the commission.

## This ruling is written in accordance with:

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)
(a) Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday. Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2017-ZIA-190

Track

Zia Park

Ruling Date:

11/26/2017

Licensee:

QUINTANILLA, JR. ARMANDO

License Type:

2017 3 Year Trainer

Applicant Id:

34471

License Number: 285138

Ruling #

2017-ZIA-190

Action Type:

**Initial Ruling** 

Ruling:

Trainer Armando Quintanilla Jr. having been duly notified and having appeared before the Board of Stewards on November 25, 2017, for failing to have entry "AIR DEJON FLY" properly tattooed, which resulted in a late scratch and mutuel refund in the 1st race on October 28, 2017, at Zia Park Racetrack.

The Board of Stewards hereby fines Armando Quintanilla Jr. in the amount of two hundred dollars, (\$200.00). The fine is to be paid on or before December 26, 2017, in accordance with 15.2.3.8 (B)(3)(1) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension.

Licensee is found in violation of

15.2.5.12 (B) INELIGIBLE HORSES A horse shall be ineligible to start in a race when it is not fully identified and tattooed on the inside of the upper

16.47.1.10 (C)(5) TRAINER RESPONSIBILITY The trainer is responsible for the proper identity, custody, care, health, condition, and safety of horses in their charge.

This ruling in written in accordance with:

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal / 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check.'

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #: 2017-ZIA-191

Track Zia Park

Ruling Date: 11/26/2017

Licensee: RAMOS, JR JESSE EDWARD

License Type: 2014 3 Year Trainer Applicant Id: 31758

License Number: 205862

Ruling # 2017-ZIA-191

Action Type: Initial Ruling

Ruling:

<u>Trainer Jesse Ramos</u> having been duly notified and responding telephonically before the Board of Stewards on <u>November 26, 2017</u>, for failure to declare equipment (<u>Flipping Halter ON</u>) at time of entry for "<u>HIGH PLANS HERO</u>" entered in <u>2ND race on November 4, 2017</u>, at <u>Zia Park Racetrack</u>.

The Board of Stewards hereby assesses <u>Jesse Ramos</u> a penalty in the amount of <u>two hundred dollars</u> (\$200.00). The fine is to paid on or before <u>December 26, 2017</u>, in accordance with 15.2.3.8(B)(3)(l) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension.

#### Licensee is found to be in violation of:

15.2.5.8 (B)(10) Procedure For Entries Any approved change of equipment must be declared at time of entry. Any changes after that time must be approved by the stewards.

15.2.5.8 (B)(6) Procedure For Entries No alteration may be made in any entry after the closing of entries, but an error may be corrected with permission of the stewards

15.2.5.13 (A)(6) Equipment For The Running Of The Race: No licensee may add blinkers to a horse's equipment or discontinue their use without the prior approval of the starter, the paddock judge, and the stewards.

15.2.6.11(E)(10)Trainer Responsibility The trainer is responsible for ensuring proper bandages, equipment and shoes.

## This ruling is written in accordance with:

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)
(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday. Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

### By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main commission offices, or with the Stewards who issued the ruling.

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Ruling #: 2017-ZIA-192

Track Zia Park

Ruling Date: 11/26/2017

GONZALEZ, JUAN C Licensee:

2017 3 Year Trainer Applicant Id: 31495 License Type:

License Number: 285006

Ruling #

2017-ZIA-192

Action Type:

**Initial Ruling** 

Ruling:

Trainer Juan Carlos Gonzalez having been duly notified, failing to appear, and had his hearing held in absentia, in accordance with 15.2.1.9(B)(4)(d)non-appearance, before the Board of Stewards on November 26, 2017, for failure to declare equipment (Flipping Halter ON) at time of entry for "GREASED UP" entered in 1st race on October 28, 2017, at Zia Park Racetrack.

The Board of Stewards hereby assesses Juan Carlos Gonzalez a penalty in the amount of two hundred dollars (\$200.00). The fine is to paid on or before December 26, 2017, in accordance with 15.2.3.8(B)(3)(1) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension.

#### Licensee is found to be in violation of:

15.2.5.8 (B)(10) Procedure For Entries Any approved change of equipment must be declared at time of entry. Any changes after that time must be approved by the stewards.

15.2.5.8 (B)(6) Procedure For Entries No alteration may be made in any entry after the closing of entries, but an error may be corrected with permission of the stewards

15.2.5.13 (A)(6) Equipment For The Running Of The Race: No licensee may add blinkers to a horse's equipment or discontinue their use without the prior approval of the starter, the paddock judge, and the stewards.

15.2.6.11(E)(10)Trainer Responsibility The trainer is responsible for ensuring proper bandages, equipment and shoes.

#### This ruling is written in accordance with:

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal / 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission

offices, or with the Stewards who issued the ruling.

Devolit Smich



Ruling #:

2017-ZIA-193

Track

Zia Park

Ruling Date:

11/26/2017

Licensee:

MUELA, JOSE LUIS

License Type:

2016 3 Year Trainer

32421

License Number: 281032

Applicant Id:

Ruling #

2017-ZIA-193

Action Type:

Initial Ruling

Ruling:

Trainer Jose Luis Muela, having been duly notified, failing to appear, and had his hearing held in absentia, in accordance with 15.2.1.9(B)(4)(d)non-appearance, before the Board of Stewards on November 26, 2017, for failure to be present in the paddock or notify the stewards of another trainer or assistant trainer to saddle entry "SIXES ROYAL CHICK" in the 3rd race on October 28, 2017, at Zia Park Racetrack.

The Board of Stewards hereby assesses **Jose Luis Muela** a penalty in the amount of **two hundred** dollars (\$200.00). The fine is to be paid on or before December 26, 2017, in accordance with 15.2.3.8(B)(3)(1) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension.

### Licensee is found to be in violation of:

16.47.1.10 (C)(23) TRAINER RESPONSIBILITY personally attending to their horses in the paddock and supervising the saddling thereof, unless excused by the stewards; if the trainer is excused, the trainer must secure another trainer or assistant trainer who is licensed by the commission to assume

16.47.1.10 (E)(1) SUBSTITUE TRAINER If any licensed trainer is prevented from performing his duties or is absent from the track where he is participating, the stewards shall be immediately notified, and at the same time, a substitute trainer or assistant trainer, acceptable to the stewards, shall be appointed. The stewards shall be advised when the regular trainer resumes his duties

15.2.6.11(E)(13): Trainer Responsibility personally attending in the paddock and supervising the saddling thereof, unless excused by the stewards

#### This ruling is written in accordance with:

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal / 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

Disect Smith



Ruling #: 2017-ZIA-194

Track Zia Park

Ruling Date: 11/26/2017

Licensee: HEBERT, TRACY JAMES

License Type: 2016 3 Year Jockey Applicant Id: 159170

License Number: 283307

Ruling # 2017-ZIA-194

Action Type: Initial Ruling

Ruling:

Jockey <u>Tracy Hebert</u>, having been summoned and having appeared before the Board of Stewards on <u>November 26, 2017</u>, for failure to make sufficient effort to control his mount "<u>MOUNTAIN GODDESS"</u> in the <u>10TH race on November 22, 2017</u>, at Zia Park Racetrack.

The Board of Stewards hereby assesses, <u>Tracy Hebert</u>, a fine in the amount of <u>two hundred dollars</u>, <u>(\$200.00)</u>. This fine is to be paid on or before <u>December 26, 2017</u>, in accordance with 15.2.3.8 (B)(3)(l) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension.

#### Licensee is found in violation of:

15.2.5.13(E)(2)(a) NMAC: Post to Finish Which states in pertinent part that "A jockey shall not ride carelessly or willfully so as to permit his/her mount to interfere with, impede or intimidate any other horse in the race."

15.2.5.13(E)(3)(a) NMAC: Post to Finish When the way is clear in a race, a horse may be ridden to any part of the course, but if any horse swerves, or is ridden to either side, so as to interfere with, impede, or intimidate any other horse, it is a foul."

### This ruling is written in accordance with:

15.2.5.13(E)(3)(c) NMAC: Post to Finish Which states in pertinent part that "If the Stewards determine the foul was intentional, or due to careless riding, they may fine or suspend the guilty jockey."

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

### By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

Tirlet Smin



Ruling #:

2017-ZIA-195

Track

Zia Park

Ruling Date:

11/26/2017

Licensee:

LOPEZ, JOSE deJESUS

License Type:

2017 1 Year Jockey

Applicant Id:

160320

License Number:

287512

Ruling #

2017-ZIA-195

Action Type:

**Initial Ruling** 

Ruling:

Jockey, <u>Jose P. Lopez</u>, having been summoned <u>and having appeared</u> before the Board of Stewards <u>on November 26, 2017</u>, for careless riding during the running of the <u>3rd race on November 25</u>, <u>2017</u>, <u>at Zia Park Racetrack</u> while <u>aboard "PAINTTHISROYALWAGON" which resulted in his mount being disqualified from 4th place to 10th place</u>.

For this violation, the Board of Stewards assessed <u>Jose P. Lopez</u>, a suspension of <u>4 race days</u> (December 4, 2017, December 5, 2017, December 9, 2017, and December 10, 2017). <u>Jose P. Lopez</u> <u>is</u> allowed to ride designated races.

## Licensee is found in violation of:

15.2.5.13(E)(2)(a) Post to Finish Which states in pertinent part that "A jockey shall not ride carelessly or willfully so as to permit his/her mount to interfere with, impede or intimidate any other horse in the race."

15.2.5.13(E)(3)(d) Post to Finish In a straightaway race, every horse must maintain position as nearly as possible in the lane in which it starts. If a horse is ridden, drifts or swerves out of its lane in such a manner that it interferes with, impedes or intimidates another horse, it is a foul and may result in the disqualification of the offending horse.

#### This ruling is written in accordance with:

15.2.5.13(E)(3)(c) NMAC: Post to Finish 15.2.5.13(E)(3)(d) NMAC: Post to Finish 15.2.5.13(E)(4)(a)

NMAC Disqualification./15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys/15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority /16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Teolet Snith



Ruling #:

2017-ZIA-196

Track

Zia Park

Ruling Date:

11/26/2017

Licensee:

LUJAN, ERIK J.

License Type:

2016 3 Year Jockey

Applicant Id: 159235

License Number: 284044

Ruling #

2017-ZIA-196

Action Type:

**Initial Ruling** 

Ruling:

Jockey Erick Lujan, having been summoned and having appeared before the Board of Stewards on November 26, 2017, for failure to make sufficient effort to maintain his lane while aboard "MAE REGUARD", in the 9th race on November 25, 2017, at Zia Park Racetrack.

The Board of Stewards hereby assesses, Erick Lujan, a fine in the amount of two hundred dollars. (\$200.00). The fine is to be paid on or before **December 26, 2017**, in accordance with 15.2.3.8 (B)(3) (1). Failure to pay the fine within the time prescribed may result in immediate suspension.

#### Licensee is found to be in violation of:

15.2.5.13(E)(3)(d) NMAC: Post to Finish (d) In a straightaway race, every horse must maintain position as nearly as possible in the lane in which it starts. If a horse is ridden, drifts or swerves out of its lane in such a manner that it interferes with, impedes or intimidates another horse, it is a foul and may result in the disqualification of the offending horse.

#### This ruling is written in accordance with:

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal / 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission

offices, or with the Stewards who issued the ruling.

Divient Shirt



Ruling #:

2017-ZIA-197

Track

Zia Park

Ruling Date:

11/27/2017

Licensee:

ORONA, JR MARTIN M.

License Type:

2016 3 Year Trainer

Applicant Id: 32292

License Number: 282343

Ruling #

2017-ZIA-197

Action Type:

**Initial Ruling** 

Ruling:

<u>Trainer Martin Orona Jr.</u> having been duly notified, failing to appear, and had <u>his</u> hearing held in absentia, in accordance with <u>15.2.1.9(B)(4)(d)non-appearance</u>, before the Board of Stewards on <u>November 27, 2017</u>, for failure to declare equipment (<u>Flipping Halter Off</u>) at time of entry for <u>"JESS EXCESSIVE"</u>, entered in the 1st race on October 29, 2017, at Zia Park Racetrack.

The Board of Stewards hereby accesses <u>Martin Orona Jr.</u> a fine in the amount of <u>two hundred dollars (\$ 200.00)</u>. The fine is to paid on or before <u>December 27, 2017</u>, in accordance with 15.2.3.8(B)(3)(l) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension.

#### Licensee is found to be in violation of:

15.2.5.8 (B)(10) Procedure For Entries Any approved change of equipment must be declared at time of entry. Any changes after that time must be approved by the stewards.

15.2.5.8 (B)(6) Procedure For Entries No alteration may be made in any entry after the closing of entries, but an error may be corrected with permission of the stewards

15.2.5.13 (A)(6) Equipment For The Running Of The Race: No licensee may add blinkers to a horse's equipment or discontinue their use without the prior approval of the starter, the paddock judge, and the stewards.

15.2.6.11(E)(10)Trainer Responsibility The trainer is responsible for ensuring proper bandages, equipment and shoes.

This ruling is written in accordance with:

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)
(a) Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

Deslet Smith



Ruling #: 2017-ZIA-198

Track Zia Park

Ruling Date: 11/27/2017

Licensee: MARQUEZ, ALFREDO

License Type: 2016 3 Year Trainer Applicant Id: 26799

License Number: 282775

Ruling # 2017-ZIA-198

Action Type: Initial Ruling

Ruling:

Trainer Alfredo Marquez having been duly notified, failing to appear, and had <u>his</u> hearing held in absentia, in accordance with <u>15.2.1.9(B)(4)(d)non-appearance</u>, before the Board of Stewards on <u>November 27, 2017</u>, for failure to declare equipment <u>(Flipping Halter Off)</u> at time of entry for "<u>JEFFS KNIGHTMARE</u>", and "<u>DMG FUNNY FACE</u>" entered in the <u>2nd and 4th races on November 11, 2017 at Zia Park</u> Racetrack.

The Board of Stewards hereby accesses <u>Alfredo Marquez</u> a fine in the amount of <u>four hundred dollars (\$ 400.00)</u>. The fine is to paid on or before <u>December 27, 2017</u>, in accordance with 15.2.3.8(B)(3)(l) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension.

### Licensee is found to be in violation of:

15.2.5.8 (B)(10) Procedure For Entries Any approved change of equipment must be declared at time of entry. Any changes after that time must be approved by the stewards.

15.2.5.8 (B)(6) Procedure For Entries No alteration may be made in any entry after the closing of entries, but an error may be corrected with permission of the stewards

15.2.5.13 (A)(6) Equipment For The Running Of The Race: No licensee may add blinkers to a horse's equipment or discontinue their use without the prior approval of the starter, the paddock judge, and the stewards.

15.2.6.11(E)(10)Trainer Responsibility The trainer is responsible for ensuring proper bandages, equipment and shoes.

This ruling is written in accordance with:

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)
(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

Cevent Amich



Ruling #:

2017-ZIA-199

Track

Zia Park

Ruling Date:

11/27/2017

Licensee:

ROJO-ESTRADA, OMAR

License Type:

2017 1 Year Assistant S Applicant Id:

32948

License Number:

286429

Ruling #

2017-ZIA-199

Action Type:

**Initial Ruling** 

Ruling:

<u>Assistant Starter Omar Rojo-Estrada</u>, having been duly notified and having responded telephonically with translator <u>Homero Gutierrez</u> before the Board of Stewards on <u>November 27, 2017</u>, for the falsification of federal forms (health certificate) for the horses "<u>Jesse Fly" and "Quicker Than Action"</u> on <u>July 24, 2017</u>, at the Downs of Albuquerque.

After hearing testimony and presenting evidence the Board of Stewards hereby issue **Omar Rojo-Estrada** a penalty of:

- 1. A fine in the amount of **two thousand and five hundred dollars (\$2,500.00)**. The fine is to be paid on or before **December 27, 2017**, in accordance with 15.2.3.8(B)(3)(l). Failure to pay the fine within the time prescribed may result in immediate suspension.
- 2. A <u>6 month suspension</u> of all NMRC licenses starting <u>December 8, 2017, through June 7, 2018, inclusive.</u>

During said time of applicable suspension, **Omar Rojo-Estrada** is hereby excluded from all areas of the grounds under the jurisdiction of the NMSRC, in accordance with 15.2.1.9 (C)(21)(a-b). Additionally, effective upon applicable suspension dates, all horses owned, trained, or under the care of **Omar Rojo-Estrada** are ineligible to be entered or start in any race in this jurisdiction, per 15.2.1.9 (C)(21)(c).

#### Licensee is found to be in violation of:

16.47.1.8(L)(1)(f) CONDUCT The commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued, or order disciplinary measures, if the applicant has been found to have made false or misleading statements to the commission, stewards, or any racing official 16.47.1.8 (L)(1)(i) – CONDUCT The Commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued, or order disciplinary measures if the applicant is a person whose conduct or reputation may reflect on the honesty, and integrity of horse racing

This ruling is written in accordance with:

15.2.1.8(B)(1)COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority /16.47.1.8 (A)(1)(2) License Required 16.47.1.8(N) Last Known Address/16.47.1.8(L)(1)(e)Grounds For Disciplinary Measures/15.2.1.9(B)(9)(a)Failure to Appeal 15.2.1.9(B)(9)(b): Appeal Procedures An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check.

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

Tealet Smite



Ruling #:

2017-ZIA-200

Track

Zia Park

Ruling Date:

11/27/2017

Licensee:

FINCHER, TODD W.

License Type:

2016 3 Year Trainer

Applicant Id:

27974

License Number: 280641

Ruling #

2017-ZIA-200

Action Type:

**Initial Ruling** 

Ruling:

Trainer Todd Fincher having been duly notified, failing to appear, and had his hearing held in absentia, in accordance with 15.2.1.9(B)(4)(d)non-appearance, before the Board of Stewards on November 27, 2017, for entering the ineligible horse "BY GOLLI WHO", which resulted in a late scratch and mutuel refund in the 4th race on October 30, 2017 at Zia Park Racetrack.

For this violation, the Board of Stewards assess Todd Fincher a fine in the amount of two hundred dollars, (\$200.00) The fine is to be paid on or before December 27, 2017, in accordance with 15.2.3.8 (B)(3)(1). Failure to pay the fine within the time prescribed may result in immediate suspension.

### Licensee is found to be in violation of:

16.47.1.10 (C) (18) Trainers are responsible for horses entered as to eligibility and weight or other allowances claimed.

15.2.5.12(V) Ineligible horse A horse shall be ineligible to start in a race when it does not meet the eligibility conditions of the race.

## This ruling is written in accordance with:

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal / 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

## By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

Tialet Smith



Ruling #: 2017-ZIA-201

Track Zia Park

Ruling Date: 11/27/2017

Licensee: PEREZ, MIGUEL ANGEL

License Type: 2015 3 Year Jockey Applicant Id: 31329

License Number: 246749

Ruling # 2017-ZIA-201

Action Type: Initial Ruling

Ruling:

Jockey <u>Miguel Perez</u> having been duly notified <u>and having appeared</u> before the Board of Stewards on <u>November 27, 2017</u>, for sharply checking his mount (<u>"DENALI PYC"</u>) late stretch, without adequate cause, which resulted in his mount (<u>"DENALI PYC"</u>) not achieving optimal placing in <u>6th</u> race on November 26, 2017, at Zia Park Racetrack.

The Board of Stewards hereby assesses, <u>Miguel Perez</u>, a fine in the amount of <u>four hundred dollars</u>, (\$400.00). This fine is to be paid on or before <u>December 27, 2017</u>, in accordance with 15.2.3.8 (B)(3) (I). Failure to pay the fine within the time prescribed may result in immediate suspension.

## Licensee is found to be in violation of:

15.2.5.13(E)(5) Horses shall be ridden out: All horses shall be ridden out in every race. A jockey shall not ease up or coast to the finish, without adequate cause, even if the horse has no apparent chance to win prize money.

This ruling is written in accordance with:

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

### By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

Werlet Smith



Ruling #:

2017-ZIA-202

Track

Zia Park

Ruling Date:

11/27/2017

Licensee:

RAMOS, ADRIAN ALFREDO

License Type:

2017 3 Year Jockey

Applicant Id:

20427

License Number: 285076

Ruling #

2017-ZIA-202

Action Type:

**Initial Ruling** 

Ruling:

check."

Jockey Adrian Ramos, having been summoned and having appeared before the Board of Stewards November 27, 2017, for careless riding during the running of the 6th race on November 26, 2017 at Zia Park Racetrack, while aboard "VALIANT PEARL".

For this violation, the Board of Stewards assessed Adrian Ramos, a suspension of 4 race days (December 4, 2017, December 5, 2017, December 9, 2017, and December 10, 2017). Adrian **Ramos** is allowed to ride designated races.

### Licensee is found in violation of:

15.2.5.13(E)(2)(a) NMAC: Post to Finish Which states in pertinent part that "A jockey shall not ride carelessly or willfully so as to permit his/her mount to interfere with, impede or intimidate any other horse in the race."

15.2.5.13(E)(3)(d) NMAC: Post to Finish (d) In a straightaway race, every horse must maintain position as nearly as possible in the lane in which it starts. If a horse is ridden, drifts or swerves out of its lane in such a manner that it interferes with, impedes or intimidates another horse, it is a foul and may result in the disqualification of the offending horse.

## This ruling is written in accordance with:

15.2.5.13(E)(3)(c) NMAC: Post to Finish Which states in pertinent part that "If the Stewards determine the foul was intentional, or due to careless riding, they may fine or suspend the guilty jockey."

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal / 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main commission

offices, or with the Stewards who issued the ruling.

Dislit Aniu



Ruling #: 2017-ZIA-203

Track Zia Park

11/28/2017 Ruling Date:

VILLANUEVA, JUAN CARLOS Licensee:

2015 3 Year Jockey Applicant Id: 34058 License Type:

License Number: 279877

2017-ZIA-203 Ruling #

**Modified Penalty** Action Type:

Ruling:

RE: 2017-ZIA-203 - Modified Penalty 15.2.3.8(B)(1)(e) Stewards Authority The stewards shall have the authority to amend, revoke, rescind or modify any ruling that they issued in error in accordance with the laws of this state and these rules. Corrected an error 3 day suspension changed to reflect correct number of days.

Jockey, JC Villanueva, having been summoned and having appeared before the Board of Stewards on November 28, 2017, for careless riding during the running of the 2nd race on November 28, 2017, at Zia Park Racetrack while aboard "C Salt Van".

For this violation, the Board of Stewards assessed JC Villanueva a suspension of 4 race days (December 9, 2017, December 10, 2017, December 11, 2017, and December 12, 2017). JC Villanueva is allowed to ride designated races.

Licensee is found in violation of:

15.2.5.13(E)(2)(a) NMAC: Post to Finish Which states in pertinent part that "A jockey shall not ride carelessly or willfully so as to permit his/her mount to interfere with, impede or intimidate any other horse in the race."

15.2.5.13(E)(3)(a) When the way is clear in a race, a horse may be ridden to any part of the course, but if any horse swerves, or is ridden to either side, so as to interfere with, impede, or intimidate any other horse, it is a foul.

This ruling is written in accordance with:

15.2.5.13(E)(3)(c) NMAC: Post to Finish Which states in pertinent part that "If the Stewards determine the foul was intentional, or due to careless riding, they may fine or suspend the guilty jockey."

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal / 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main/Commission

offices, or with the Stewards who issued the ruling, Molet Smit



Ruling #:

2017-ZIA-204

Track

Zia Park

Ruling Date:

11/28/2017

Licensee:

NALL, JOHNNIE LEE

License Type:

2016 3 Year Trainer

Applicant Id:

9507

License Number: 284271

Ruling #

2017-ZIA-204

**Action Type:** 

**Initial Ruling** 

Ruling:

Trainer Johnnie Nall, waived her rights to a 10 day notice and formal hearing before the Board of Stewards on November 28, 2017, regarding an affidavit from UC/Davis (official testing laboratory for the NMSRC). The affidavit was for a confirmed positive for the therapeutic drug, Phenylbutazone (class 4 penalty category C drug) found in test sample NM 30993 taken from the winner "The Incident" following the 7th race, on November 6, 2017, at Zia Park Racetrack, in Hobbs, NM.

The original lab report indicated a finding of Phenylbutazone at 2.58 micrograms per milliliter in the blood, which exceeds the allowable limit of 2.0 micrograms per milliliter in the blood. Split sample rights as provided in 15.2.6.10 (D) NMAC, were afforded to and declined by Johnnie Nall.

Tommy Town Thoroughbreds LLC (Tommy Stull), the owner of record for horse "The Incident", was telephonically notified that his trainer waived her right to a formal hearing on November 28, 2017. This is Tommy Stull's FIRST Class 4C medication violation in a 365-day period.

For Johnnie Nall's FIRST Class 4C medication violation in a 365-day period, the Board of Stewards hereby assess a (\$200) two hundred dollar fine to be paid on or before January 3, 2018, in accordance with 15.2.3.8 (B)(3)(1) NMAC. Failure to pay fine in prescribed time may result in an immediate suspension.

Licensee is found to be in violation of: 15.2.6.9(C)(1-2-3) Prima Facie Evidence/15.2.6.9 (C)(3) PENALTY RECOMMENDATIONS

This ruling is written in accordance with: 15.2.6.9 A (4)UNIFORM CLASSIFICATION GUIDELINES - /15.2.6.11(A) NMAC: Trainer Responsibility/16.47.1.10(B)(1) NMAC: Absolute Insurer /15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a) Failure to Appeal / 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.



Ruling #:

2017-ZIA-205

Track

Zia Park

Ruling Date:

11/28/2017

Licensee:

STULL, TOMMY LEE

License Type:

2016 3 Year Owner

Applicant Id:

23246

License Number: 280696

Ruling #

2017-ZIA-205

Action Type:

**Initial Ruling** 

Ruling:

Tommy Town Thoroughbreds LLC (Tommy Stull), the owner of record for horse "The Incident", was telephonically notified that his trainer, Johnnie Nall, waived her rights to a formal hearing before the Board of Stewards on November 28, 2017. The hearing was in regards to an affidavit from UC/Davis (official testing laboratory for the NMSRC), for a confirmed positive for the therapeutic drug, Phenylbutazone (class 4 penalty category C drug) found in test sample NM 30993 taken from the winner "The Incident" following the 7th race, on November 6, 2017, at Zia Park Racetrack, in Hobbs, NM.

The original lab report indicated a finding of Phenylbutazone at 2.58 micrograms per milliliter in the blood, which exceeds the allowable limit of 2.0 micrograms per milliliter in the blood. Split sample rights as provided in 15.2.6.10 (D) NMAC, were afforded to and declined by Johnnie Nall.

For Tommy Stulls's FIRST Class 4C medication violation in a 365-day period, the Board of Stewards hereby assess the following penalty in accordance with 15.2.3.8 (B)(3)Penalty Recommendations.

1. The horse "The Incident, must pass a commission-approved examination before being eligible to enter.

Licensee is found to be in violation of: 15.2.6.9(C)(1-2-3) Prima Facie Evidence/15.2.6.9 (C)(3) PENALTY RECOMMENDATIONS

## This ruling is written in accordance with: 15.2.6.9 A (4)UNIFORM CLASSIFICATION

GUIDELINES/15,2,1.8(B)(1) COMMISSION GENERAL AUTHORITY/15,2,3.8 B (3)(a)(f) Stewards Disciplinary Action/15,2,3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a) Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

Wolt Smith



Ruling #:

2017-ZIA-206

Track

Zia Park

Ruling Date:

11/28/2017

Licensee:

EIKLEBERRY, RY PATRICK

License Type:

2017 3 Year Jockey

Applicant Id:

30493

License Number: 287659

Ruling #

2017-ZIA-206

Action Type:

**Initial Ruling** 

Ruling:

Jockey Ry Eikleberry, having been summoned and having appeared before the Board of Stewards on November 28, 2017, for failure to make sufficient effort to control his mount "FRANCISCO", which resulted in his mounted being disqualified from 2nd place to 4th place in the 4th race on November 27, 2017. at Zia Park Racetrack.

The Board of Stewards hereby assesses, Ry Eikleberry, a fine in the amount of two hundred dollars, (\$200.00). This fine is to be paid on or before **December 28, 2017**, in accordance with 15.2.3.8 (B)(3)(l) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension.

#### Licensee is found in violation of:

15.2.5.13(E)(2)(a) NMAC: Post to Finish Which states in pertinent part that "A jockey shall not ride carelessly or willfully so as to permit his/her mount to interfere with, impede or intimidate any other horse in the race."

15.2.5.13(E)(3)(a) NMAC: Post to Finish When the way is clear in a race, a horse may be ridden to any part of the course, but if any horse swerves, or is ridden to either side, so as to interfere with, impede, or intimidate any other horse, it is a foul."

## This ruling is written in accordance with:

15.2.5.13(E)(3)(c) NMAC: Post to Finish Which states in pertinent part that "If the Stewards determine the foul was intentional, or due to careless riding, they may fine or suspend the guilty jockey.'

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal / 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

Dialet Smith



Ruling #:

2017-ZIA-207

Track

Zia Park

Ruling Date:

12/2/2017

Licensee:

REYNOLDS, CODY

License Type:

Applicant Id:

34144

License Number:

Ruling #

2017-ZIA-207

**Action Type:** 

Initial Ruling

Ruling:

Trainer Cody Reynolds, having been <u>duly notified</u>, having failed to appear, and had his hearing <u>held in absentia</u>, in accordance with 15.2.1.9(B)(4)(d) Non-Appearance, before the Board of Stewards <u>on December 2, 2017</u>, for being on the grounds (Stable area) of Zia Park Racetrack, while under suspension, on November 7, 2017.

The Board of Stewards hereby issue <u>Cody Reynolds</u> a suspension of all NMRC licenses for a period of <u>60</u> <u>days</u>, running consecutively from ruling 2017-RUI-98, <u>February 5, 2018, through April 5, 2018, inclusive</u>.

During said time of applicable suspension, Cody Reynolds is hereby excluded from all areas of the grounds under the jurisdiction of the NMSRC, in accordance with 15.2.1.9 (C)(21)(a) Exclusion. Additionally, effective upon applicable suspension dates, all horses owned, trained, or under the care of, Cody Reynolds are ineligible to be entered or start in any race in this jurisdiction, per 15.2.1.9 (C)(21)(c) Exclusion.

## Licensee is found in violation of:

15.2.1.9 (C)(21)(a) Exclusion The steward, agency director, or commission may order an individual ejected or excluded from all or part of any premises under the regulatory jurisdiction of the commission if the stewards, agency director, or commission determine that the individual's presence on association grounds is inconsistent with maintaining the honesty and integrity of racing.

This ruling is written in accordance with:

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)
(a) Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

Deset Smith



Ruling #: 2017-ZIA-208

Track Zia Park Ruling Date: 12/3/2017

Licensee: VALDEZ, LILIANA G

License Type: 2017 1 Year Owner Applicant Id: 160376

License Number: 287686

Ruling # 2017-ZIA-208

Action Type: Initial Ruling

Ruling:

Owner, LILIANA VALDEZ, having been duly notified, failing to appear, and had <u>her</u> hearing held in absentia, in accordance with <u>15.2.1.9(B)(4)(d)non-appearance</u>, before the Board of Stewards on <u>December 2, 2017</u>, for failing to disclose criminal/arrest history on <u>her</u> licensing application.

The Board of Stewards hereby access <u>LILIANA VALDEZ</u> a fine in the amount of <u>two hundred</u> <u>dollars (\$200.00)</u>. The fine is to be paid on or before <u>January 3, 2018, in</u> accordance with 15.2.3.8 (B)(3)(l). Failure to pay the fine within the time prescribed may result in immediate suspension

## Licensee is found to be in violation of:

16.47.1.8 (L)(1)(d) GROUNDS FOR REFUSAL, DENIAL, SUSPENSION, OR REVOCATION OF A LICENSE. Application requirements

#### This ruling is written in accordance with:

Twelt Smith

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)
(a) Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

#### By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.



Ruling #: 2017-ZIA-209

Zia Park Track

12/3/2017 Ruling Date:

HERRERA, RAUL Licensee:

2017 3 Year Jockey Applicant Id: 46080 License Type:

License Number: 286057

Ruling # 2017-ZIA-209

Action Type: **Initial Ruling** 

Ruling:

Jockey Raul Herrera, having been summoned and having appeared before the Board of Stewards on December 2, 2017, for failure to make sufficient effort to maintain his lane while aboard "RYONS SIGNATURE", in the 1st race on November 26, 2017, at Zia Park Racetrack.

The Board of Stewards hereby assesses, Raul Herrera, a fine in the amount of two hundred dollars, (\$200.00). The fine is to be paid on or before <u>January 3, 2018</u>, in accordance with <u>15.2.3.8 (B)(3)(I)</u>. Failure to pay the fine within the time prescribed may result in immediate suspension.

## Licensee is found to be in violation of:

15.2.5.13(E)(3)(d) NMAC: Post to Finish (d) In a straightaway race, every horse must maintain position as nearly as possible in the lane in which it starts. If a horse is ridden, drifts or swerves out of its lane in such a manner that it interferes with, impedes or intimidates another horse, it is a foul and may result in the disqualification of the offending horse.

## This ruling is written in accordance with:

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d) Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal / 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main commission

offices, or with the Stewards who issued the ruling.

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Ruling #:

2017-ZIA-210

Track

Zia Park

Ruling Date:

12/3/2017

Licensee:

GUEVARA, RENE

License Type:

2017 3 Year Jockey

Applicant Id:

159986

License Number: 287715

Ruling #

2017-ZIA-210

**Action Type:** 

**Initial Ruling** 

Ruling:

Jockey Rene Gevera Jr. having been summoned and having appeared before the Board of Stewards on December 3, 2017, for failure to make sufficient effort to maintain his lane while aboard "JESS WON LG", which resulted in the disqualification of his mount from 1st place to 9th place, in the 6th race on December 2, 2017, at Zia Park Racetrack.

The Board of Stewards hereby assesses, Rene Gevera Jr., a fine in the amount of two hundred dollars, (\$200.00). The fine is to be paid on or before January 03, 2018, in accordance with 15.2.3.8 (B)(3)(1). Failure to pay the fine within the time prescribed may result in immediate suspension.

## Licensee is found to be in violation of:

15.2.5.13(E)(3)(d) Post to Finish In a straightaway race, every horse must maintain position as nearly as possible in the lane in which it starts. If a horse is ridden, drifts or swerves out of its lane in such a manner that it interferes with, impedes or intimidates another horse, it is a foul and may result in the disqualification of the offending horse.

#### This ruling is written in accordance with:

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal / 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

Mirest Smith



Ruling #: 2017-ZIA-211

Track Zia Park Ruling Date: 12/3/2017

Licensee: RAMIREZ, ESGAR

License Type: 2017 3 Year Jockey Applicant Id: 12647

License Number: 285063

Ruling # 2017-ZIA-211

Action Type: Initial Ruling

Ruling:

Jockey Esgar Ramirez having been summoned, failing to appear, and had his hearing held in absentia, in accordance with 15.2.1.9(B)(4)(d)non-appearance, before the Board of Stewards on December 3, 2017, for failure to make sufficient effort to maintain his lane while aboard "IMPERIAL EAGLE", which resulted in the disqualification of his mount from 5th place to 9th place, in the 3rd race on November 22, 2017, 2017, at Zia Park Racetrack.

The Board of Stewards hereby assesses, <u>Esgar Ramirez</u>, a fine in the amount of <u>two hundred</u> <u>dollars, (\$200.00)</u>. The fine is to be paid on or before <u>January 03, 2018</u>, in accordance with <u>15.2.3.8</u> (<u>B)(3)(1)</u>. Failure to pay the fine within the time prescribed may result in immediate suspension.

## Licensee is found to be in violation of:

15.2.5.13(E)(3)(d) Post to Finish In a straightaway race, every horse must maintain position as nearly as possible in the lane in which it starts. If a horse is ridden, drifts or swerves out of its lane in such a manner that it interferes with, impedes or intimidates another horse, it is a foul and may result in the disqualification of the offending horse.

## This ruling is written in accordance with:

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)
(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section-must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission

offices, or with the Stewards who issued the ruling.

Direct Smith



Ruling #: 2017-ZIA-212

Track Zia Park Ruling Date: 12/3/2017

Licensee: CORRAL, DAMIAN ALVARADO

License Type: 2016 3 Year Jockey Applicant Id: 32418

License Number: 280514

Ruling # 2017-ZIA-212

Action Type: Initial Ruling

Ruling:

Jockey <u>DAMIAN ALVARADO CORRAL</u>, having been duly notified, failing to appear, and had <u>his</u> hearing held in absentia, in accordance with <u>15.2.1.9(B)(4)(d)non-appearance</u>, before the Board of Stewards on <u>December 3, 2017</u>, for having failed to be excused from, or to notify the Board of Stewards of his absence, and subsequent failure to honor his riding engagement (entry "<u>JEFFS KNIGHTMARE"</u>) in the <u>2nd race on November 11, 2017</u>, at Zia Park Racetrack.

The Board of Stewards hereby fines **<u>DAMIAN ALVARADO CORRAL</u>** in the amount of **<u>two hundred dollars (\$200.00)</u>**. The fine is to be paid on or before **<u>January 3, 2018</u>**, in accordance with 15.2.3.8(B)(3)(l) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension.

## Licensee is found to be in violation of:

15.2.5.13(C)(1) Which states in pertinent part that "Jockeys shall report to the Jockey's quarters at the time designated by the association. Jockeys shall report their engagements and any overweight to the clerk of scales. Jockeys shall not leave the Jockeys' quarters, except to ride in scheduled races, until all of their riding engagements of the day have been fulfilled except as approved by the Stewards."

5.2.5.13(C)(3) Which states in pertinent part that "Except as otherwise provided by this subsection, a jockey engaged for a certain race or for a specified time may not fail or refuse to abide by the engagement agreement, unless excused by the Stewards."

This ruling is written in accordance with:

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

## By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2017-ZIA-213

Track

Zia Park

Ruling Date:

12/3/2017

Licensee:

VALERO, ROBERTO

License Type:

2015 3 Year Jockey

Applicant Id:

14629

License Number:

277123

Ruling #

2017-ZIA-213

Action Type:

**Initial Ruling** 

Ruling:

Jockey <u>Roberto Valero</u> having been duly notified, failing to appear, and had <u>his</u> hearing held in absentia, in accordance with <u>15.2.1.9(B)(4)(d)non-appearance</u>, before the Board of Stewards on <u>December 3, 2017</u>, for having failed to be excused from, or to notify the Board of Stewards of his absence, and subsequent failure to honor his riding engagement (entry "<u>DASHIN FOOSE"</u>) in the <u>2nd race on November 11, 2017, at Zia Park Racetrack</u>.

The Board of Stewards hereby fines <u>Roberto Valero</u> in the amount of <u>two hundred dollars (\$200.00)</u>. The fine is to be paid on or before <u>January 3, 2018</u>, in accordance with 15.2.3.8(B)(3)(l) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension.

## Licensee is found to be in violation of:

15.2.5.13(C)(1) Which states in pertinent part that "Jockeys shall report to the Jockey's quarters at the time designated by the association. Jockeys shall report their engagements and any overweight to the clerk of scales. Jockeys shall not leave the Jockeys' quarters, except to ride in scheduled races, until all of their riding engagements of the day have been fulfilled except as approved by the Stewards."

5.2.5.13(C)(3) Which states in pertinent part that "Except as otherwise provided by this subsection, a jockey engaged for a certain race or for a specified time may not fail or refuse to abide by the engagement agreement, unless excused by the Stewards."

### This ruling is written in accordance with:

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission."

offices, or with the Stewards who issued the ruling.

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