

Ruling #:

2017-ZIA-98

Track

Zia Park

Ruling Date:

10/22/2017

Licensee:

GARCIA-LUNA, FELIPE D

License Type:

2015 3 Year Jockey

Applicant Id:

30961

License Number: 278824

Ruling #

2017-ZIA-98

Action Type:

**Initial Ruling** 

Ruling:

Jockey Felipe Garcia Luna, having been summoned and having appeared before the Board of Stewards on October 22, 2017, for failure to make sufficient effort to maintain his lane while aboard "FLASH PERFECT" in the 5TH race on October 21, 2017, at Zia Park Racetrack, .

The Board of Stewards hereby assesses, Felipe Garcia Luna, a fine in the amount of two hundred dollars, (\$200.00). The fine is to be paid on or before November 22, 2017, in accordance with 15.2.3.8 (B)(3)(1). Failure to pay the fine within the time prescribed may result in immediate suspension.

Licensee is found to be in violation of:

15.2.5.13(E)(3)(d) NMAC: Post to Finish (d) In a straightaway race, every horse must maintain position as nearly as possible in the lane in which it starts. If a horse is ridden, drifts or swerves out of its lane in such a manner that it interferes with, impedes or intimidates another horse, it is a foul and may result in the disqualification of the offending horse.

### This ruling is written in accordance with:

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

## By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.



Ruling #:

2017-ZIA-99

Track

Zia Park

**Ruling Date:** 

10/22/2017

Licensee:

LARA, HECTOR

License Type:

2017 1 Year Track Mai Applicant Id:

10247

License Number:

287384

Ruling #

2017-ZIA-99

**Action Type:** 

**Initial Ruling** 

Ruling:

<u>Track Maintenance Employee</u>, <u>Hector Lara</u>, having been duly notified and having appeared before the Board of Stewards on <u>October 22, 2017</u>, for failing to disclose criminal/arrest history on his licensing application.

The Board of Stewards assessed <u>Hector Lara</u> a fine in the amount <u>of two hundred dollars (\$200.00)</u>. The fine is to be paid on or before <u>November 22, 2017, in</u> accordance with 15.2.3.8 (B)(3)(l). Failure to pay the fine within the time prescribed may result in immediate suspension

Licensee is found to be in violation of:

16.47.1.8 (L)(1)(d) GROUNDS FOR REFUSAL, DENIAL, SUSPENSION, OR REVOCATION OF A LICENSE. Application requirements

This ruling is written in accordance with:

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)
(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.



Ruling #:

2017-ZIA-100

Track

Zia Park

Ruling Date:

10/22/2017

Licensee:

VALLES, RODOLFO GUADALUPE

License Type:

2015 3 Year Trainer

Applicant Id:

33090

License Number:

278659

Ruling #

2017-ZIA-100

**Action Type:** 

**Initial Ruling** 

Ruling:

Trainer <u>Rodolfo Valles</u> having been duly notified and having waived his right to a 10 day notice and formal hearing before the Board of Stewards on <u>October 22, 2017</u>, for Transferring ownership of horse "<u>JZOOMIN SCOOT"</u> on <u>September 10, 2017</u>. ("<u>JZOOMIN SCOOT"</u> was claimed from the 1st race on September 2, 2017, at Ruidoso Downs.)

The Board of Stewards hereby assesses **Rodolfo Valles** a fine in the amount **of five hundred dollars**, **(\$500.00)**. The fine is to be paid on or before **November 22, 2017**, in accordance with 15.2.3.8 (B) (3)(1) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension

### Licensee is found to be in violation of:

15.2.4.8(G)(5) TRANSFER OF CLAIMED HORSES Ownership interest in any horse claimed from a race shall not be resold or transferred for 30 days after such horse was claimed, except by claim from a subsequent race

#### This ruling is written in accordance with:

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)
(a) Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

### By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.



Ruling #:

2017-ZIA-101

Track

Zia Park

Ruling Date:

10/23/2017

Licensee:

LOGSDON, THOMAS J

License Type:

2017 1 Year Racing Fa Applicant Id:

160243

License Number:

287226

Ruling #

2017-ZIA-101

Action Type:

**Initial Ruling** 

Ruling:

2017 1 Year Racing Facilities, Thomas J Logsdon, having been duly notified and having appeared before the Board of Stewards on October 23, 2017, for failing to disclose his arrest/criminal history on his license application dated August 25, 2017.

After considering the mitigating circumstances, the Board of Stewards hereby issue a warning letter to Thomas J Logsdon. Thomas J Logsdon was allowed to submit an amended application and advised to disclose his full criminal/arrest record on any future licensing applications.

Licensee is found to be in violation of:

16.47.1.8 (L)(1)(d) GROUNDS FOR REFUSAL, DENIAL, SUSPENSION, OR REVOCATION OF A LICENSE. Application requirements

This ruling is written in accordance with:

16.47.1.8 (L)(1)(i) — CONDUCT "The Commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued, or order disciplinary measures if the applicant is a person whose conduct or reputation may reflect on the honesty, and integrity of horse racing. 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES/16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required/16.47.1.8(N) Last Known Address/16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/15.2.1.9(B)(9)(a)Failure to Appeal/15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

### By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2017-ZIA-102

Track

Zia Park

Ruling Date:

10/23/2017

Licensee:

SIGALA, ALFREDO S.

License Type:

2015 3 Year Jockey

Applicant Id:

158216

License Number:

279889

Ruling #

2017-ZIA-102

**Action Type:** 

**Initial Ruling** 

Ruling:

Jockey Alfredo Sigala, having been summoned and having appeared before the Board of Stewards on October 23, 2017, for failure to make sufficient effort to maintain his lane while aboard "KING COSMO", which resulted in the disqualification of his mount from 6th place to 7th place, in the 2nd race on October 22, 2017, at Zia Park Racetrack.

The Board of Stewards hereby assesses, Alfredo Sigala, a fine in the amount of two hundred dollars, (\$200.00). The fine is to be paid on or before November 23, 2017, in accordance with 15.2.3.8 (B)(3) (I). Failure to pay the fine within the time prescribed may result in immediate suspension.

Licensee is found to be in violation of:

15.2.5.13(E)(3)(d) Post to Finish In a straightaway race, every horse must maintain position as nearly as possible in the lane in which it starts. If a horse is ridden, drifts or swerves out of its lane in such a manner that it interferes with, impedes or intimidates another horse, it is a foul and may result in the disqualification of the offending horse.

### This ruling is written in accordance with:

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards

General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal / 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission

offices, or with the Stewards who issued the ruling.

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Ruling #:

2017-ZIA-103

Track

Zia Park

Ruling Date:

10/23/2017

Licensee:

GUTIERREZ, JR LUIS ALONSO

License Type:

2016 3 Year Jockey

Applicant Id:

20180

License Number:

283848

Ruling #

2017-ZIA-103

Action Type:

**Initial Ruling** 

Ruling:

Jockey, <u>Luis Alonso Gutierrez</u>, having been duly notified, telephonically waived his rights to a 10 day notice and formal hearing before the Board of Stewards, on <u>October 23, 2017</u>, for failing to make assigned weight, which resulted in a late change of rider for entry "<u>WOODYS DYNASTY</u>" in the 1st race on October 14, 2017, at Zia Park Racetrack.

The Board of Stewards hereby assesses <u>Luis Alonso Gutierrez</u> a penalty in the amount of <u>two</u> <u>hundred dollars (\$200.00)</u>. The fine is to be paid on or before <u>November 23, 2017</u>, in accordance with 15.2.3.8(B)(3)(l) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension.

Licensee is found to be in violation of:

15.2.5.13(C)(1) Which states in pertinent part that "Jockeys shall report to the Jockey's quarters at the time designated by the association. Jockeys shall report their engagements and any overweight to the clerk of scales. Jockeys shall not leave the Jockeys' quarters, except to ride in scheduled races, until all of their riding engagements of the day have been fulfilled except as approved by the Stewards."

15.2.5.13(C) (12) Seven pounds is the limit of overweight any horse is permitted to carry.

### This ruling is written in accordance with:

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15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys, 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory

Authority, 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check money order or personal check."

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.



Ruling #:

2017-ZIA-104

Track

Zia Park

Ruling Date:

10/24/2017

Licensee:

GILES, WESLEY T

License Type:

2016 3 Year Trainer

Applicant Id:

21313

License Number:

284440

Ruling #

2017-ZIA-104

Action Type:

Initial Ruling

Ruling:

<u>Trainer Wesley Giles</u>, having been duly notified and waiving his rights telephonically to a 10 day notice and formal hearing before the Board of Stewards on <u>October 24, 2017</u>, for failure to be present in the paddock or notify the stewards of another trainer or assistant trainer to saddle entry <u>"COOPER CANYON"</u> in <u>the 9th race on October 16, 2017</u>, at <u>Zia Park Racetrack</u>.

The Board of Stewards hereby assesses <u>Wesley Giles</u> a penalty in the amount of <u>one hundred dollars</u> (\$100.00). The fine is to be paid on or before <u>November 24, 2017</u>, in accordance with 15.2.3.8(B)(3) (l) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension.

Licensee is found to be in violation of:

16.47.1.10 (C)(23) TRAINER RESPONSIBILITY personally attending to their horses in the paddock and supervising the saddling thereof, unless excused by the stewards; if the trainer is excused, the trainer must secure another trainer or assistant trainer who is licensed by the commission to assume those duties

16.47.1.10 (E)(1) SUBSTITUE TRAINER If any licensed trainer is prevented from performing his duties or is absent from the track where he is participating, the stewards shall be immediately notified, and at the same time, a substitute trainer or assistant trainer, acceptable to the stewards, shall be appointed. The stewards shall be advised when the regular trainer resumes his duties
15.2.6.11(E)(13): Trainer Responsibility personally attending in the paddock and supervising the saddling thereof, unless excused by the stewards

This ruling is written in accordance with:

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)
(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

### By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2017-ZIA-105

Track

Zia Park

Ruling Date:

10/24/2017

Licensee:

HERNANDEZ, LUIS MIGUEL

License Type:

2015 3 Year Trainer

Applicant Id:

30905

License Number:

277164

Ruling #

2017-ZIA-105

Action Type:

**Initial Ruling** 

Ruling:

Trainer <u>Miguel Hernandez</u> having been duly notified and having waived his rights to a 10 day notice of hearing before the Board of Stewards on <u>October 24, 2017</u>, for missing Lasix for entries, "<u>DOM STRAIT" and "DYNO DOM"</u>, which resulted in a late scratch and mutuel refund in the <u>4th and 9th races on October 23, 2017, at Zia Park Racetrack</u>.

The Board of Stewards hereby assesses <u>Miguel Hernandez</u> a fine in the amount <u>of four hundred</u> <u>dollars, (\$400.00)</u>. The fine is to be paid on or before <u>November 24, 2017</u>, in accordance with 15.2.3.8 (B)(3)(1) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension

### Licensee is found in violation of.

15.2.6.9(D) (2) USE OF FUROSEMIDE IN DETENTION BARN The use of furosemide shall be permitted under the following circumstances on association grounds where a detention barn is utilized: furosemide shall be administered by the official veterinarian, the racing veterinarian, or practicing veterinarian no less than four hours prior to post in which the horse is entered. A horse qualified for furosemide administration must be brought to the detention barn one hour prior to the four hour administration requirement specified above. After treatment, the horse shall be required by the commission to remain in the detention barn in the care, custody and control of its trainer or the trainer's designated representative under association or commission security supervision until called to the saddling paddock.

15.2.6.9(D) (3) USE OF FUROSEMIDE ON ASSOSIATION GROUNDS The use of furosemide shall be permitted under the following circumstances on association grounds where a detention barn is not utilized: furosemide shall be administered by the official veterinarian, the racing veterinarian, or practicing veterinarian no less than four hours prior to post in which a horse is entered; the horse must be logged in at the stable gate with time and location no less than one hour prior to administration; the furosemide dosage administered shall not exceed 500 milligrams nor be less than 150 milligrams; the trainer of the treated horse shall cause to be delivered to the official veterinarian or their designee no later than one hour prior to post time for the race for which the horse is entered the following information under oath on a form provided by the commission: the racetrack name, the date and time the furosemide was administered to the entered horse; the dosage amount of furosemide administered to the entered horse; the printed name and signature of the attending licensed veterinarian who administered the Furosemide

This ruling in written in accordance with:

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)
(a) Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

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Ruling #:

2017-ZIA-106

Track

Zia Park

Ruling Date:

10/24/2017

Licensee:

AMPARAN, FRANCISCO ALBERTO

License Type:

2017 3 Year Apprentice Applicant Id:

34486

License Number:

285602

Ruling #

2017-ZIA-106

Action Type:

Initial Ruling

Ruling:

Apprentice Jockey, Francisco Amparan, having been summoned and having appeared before Board of Stewards on October 24, 2017, for careless riding during the running of the 6th race on October 23, 2017, at Zia Park Racetrack, while aboard "DANDY DON WHO" which resulted in his mount being disqualified from 2nd place to 7th place.

The Board of Stewards hereby assess <u>Francisco Amparan</u> a suspension of <u>4 race days (November 4, 2017, November 5, 2017, November 6, 2017, and November 7, 2017,). <u>Francisco Amparan</u> is allowed to ride designated races.</u>

Licensee is found in violation of:

15.2.5.13(E)(2)(a) Post to Finish Which states in pertinent part that "A jockey shall not ride carelessly or willfully so as to permit his/her mount to interfere with, impede or intimidate any other horse in the race."

15.2.5.13(E)(3)(a) Thoroughbred Races When the way is clear in a race, a horse may be ridden to any part of the course, but if any horse swerves, or is ridden to either side, so as to interfere with, impede, or intimidate any other horse, it is a foul."

This ruling is written in accordance with:

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15.2.5.13(E)(3)(c) Post to Finish/15.2.5.13(E)(3)(d) Post to Finish/15.2.5.13(E)(4)(a) Disqualification/15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority / 16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures /15.2.1.9(B)(9)
(a) Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.



Ruling #:

2017-ZIA-107

Track

Zia Park

Ruling Date:

10/24/2017

Licensee:

REYES, FRANK

License Type:

2014 3 Year Jockey

Applicant Id:

116762

License Number: 184679

Ruling #

2017-ZIA-107

Action Type:

**Initial Ruling** 

Ruling:

Jockey Frank Reves, having been summoned and having appeared before the Board of Stewards on October 24, 2017, for failure to make sufficient effort to control his mount "BLUE AZUL", which resulted in his mounted being disqualified from 1st place to 2nd place in the 8th race on October 22, 2017 at Zia Park Racetrack.

The Board of Stewards hereby assesses, Frank Reves, a fine in the amount of two hundred dollars, (\$200.00). This fine is to be paid on or before **November 24, 2017**, in accordance with 15.2.3.8 (B)(3)(1) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension.

### Licensee is found in violation of:

15.2.5.13(E)(2)(a) NMAC: Post to Finish Which states in pertinent part that "A jockey shall not ride carelessly or willfully so as to permit his/her mount to interfere with, impede or intimidate any other horse in the race."

15.2.5.13(E)(3)(a) NMAC: Post to Finish When the way is clear in a race, a horse may be ridden to any part of the course, but if any horse swerves, or is ridden to either side, so as to interfere with, impede, or intimidate any other horse, it is a foul."

#### This ruling is written in accordance with:

15.2.5.13(E)(3)(c) NMAC: Post to Finish Which states in pertinent part that "If the Stewards determine the foul was intentional, or due to careless riding, they may fine or suspend the guilty jockey."

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal / 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

#### By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2017-ZIA-108

Track

Zia Park

Ruling Date:

10/29/2017

Licensee:

HERRERA, RAUL

License Type:

2017 3 Year Jockey

Applicant Id:

46080

License Number:

286057

Ruling #

2017-ZIA-108

**Action Type:** 

**Initial Ruling** 

Ruling:

Raul Herrera, having been summoned and having failed to appear or send a jockey guild's representative for film review regarding the 2nd race on October 22, 2017 at Zia Park Racetrack, is hereby assessed a fine of one hundred dollars (\$100.00). The fine is to be paid on or before November 29, 2017, in accordance with 15.2.3.8(B)(3)(I). Failure to pay the fine within the time prescribed may result in immediate suspension.

### Licensee is found in violation of:

15.2.1.9(B)(4)(d) Nonappearance Of A Summoned Party Which states in pertinent part that "Nonappearance of a summoned party after adequate notice shall be construed as a waiver of the right to a hearing before the board of stewards.

15.2.1.9(B)(4)(A) Notice Of Hearing- Jockey Except as provided by these rules regarding summary suspension, jockey riding infractions and trial races, the stewards or a racing commission designee shall provide written notice, at least 10 days before the hearing, to a person who is the subject of a disciplinary hearing. The person may waive their right to 10 days notice by executing a written waiver.

### This ruling is written in accordance with:

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/ 15.2.3.8 B (1) (a)(c)(d)Stewards General Authority /16.47.1.8 (T) KNOWLEDGE OF RULES/16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required/ 16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)
(a) Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

### By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2017-ZIA-109

**Track** 

Zia Park

Ruling Date:

10/29/2017

Licensee:

HERRERA, RAUL

License Type:

2017 3 Year Jockey

Applicant Id:

46080

License Number:

286057

Ruling #

2017-ZIA-109

Action Type:

**Initial Ruling** 

Ruling:

Jockey <u>Raul Herrera</u>, having been summoned, failing to appear or send a representative, and had <u>his</u> hearing held in absentia, in accordance with <u>15.2.1.9(B)(4)(d)non-appearance</u>, before the Board of Stewards on <u>October 22, 2017</u>, for failure to make sufficient effort to maintain his lane while aboard "<u>MIDNITE THEORY"</u> in the <u>2nd race on October 22, 2017</u>, at <u>Zia Park Racetrack</u>.

The Board of Stewards hereby assesses, <u>Raul Herrera</u>, a fine in the amount of <u>two hundred dollars</u>, (\$200.00). The fine is to be paid on or before <u>November 29, 2017</u>, in accordance with <u>15.2.3.8 (B)(3)</u> (I). Failure to pay the fine within the time prescribed may result in immediate suspension.

#### Licensee is found to be in violation of:

15.2.5.13(E)(3)(d) NMAC: Post to Finish (d) In a straightaway race, every horse must maintain position as nearly as possible in the lane in which it starts. If a horse is ridden, drifts or swerves out of its lane in such a manner that it interferes with, impedes or intimidates another horse, it is a foul and may result in the disqualification of the offending horse.

### This ruling is written in accordance with:

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)
(a) Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2017-ZIA-110

Track

Zia Park

Ruling Date:

10/29/2017

Licensee:

HEBERT, TRACY JAMES

License Type:

2016 3 Year Jockey

Applicant Id:

159170

License Number: 283307

Ruling #

2017-ZIA-110

Action Type:

**Initial Ruling** 

Ruling:

Jockey Tracy Hebert, having been summoned and having appeared before the Board of Stewards on October 28, 2017, for failure to make sufficient effort to control his mount "SIEMPRE AMIGOS", in the 7th race on October 24, 2017, at Zia Park Racetrack (Shaved the 7 going into the first turn.)

The Board of Stewards hereby assesses, Tracy Hebert, a fine in the amount of two hundred dollars, (\$200.00). This fine is to be paid on or before **November 29, 2017**, in accordance with 15.2.3.8 (B)(3)(1) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension.

#### Licensee is found in violation of:

15.2.5.13(E)(2)(a) NMAC: Post to Finish Which states in pertinent part that "A jockey shall not ride carelessly or willfully so as to permit his/her mount to interfere with, impede or intimidate any other horse in the race."

15.2.5.13(E)(3)(a) NMAC: Post to Finish When the way is clear in a race, a horse may be ridden to any part of the course, but if any horse swerves, or is ridden to either side, so as to interfere with, impede, or intimidate any other horse, it is a foul."

### This ruling is written in accordance with:

15.2.5.13(E)(3)(c) NMAC: Post to Finish Which states in pertinent part that "If the Stewards determine the foul was intentional, or due to careless riding, they may fine or suspend the guilty jockey.'

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal / 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

#### By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.



Ruling #:

2017-ZIA-111

**Track** 

Zia Park

Ruling Date:

10/29/2017

Licensee:

NICOLAS, CAMILO

License Type:

2017 1 Year Jockey

Applicant Id:

6621

License Number:

287456

Ruling #

2017-ZIA-111

Action Type:

Initial Ruling

Ruling:

<u>Jockey, Camilo Nicolas</u>, having been duly notified and having appeared before the Board of Stewards <u>on</u> <u>October 28, 2017</u>, for failing to disclose <u>his</u> arrest/criminal history on her license application <u>dated</u> <u>September 8, 2017</u>.

After considering the mitigating circumstances, the Board of Stewards hereby issue a warning letter to Camilo Nicolas. Camilo Nicolas was allowed to submit an amended application and advised to disclose his full criminal/arrest record on any future licensing applications.

### Licensee is found to be in violation of:

16.47.1.8 (L)(1)(d) GROUNDS FOR REFUSAL, DENIAL, SUSPENSION, OR REVOCATION OF A LICENSE. Application requirements

### This ruling is written in accordance with:

16.47.1.8 (L)(1)(i) - CONDUCT "The Commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued, or order disciplinary measures if the applicant is a person whose conduct or reputation may reflect on the honesty, and integrity of horse racing. 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

#### By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2017-ZIA-112

Track

Zia Park

Ruling Date:

10/29/2017

Licensee:

ROMAN, SERGIO P

License Type:

2015 3 Year Trainer

Applicant Id:

19056

License Number:

278978

Ruling #

2017-ZIA-112

Action Type:

Initial Ruling

Ruling:

Trainer Sergio P. Roman, having been duly notified and having appeared before the Board of Stewards on October 29, 2017, for failing to report, as instructed, to the Downs of Albuquerque Test Barn Facility for out of competition testing on September 7, 2017, with horses "Singin On Diva" "Shes a Fighter" and "Six Episodes", who were selected for out of competition testing.

### **AGGRVATING CICUMSTANCES:**

- 1. Sergio P. Roman has an extensive history of medication violations.
- 2. Sergio P. Roman was properly notified in accordance with 15.2.6.9 J (1) (a)(b)OUT OF **COMPETITION TESTING**

After considering the aggravating circumstances, the Board of Stewards hereby access Sergio P. Roman of penalty of a 180 day suspension of all NMRC licenses starting October 30, 2017, through April 28, 2018, inclusive.

During said time of applicable suspension Sergio P. Roman is hereby excluded from all areas of the grounds under the jurisdiction of the NMSRC, in accordance with 15.2.1.9 (C)(21)(a-b). Additionally, effective upon applicable suspension dates, all horses owned, trained, or under the care of Sergio P. Roman are ineligible to be entered or start in any race in this jurisdiction, per 15.2.1.9 (C)(21)(c).

Licensee is found in violation of

15.2.6.9 J (1) (a-e)OUT OF COMPETITION TESTING/ 15.2.6.9 J (7) (a)(b) OUT OF COMPETITION TESTING/ 15.2.6.9 J (8) OUT OF COMPETITION TESTING

This ruling is written in accordance with 16.47.1.8(L)(1)(e)(i)(3) GROUNDS FOR DISAPLINARY MEASURES FOR A LICENSEEE AND REFUSAL, DENIAL, SUSPENSION, OR REVOCATION OF A LICENSE/ 15.2.6.9 J (7) (a)(b) OUT OF COMPETITION TESTING 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority /16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a) Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

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Ruling #:

2017-ZIA-113

Track

Zia Park

Ruling Date:

10/29/2017

Licensee:

ROMAN, SERGIO P

License Type:

2016 3 Year Owner

Applicant Id:

19056

License Number: 281457

Ruling #

2017-ZIA-113

Action Type:

Initial Ruling

Ruling:

Owner Sergio P. Roman, having been duly notified and having appeared before the Board of Stewards on October 29, 2017, for failing to report, as instructed, to the **Downs of Albuquerque** Test Barn Facility for out of competition testing on September 7, 2017, with horses "Singin On Diva" and "Six Episodes", who were selected for out of competition testing.

The Board of Stewards hereby access Sergio P. Roman a penalty, pursuant to 15.2.6.9 J (7) (a) OUT OF COMPETITION TESTING and 15.2.6.9(C)(7)MEDICATION VIOLATION: the horses "Singin On Diva" and "Six Episodes" was placed on the Stewards list for a period of 180 days (beginning September 7, 2017). During this period, the papers are to be removed from the racing office and horse is denied entry until he passes a commission approved veterinary examination with a negative hair test.

Licensee is found in violation of

15.2.6.9 J (1) (a)(b)(c)OUT OF COMPETITION TESTING/ 15.2.6.9 J (8)(a) OUT OF COMPETITION TESTING

This ruling is written in accordance with

15.2.6.9 J (7) (a) OUT OF COMPETITION TESTING15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/ 15.2.3.8 B(3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority /16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal / 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2017-ZIA-114

Track

Zia Park

Ruling Date:

10/29/2017

Licensee:

TERRAZAS, LISET LOURDES

License Type:

2017 1 Year Owner

Applicant Id:

160162

License Number:

286894

Ruling #

2017-ZIA-114

Action Type:

**Initial Ruling** 

Ruling:

Owner <u>Liset L. Terrazas</u>, having been duly notified failing to appear, and had <u>her</u> hearing held in absentia, in accordance with <u>15.2.1.9(B)(4)(d)non-appearance</u>, before the Board of Stewards <u>on</u> <u>October 29, 2017</u>. The hearing was in concern to trainer <u>Sergio P. Roman's</u> failure to report to the <u>Downs of Albuquerque Test Barn Facility for out of competition testing on September 7, 2017, with horse "Shes a Fighter"</u>, who was selected for out of competition testing.

The Board of Stewards hereby access <u>Liset L. Terrazas</u> a penalty, pursuant to 15.2.6.9 J (7) (a) OUT OF COMPETITION TESTING and 15.2.6.9(C)(7)MEDICATION VIOLATION: the horse <u>"Shes a Fighter"</u> was placed on the Stewards list for a period of <u>180 days (beginning September 7, 2017)</u>. During this period, the papers are to be removed from the racing office and horse is denied entry until he passes a commission approved veterinary examination with a negative <u>hair</u> test.

Licensee is found in violation of

15.2.6.9 J (1) (a)(b)(c)OUT OF COMPETITION TESTING/ 15.2.6.9 J (8)(a) OUT OF COMPETITION TESTING

This ruling is written in accordance with

15.2.6.9 J (7) (a) OUT OF COMPETITION TESTING15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B(3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES/16.47.1.3 Statutory Authority/16.47.1.8 (A)(1)(2) License Required/16.47.1.8(N) Last Known Address/16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/15.2.1.9(B)(9) (a)Failure to Appeal/15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2017-ZIA-115

Track

Zia Park

Ruling Date:

10/29/2017

Licensee:

FRENCH, DON LEE

License Type:

2017 3 Year Jockey

Applicant Id:

21097

License Number:

286350

Ruling #

2017-ZIA-115

Action Type:

**Initial Ruling** 

Ruling:

Jockey Don Lee French having been duly notified and having responded telephonically before the Board of Stewards on October 29, 2017, for his excessive use of the whip on his mount "SIGN HAWKINGSON" during the running of the 9th race on October 28, 2017, at Zia Park Racetrack.

The Board of Stewards assesses **Don Lee French** a penalty in the amount of **one hundred dollars** (\$100.00). The fine is to be paid on or before November 29, 2017, in accordance with 15.2.3.8 (B)(3) (1). Failure to pay the fine within the time prescribed may result in immediate suspension.

Licensee is found to be in violation of:

15.2.5.13(E)(6)(e) Use of riding crops. Indiscriminate use of the whip is prohibited including whipping a horse: on the head, flanks or on any other part of its body other than the shoulders or hind quarters except when necessary to control a horse; during the post parade or after the finish of the race except when necessary to control the horse; excessively or brutally causing welts or breaks in the skin; when the horse is clearly out of the race or has obtained its maximum placing; persistently even though the horse is showing no response under the riding crop; or striking another rider or horse.

This ruling is written in accordance with:

15.2.1.9(B)(4)(A)Jockeys Notice of Hearing 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority/16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

# By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2017-ZIA-116

Track

Zia Park

Ruling Date:

10/29/2017

Licensee:

GARCIA-LUNA, FELIPE D

License Type:

2015 3 Year Jockey

Applicant Id:

30961

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License Number: 278824

Ruling #

2017-ZIA-116

**Action Type:** 

**Initial Ruling** 

Ruling:

Jockey, Felipe Garcia Luna, having been summoned and having appeared before the Board of Stewards on October 29, 2017, for careless riding during the running of the 5th race on October 28, 2017 at Zia Park Racetrack while aboard "ELCALCETAS" which resulted in his mount being disqualified from 7th place to 10th place.

For this violation, the Board of Stewards assessed <u>Felipe Garcia Luna</u>, a suspension of <u>4 race days</u> (<u>November 6, 2017, November 7, 2017, November 11, 2017, and November 12, 2017</u>). <u>Felipe Garcia Luna</u>, is allowed to ride designated races.

Licensee is found in violation of:

15.2.5.13(E)(2)(a) Post to Finish Which states in pertinent part that "A jockey shall not ride carelessly or willfully so as to permit his/her mount to interfere with, impede or intimidate any other horse in the race."
15.2.5.13(E)(3)(d) Post to Finish In a straightaway race, every horse must maintain position as nearly as possible in the lane in which it starts. If a horse is ridden, drifts or swerves out of its lane in such a manner that it interferes with, impedes or intimidates another horse, it is a foul and may result in the disqualification of the offending horse.

This ruling is written in accordance with:

15.2.5.13(E)(3)(c) NMAC: Post to Finish 15.2.5.13(E)(3)(d) NMAC: Post to Finish 15.2.5.13(E)(4)(a)

NMAC Disqualification./15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys/15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority /16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

Divilit Smith



Ruling #:

2017-ZIA-117

Track

Zia Park

Ruling Date:

10/29/2017

Licensee:

APODACA-DIAZ, LUIS

License Type:

2017 3 Year Jockey

Applicant Id:

33930

License Number:

287118

Ruling #

2017-ZIA-117

Action Type:

**Initial Ruling** 

Ruling:

Jockey, <u>Luis A. Apodaca</u>, having been summoned <u>and having been represented by jockey Felipe</u>

<u>Garcia-Luna</u> before the Board of Stewards <u>on October 29, 2017</u>, for careless riding during the running of the <u>6th race on October 28, 2017</u> <u>at Zia Park Racetrack</u> while <u>aboard "CACHE THIS</u>

<u>CHEQUE" which resulted in his mount being disqualified from 2ND place to 4th place.</u>

For this violation, the Board of Stewards assessed <u>Luis A. Apodaca</u>, a suspension of <u>4 race days</u> (<u>November 6, 2017, November 7, 2017, November 11, 2017, and November 12, 2017</u>). <u>Luis A. Apodaca</u>, is allowed to ride designated races.

Licensee is found in violation of:

15.2.5.13(E)(2)(a) Post to Finish Which states in pertinent part that "A jockey shall not ride carelessly or willfully so as to permit his/her mount to interfere with, impede or intimidate any other horse in the race."
15.2.5.13(E)(3)(d) Post to Finish In a straightaway race, every horse must maintain position as nearly as possible in the lane in which it starts. If a horse is ridden, drifts or swerves out of its lane in such a manner that it interferes with, impedes or intimidates another horse, it is a foul and may result in the disqualification of the offending horse.

This ruling is written in accordance with:

15.2.5.13(E)(3)(c) NMAC: Post to Finish 15.2.5.13(E)(3)(d) NMAC: Post to Finish 15.2.5.13(E)(4)(a) NMAC Disqualification./15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys/15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority /16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2017-ZIA-118

Track

Zia Park

Ruling Date:

10/29/2017

Licensee:

HERRERA, RAUL

License Type:

2017 3 Year Jockey

Applicant Id:

46080

License Number:

286057

Ruling #

2017-ZIA-118

Action Type:

**Initial Ruling** 

Ruling:

Jockey Raul Herrera, having been summoned and having appeared before the Board of Stewards October 29, 2017, for careless riding during the running of the 9th race on October 28, 2017at Zia Park Racetrack, while aboard "SIGNATURE OF A HERO".

For this violation, the Board of Stewards assessed Raul Herrera, a suspension of 4 race days (November 6, 2017, November 7, 2017, November 11, 2017, and November 12, 2017). Raul Herrera, is allowed to ride designated races.

Licensee is found in violation of:

15.2.5.13(E)(2)(a) NMAC: Post to Finish Which states in pertinent part that "A jockey shall not ride carelessly or willfully so as to permit his/her mount to interfere with, impede or intimidate any other horse in the race."

15.2.5.13(E)(3)(d) NMAC: Post to Finish (d) In a straightaway race, every horse must maintain position as nearly as possible in the lane in which it starts. If a horse is ridden, drifts or swerves out of its lane in such a manner that it interferes with, impedes or intimidates another horse, it is a foul and may result in the disqualification of the offending horse.

This ruling is written in accordance with:

15.2.5.13(E)(3)(c) NMAC: Post to Finish Which states in pertinent part that "If the Stewards determine the foul was intentional, or due to careless riding, they may fine or suspend the guilty jockey."

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

Didet Smith





Ruling #:

2017-ZIA-119

Track

Zia Park

Ruling Date:

10/29/2017

Licensee:

SALAZAR, LEROY E

License Type:

2017 1 Year Trainer

Applicant Id:

25389

License Number:

287325

Ruling #

2017-ZIA-119

Action Type:

**Initial Ruling** 

Ruling:

Trainer/Owner Leroy E. Salazar having been duly notified, failing to appear, and had his hearing held in absentia, in accordance with 15.2.1.9(B)(4)(d)non-appearance, before the Board Of Stewards on October 29, 2017, regarding an affidavit from UC/Davis (official testing laboratory for the NMSRC), for a confirmed positive for the therapeutic drug, <u>Triamcinolone Acetonide</u> (class 4 penalty category C drug) found in test sample NM29088 taken from entry "Carver Waves" who was scratched at the gate by the Stewards, on the advice of the Racing Veterinarian, prior to the 1st race, on September 14, 2017, at The New Mexico State Fair in Albuquerque, NM.

The original lab report indicated a finding of Triamcinolone Acetonide at 860 pg/ml in the blood, the allowable limit for Triamcinolone Acetonide is 100 pg/ml in the blood (15.2.6.9 Attachment 2 Page 6). Split sample rights as provided in 15.2.6.10 (D) NMAC, were afforded to and declined by Leroy E. Salazar.

Leroy E. Salazar and Saul Medina-Quinones were the owners of record for horse "Carver Waves". Saul Medina-Quinones was duly notified and failed to appear for this hearing. In accordance with 15.2.6.9(B)(4) PENALTY RECOMMENDATIONS, this is Saul Medina-Quinones FIRST Class 4C major medication violation in a 365 day period.

For Leroy E. Salazar's FIRST Class 4C major medication violation (AS AN OWNER AND A TRAINER) in a 365 day period, issued in accordance with 15.2.6.9 (B)(4) PENALTY RECOMMENDATIONS, the Board of Stewards assessed a penalty as follows:

- 1. A (\$1,000) one thousand dollar fine to paid on or before November 29, 2017, in accordance with 15.2.3.8 (B)(3)(I) NMAC. Failure to pay fine in prescribed time may result in an immediate suspension.
- 2. The horse "Carver Waves" must pass a commission approved veterinary examination before being eligible to enter.

Licensee is found to be in violation of:

15.2.6.9(C)(1): Prima Facie Evidence /16.47.1.8 (U)(1) PROTECTION OF HORSES /15.2.6.9 Attachment 2, Page 6 Threshold limit for Triamcinolone Acetonide 100 pg/ml in the blood 15.2.6.9(B)(4) PENALTY RECOMMENDATIONS:

This ruling is written in accordance with: 15.2.6.9 CLASS 4 UNIFORM CLASSIFICATION GUIDELINES/15.2.6.11(A): Trainer Responsibility /16.47.1.10 (C) (5) TRAINER RESPONSIBILITY: 16.47.1.10(B)(1): Absolute Insurer / 16.47.1.8 (L)(1)(i) - CONDUCT /15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General

Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal / 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal



Ruling #:

2017-ZIA-120

Track

Zia Park

Ruling Date:

10/29/2017

Licensee:

MEDINA-QUINONES, SAUL

License Type:

2015 3 Year Owner Applicant Id:

157880

License Number: 278914

Ruling #

2017-ZIA-120

Action Type:

**Initial Ruling** 

Ruling:

Owner Saul Medina-Quinones having been duly notified, failing to appear, and had his hearing held in absentia, in accordance with 15.2.1.9(B)(4)(d)non-appearance, before the Board Of Stewards on October 29, 2017, regarding an affidavit from UC/Davis (official testing laboratory for the NMSRC), for a confirmed positive for the therapeutic drug, <u>Triamcinolone Acetonide</u> (class 4 penalty category C drug) found in test sample NM29088 taken from entry "Carver Waves" who was scratched at the gate by the Stewards, on the advice of the Racing Veterinarian, prior to the 1st race, on September 14, 2017, at The New Mexico State Fair in Albuquerque, NM.

The original lab report indicated a finding of Triamcinolone Acetonide at 860 pg/ml in the blood, the allowable limit for Triamcinolone Acetonide is 100 pg/ml in the blood (15.2.6.9 Attachment 2 Page 6). Split sample rights as provided in 15.2.6.10 (D) NMAC, were afforded to and declined by the trainer Leroy E. Salazar.

Leroy E. Salazar, the trainer and partial owner of record for horse "Carver Waves", was duly notified and failed to appear for this hearing .In accordance with 15.2.6.9(B)(4) PENALTY RECOMMENDATIONS, this is his FIRST Class 4C major medication violation (as an owner and triner) in a 365 day period. For Saul Medina-Quinones's FIRST Class 4C major medication violation in a 365 day period, issued in accordance with 15.2.6.9 (B)(4) PENALTY RECOMMENDATIONS, the Board of Stewards assessed a penalty as follows:

1. The horse "Carver Waves" must pass a commission approved veterinary examination before being eligible to enter.

Licensee is found to be in violation of:

15.2.6.9(C)(1): Prima Facie Evidence /16.47.1.8 (U)(1) PROTECTION OF HORSES /15.2.6.9 Attachment 2, Page 6 Threshold limit for Triamcinolone Acetonide 100 pg/ml in the blood /15.2.6.9(B)(4) PENALTY RECOMMENDATIONS:

Wister Senson

This ruling is written in accordance with:
15.2.6.9 CLASS 4 UNIFORM CLASSIFICATION GUIDELINES/15.2.6.11(A): 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T)

KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards