

Ruling #:

2017-ZIA-4

Track

Zia Park

Ruling Date:

9/11/2017

Licensee:

ORONA, SR MARTIN RODRIGUEZ

License Type:

2016 3 Year Trainer

Applicant Id:

19384

License Number:

284004

Ruling #

2017-ZIA-4

Action Type:

**Initial Ruling** 

Ruling:

<u>Trainer Martin Orona Sr</u> having been represented by Martin Orona Jr., who waived his rights to a 10 day notice and formal hearing, before the Board of Stewards on <u>September 11, 2017</u>, for failure to declare equipment <u>(Flipping Halter Off)</u> at time of entry for <u>"SINSATIONAL TACHA"</u>, entered in <u>the 6th race on September 10, 2017 at Zia Park Racetrack.</u>

For this rule violation, the Board of Stewards assessed <u>Martin Orona Sr</u> a penalty in the amount of <u>two hundred dollars (\$ 200.00)</u>. The fine is to paid on or before <u>October 11, 2017</u>, in accordance with 15.2.3.8(B)(3)(I) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension.

### Licensee is found to be in violation of:

15.2.5.8 (B)(10) Procedure For Entries Any approved change of equipment must be declared at time of entry. Any changes after that time must be approved by the stewards.

15.2.5.8 (B)(6) Procedure For Entries No alteration may be made in any entry after the closing of entries, but an error may be corrected with permission of the stewards

15.2.5.13 (A)(6) Equipment For The Running Of The Race: No licensee may add blinkers to a horse's equipment or discontinue their use without the prior approval of the starter, the paddock judge, and the stewards.

15.2.6.11(E)(10)Trainer Responsibility The trainer is responsible for ensuring proper bandages, equipment and shoes.

This ruling is written in accordance with:

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)
(a) Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filled not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2017-ZIA-5

Track

Zia Park

Ruling Date:

9/11/2017

Licensee:

GONZALEZ-VIGI, EFRAIN

License Type:

2017 3 Year Jockey

Applicant Id:

33494

License Number:

287447

Ruling #

2017-ZIA-5

Action Type:

**Initial Ruling** 

Ruling:

Jockey, <u>Efrain Gonzalez-Vigi</u> having been summoned and having appeared before the Board of Stewards, on <u>September 10, 2017</u>, for failure to make his reported weight, which resulted in a late scratch and mutuel refund for entry "<u>MSFERRARI EDITION"</u> in the 9th race on <u>September 9, 2017, at Zia Park Racetrack.</u>

The Board of Stewards hereby assesses **Efrain Gonzalez-Vigi.** a penalty in the amount of **four hundred dollars (\$400.00).** The fine is to be paid on or before **October 11, 2017**, in accordance with 15.2.3.8(B)(3)(l) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension.

### Licensee is found to be in violation of:

15.2.5.13(C)(1) Which states in pertinent part that "Jockeys shall report to the Jockey's quarters at the time designated by the association. Jockeys shall report their engagements and any overweight to the clerk of scales. Jockeys shall not leave the Jockeys' quarters, except to ride in scheduled races, until all of their riding engagements of the day have been fulfilled except as approved by the Stewards."

#### This ruling is written in accordance with:

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys, 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority ,16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2017-ZIA-6

Track

Zia Park

Ruling Date:

9/12/2017

Licensee:

PEREZ, MIGUEL ANGEL

License Type:

2015 3 Year Jockey

Applicant Id:

31329

License Number:

246749

Ruling #

2017-ZIA-6

Action Type:

**Initial Ruling** 

Ruling:

Jockey, <u>Miguel Perez</u>, having been summoned and having appeared before the Board of Stewards <u>on</u> <u>September 12, 2017</u>, for careless riding and using poor judgment during the running of the <u>2nd race</u> <u>on September 11, 2017</u>, at <u>Zia Park</u>, while aboard <u>"ELEUTHEROS"</u>. (Ran up in a bad spot in the turn, which placed himself and his mount in a dangerous position, then he bore in and bumped #3 several times, nearly unseating the 3 rider).

For this violation, the Board of Stewards assess <u>Miguel Perez</u> a suspension of 4 race days (<u>September 23, 2017, September 24, 2017, September 25, 2017, and September 26, 2017</u>). <u>Miguel Perez</u> is allowed to ride designated races.

#### Licensee is found in violation of:

15.2.5.13(E)(2)(a) NMAC: Post to Finish Which states in pertinent part that "A jockey shall not ride carelessly or willfully so as to permit his/her mount to interfere with, impede or intimidate any other horse in the race."

15.2.5.13(E)(3)(a) NMAC: Which states in pertinent part that "When the way is clear in a race, a horse may be ridden to any part of the course, but if any horse swerves, or is ridden to either side, so as to interfere with, impede, or intimidate any other horse, it is a foul."

#### This ruling is written in accordance with:

15.2.5.13(E)(3)(c) NMAC: Post to Finish Which states in pertinent part that "If the Stewards determine the foul was intentional, or due to careless riding, they may fine or suspend the guilty jockey."

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)
(a) Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

### By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2017-ZIA-7

Track

Zia Park

Ruling Date:

9/17/2017

Licensee:

HEBERT, TRACY JAMES

License Type:

2016 3 Year Jockey

Applicant Id:

159170

License Number: 283307

Ruling #

2017-ZIA-7

Action Type:

**Initial Ruling** 

Ruling:

Tracy Hebert, having been summoned and having failed to appear or send a jockey guild's representative for film review regarding the 9nd race on September 4, 2017 at Ruidoso Downs, is hereby assessed a fine of one hundred dollars (\$100.00). The fine is to be paid on or before October 17, 2017, in accordance with 15.2.3.8(B)(3)(I). Failure to pay the fine within the time prescribed may result in immediate suspension.

### Licensee is found in violation of:

15.2.1.9(B)(4)(d) Nonappearance Of A Summoned Party Which states in pertinent part that "Nonappearance of a summoned party after adequate notice shall be construed as a waiver of the right to a hearing before the board of stewards.

15.2.1.9(B)(4)(A) Notice Of Hearing- Jockey Except as provided by these rules regarding summary suspension, jockey riding infractions and trial races, the stewards or a racing commission designee shall provide written notice, at least 10 days before the hearing, to a person who is the subject of a disciplinary hearing. The person may waive their right to 10 days notice by executing a written waiver.

#### This ruling is written in accordance with:

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/ 15.2.3.8 B (1) (a)(c)(d)Stewards General Authority /16.47.1.8 (T) KNOWLEDGE OF RULES/16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required/ 16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal / 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

#### By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

Dealet Smith (Ill Cultury)



Ruling #:

2017-ZIA-8

Track

Zia Park

Ruling Date:

9/17/2017

Licensee:

HEBERT, TRACY JAMES

License Type:

2016 3 Year Jockey

Applicant Id:

159170

License Number: 283307

Ruling #

2017-ZIA-8

Action Type:

Initial Ruling

Ruling:

Jockey Tracy Hebert, having been summoned, failing to appear, and had his hearing held in absentia, in accordance with 15.2.1.9(B)(4)(d)non-appearance, before the Board of Stewards on September 16, 2017, for failure to make sufficient effort to control his mount "ON THE LOW DOWN", in the 9th race on September 4, 2017, at Ruidoso Downs. (Drifted in on #10 at the top of the stretch.)

The Board of Stewards hereby assesses, Tracy Hebert, a fine in the amount of two hundred dollars, (\$200.00). This fine is to be paid on or before October 17, 2017, in accordance with 15.2.3.8 (B)(3)(1) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension.

### Licensee is found in violation of:

15.2.5.13(E)(2)(a) NMAC: Post to Finish Which states in pertinent part that "A jockey shall not ride carelessly or willfully so as to permit his/her mount to interfere with, impede or intimidate any other horse in the race."

15.2.5.13(E)(3)(a) NMAC: Post to Finish When the way is clear in a race, a horse may be ridden to any part of the course, but if any horse swerves, or is ridden to either side, so as to interfere with, impede, or intimidate any other horse, it is a foul."

#### This ruling is written in accordance with:

15.2.5.13(E)(3)(c) NMAC: Post to Finish Which states in pertinent part that "If the Stewards determine the foul was intentional, or due to careless riding, they may fine or suspend the guilty jockey.'

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES/16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal / 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

### By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

Dialet Smith



Ruling #:

2017-ZIA-9

Track

Zia Park

Ruling Date:

9/17/2017

Licensee:

CASTILLO, JR FERNANDO

License Type:

2014 3 Year Jockey

Applicant Id:

31628

License Number: 164231

Ruling #

2017-ZIA-9

Action Type:

Initial Ruling

Ruling:

Jockey Fernando Castillo Jr. having been duly notified and represented by jockey Raul Herrera before the Board of Stewards on September 17, 2017, for his excessive use of the whip on his mount "SERENA SLEW" during the running of the 4th race on September 9, 2017, at Zia Park Racetrack.

The Board of Stewards assesses Fernando Castillo Jr. a penalty in the amount of two hundred dollars (\$200.00). The fine is to be paid on or before October 23, 2017, in accordance with 15.2.3.8 (B)(3)(I). Failure to pay the fine within the time prescribed may result in immediate suspension.

#### Licensee is found to be in violation of:

15.2.5.13(E)(6)(e) Use of riding crops. Indiscriminate use of the whip is prohibited including whipping a horse: on the head, flanks or on any other part of its body other than the shoulders or hind quarters except when necessary to control a horse; during the post parade or after the finish of the race except when necessary to control the horse; excessively or brutally causing welts or breaks in the skin; when the horse is clearly out of the race or has obtained its maximum placing; persistently even though the horse is showing no response under the riding crop; or striking another rider or horse.

#### This ruling is written in accordance with:

15.2.1.9(B)(4)(A)Jockeys Notice of Hearing 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority/16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a)Failure to Appeal 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission

offices, or with the Stewards who issued the ruling.

Dealt Smith



Ruling #:

2017-ZIA-10

Track

Zia Park

Ruling Date:

9/18/2017

Licensee:

LAYLAND, WALTER JULIAN

License Type:

2016 1 Year Clerk of S Applicant Id:

11828

License Number:

283422

Ruling #

2017-ZIA-10

Action Type:

Initial Ruling

Ruling:

<u>Clerk of Scales Walter Layland</u> having been duly notified and having waived his rights to a 10 day notice of hearing before the Board of Stewards on <u>September 18, 2017</u>, for failure to conduct the duties of an Official (Clerk of Scales) in a professional manner on September 9, 2017, at Zia Park Racetrack.

For this rule violation, the Board of Stewards assessed <u>Walter Layland</u> a penalty in the amount of <u>two hundred dollars (\$200.00)</u>. The fine is to be paid on or before <u>October 18, 2017</u>, in accordance with 15.2.3.8(B)(3)(l) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension.

### Licensee is found to be in violation of:

16.47.1.8 (L)(1)(i) – CONDUCT The Commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued or order disciplinary measures, if the applicant is a person whose conduct or reputation may reflect on the honesty and integrity of horse racing or interfere with the orderly conduct of a race meet. Interfering with the orderly conduct of the race meet shall include, but not limit to, disruptive or intemperate behavior or behavior which exposes others to danger anywhere on the racetrack grounds. The fact that the race meet was not actually interrupted is not a defense to the imposition of discipline under this rule."

### This ruling is written in accordance with:

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES/16.47.1.3 Statutory Authority
16.47.1.8 (A)(1)(2) License Required/16.47.1.8(N) Last Known Address/16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/15.2.1.9(B)(9)
(a)Failure to Appeal/15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

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Ruling #:

2017-ZIA-11

Track

Zia Park

Ruling Date:

9/18/2017

Licensee:

VALERO, ROBERTO

License Type:

2015 3 Year Jockey

Applicant Id:

14629

License Number:

277123

Ruling #

2017-ZIA-11

Action Type:

Initial Ruling

Ruling:

Roberto Valero, having been summoned by the Zia Park Board of Stewards and having failed to appear or send a jockey guild's representative, on September 18, 2017, is hereby assessed a fine of one hundred dollars (\$100.00). The fine is to be paid on or before October 18, 2017, in accordance with 15.2.3.8(B)(3)(I). Failure to pay the fine within the time prescribed may result in immediate suspension.

### Licensee is found in violation of:

15.2.1.9(B)(4)(d) Nonappearance Of A Summoned Party Which states in pertinent part that "Nonappearance of a summoned party after adequate notice shall be construed as a waiver of the right to a hearing before the board of stewards.

15.2.1.9(B)(4)(A) Notice Of Hearing- Jockey Except as provided by these rules regarding summary suspension, jockey riding infractions and trial races, the stewards or a racing commission designee shall provide written notice, at least 10 days before the hearing, to a person who is the subject of a disciplinary hearing. The person may waive their right to 10 days notice by executing a written waiver.

This ruling is written in accordance with:

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/ 15.2.3.8 B (1) (a)(c)(d) Stewards

General Authority /16.47.1.8 (T) KNOWLEDGE OF RULES/16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required/ 16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal / 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

#### By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2017-ZIA-12

Track

Zia Park

Ruling Date:

9/18/2017

Licensee:

VALERO, ROBERTO

License Type:

2015 3 Year Jockey

Applicant Id: 14629

License Number: 277123

Ruling #

2017-ZIA-12

Action Type:

**Initial Ruling** 

Ruling:

Jockey, <u>Roberto Valero</u> having been summoned, failing to appear, and had <u>his</u> hearing held in absentia, in accordance with <u>15.2.1.9(B)(4)(d)non-appearance</u>, before the Board of Stewards, on <u>September 18, 2017</u>, for failure to report his weight at the designated time (1 hour prior to post) on <u>September 17, 2017</u>, at <u>Zia Park Racetrack</u>.

The Board of Stewards hereby assesses **Roberto Valero** a penalty in the amount of **one hundred dollars (\$100.00)**. The fine is to be paid on or before **October 18, 2017**, in accordance with 15.2.3.8(B)(3)(l) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension.

### Licensee is found to be in violation of:

15.2.5.13(C)(1) Which states in pertinent part that "Jockeys shall report to the Jockey's quarters at the time designated by the association. Jockeys shall report their engagements and any overweight to the clerk of scales. Jockeys shall not leave the Jockeys' quarters, except to ride in scheduled races, until all of their riding engagements of the day have been fulfilled except as approved by the Stewards."

### This ruling is written in accordance with:

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys, 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES/16.47.1.3 Statutory Authority, 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

Welet Smith



Ruling #:

2017-ZIA-13

Track

Zia Park

Ruling Date:

9/18/2017

Licensee:

BECERRA, JR SERGIO

License Type:

2017 3 Year Jockey

Applicant Id:

32539

License Number:

284870

Ruling #

2017-ZIA-13

Action Type:

Initial Ruling

Ruling:

Sergio Becerra Jr., having been summoned by the Zia Park Board of Stewards and having failed to appear or send a jockey guild's representative, on September 18, 2017, is hereby assessed a fine of one hundred dollars (\$100.00). The fine is to be paid on or before October 18, 2017, in accordance with 15.2.3.8(B)(3)(l). Failure to pay the fine within the time prescribed may result in immediate suspension.

### Licensee is found in violation of:

15.2.1.9(B)(4)(d) Nonappearance Of A Summoned Party Which states in pertinent part that "Nonappearance of a summoned party after adequate notice shall be construed as a waiver of the right to a hearing before the board of stewards.

15.2.1.9(B)(4)(A) Notice Of Hearing- Jockey Except as provided by these rules regarding summary suspension, jockey riding infractions and trial races, the stewards or a racing commission designee shall provide written notice, at least 10 days before the hearing, to a person who is the subject of a disciplinary hearing. The person may waive their right to 10 days notice by executing a written waiver.

### This ruling is written in accordance with:

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/ 15.2.3.8 B (1) (a)(c)(d)Stewards General Authority /16.47.1.8 (T) KNOWLEDGE OF RULES/16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required/ 16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)
(a) Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

### By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2017-ZIA-14

Track

Zia Park

Ruling Date:

9/18/2017

Licensee:

BECERRA, JR SERGIO

License Type:

2017 3 Year Jockey

Applicant Id: 32539

License Number: 284870

Ruling #

2017-ZIA-14

Action Type:

Initial Ruling

Ruling:

Jockey, <u>Sergio Becerra Jr.</u>having been summoned, failing to appear, and had <u>his</u> hearing held in absentia, in accordance with <u>15.2.1.9(B)(4)(d)non-appearance</u>, before the Board of Stewards, on <u>September 18, 2017</u>, for failure to report his weight at the designated time (1 hour prior to post) on <u>September 17, 2017</u>, at <u>Zia Park Racetrack</u>.

The Board of Stewards hereby assesses <u>Sergio Becerra Jr.</u>a penalty in the amount of <u>one hundred dollars (\$100.00)</u>. The fine is to be paid on or before <u>October 18, 2017</u>, in accordance with 15.2.3.8(B)(3)(l) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension.

### Licensee is found to be in violation of:

15.2.5.13(C)(1) Which states in pertinent part that "Jockeys shall report to the Jockey's quarters at the time designated by the association. Jockeys shall report their engagements and any overweight to the clerk of scales. Jockeys shall not leave the Jockeys' quarters, except to ride in scheduled races, until all of their riding engagements of the day have been fulfilled except as approved by the Stewards."

### This ruling is written in accordance with:

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys, 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES/16.47.1.3 Statutory Authority, 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

#### By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

Walit Smith



Ruling #:

2017-ZIA-15

Track

Zia Park

Ruling Date:

9/18/2017

Licensee:

SALCEDO, MAURO

License Type:

2017 1 Year Duplicate Applicant Id:

137075

License Number: 284667

Ruling #

2017-ZIA-15

Action Type:

**Initial Ruling** 

Ruling:

Mauro Salcedo, having been summoned by the Zia Park Board of Stewards and having failed to appear or send a jockey guild's representative on September 18, 2017, is hereby assessed a fine of one hundred dollars (\$100.00). The fine is to be paid on or before October 18, 2017, in accordance with 15.2.3.8(B)(3)(I). Failure to pay the fine within the time prescribed may result in immediate suspension.

### Licensee is found in violation of:

15.2.1.9(B)(4)(d) Nonappearance Of A Summoned Party Which states in pertinent part that "Nonappearance of a summoned party after adequate notice shall be construed as a waiver of the right to a hearing before the board of stewards.

15.2.1.9(B)(4)(A) Notice Of Hearing- Jockey Except as provided by these rules regarding summary suspension, jockey riding infractions and trial races, the stewards or a racing commission designee shall provide written notice, at least 10 days before the hearing, to a person who is the subject of a disciplinary hearing. The person may waive their right to 10 days notice by executing a written waiver.

This ruling is written in accordance with: 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/ 15.2.3.8 B (1) (a)(c)(d)Stewards General Authority /16.47.1.8 (T) KNOWLEDGE OF RULES/16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required/ 16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal / 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

Diset Smith



Ruling #: 2017-ZIA-16

Track Zia Park

Ruling Date: 9/18/2017

Licensee: SALCEDO, MAURO

License Type: 2017 1 Year Duplicate Applicant Id: 137075

License Number: 284667

Ruling # 2017-ZIA-16

Action Type: Initial Ruling

Ruling:

Jockey, <u>Mauro Salcedo</u> having been summoned, failing to appear, and had <u>his</u> hearing held in absentia, in accordance with <u>15.2.1.9(B)(4)(d)non-appearance</u>, before the Board of Stewards, on <u>September 18, 2017</u>, for failure to report his weight at the designated time (1 hour prior to post) on <u>September 17, 2017</u>, at <u>Zia Park Racetrack</u>.

The Board of Stewards hereby assesses <u>Mauro Salcedo</u> a penalty in the amount of <u>one hundred</u> <u>dollars (\$100.00)</u>. The fine is to be paid on or before <u>October 18, 2017</u>, in accordance with 15.2.3.8(B)(3)(l) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension.

### Licensee is found to be in violation of:

15.2.5.13(C)(1) Which states in pertinent part that "Jockeys shall report to the Jockey's quarters at the time designated by the association. Jockeys shall report their engagements and any overweight to the clerk of scales. Jockeys shall not leave the Jockeys' quarters, except to ride in scheduled races, until all of their riding engagements of the day have been fulfilled except as approved by the Stewards."

#### This ruling is written in accordance with:

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys, 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES/16.47.1.3 Statutory Authority, 16.47.1.8 (A)(1)(2) License Required/16.47.1.8(N) Last Known Address/16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/15.2.1.9(B)(9)(a)Failure to Appeal/15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2017-ZIA-17

Track

Zia Park

Ruling Date:

9/18/2017

Licensee:

ORTIZ, JOSE ENRIQUE

License Type:

2016 3 Year Jockey

Applicant Id:

35364

License Number:

281100

Ruling #

2017-ZIA-17

**Action Type:** 

Initial Ruling

Ruling:

Jose Enrique Ortiz, having been summoned by the Zia Park Board of Stewards and having failed to appear or send a jockey guild's representative on September 18, 2017, is hereby assessed a fine of one hundred dollars (\$100.00). The fine is to be paid on or before October 18, 2017, in accordance with 15.2.3.8(B)(3)(I). Failure to pay the fine within the time prescribed may result in immediate suspension.

### Licensee is found in violation of:

15.2.1.9(B)(4)(d) Nonappearance Of A Summoned Party Which states in pertinent part that "Nonappearance of a summoned party after adequate notice shall be construed as a waiver of the right to a hearing before the board of stewards.

15.2.1.9(B)(4)(A) Notice Of Hearing- Jockey Except as provided by these rules regarding summary suspension, jockey riding infractions and trial races, the stewards or a racing commission designee shall provide written notice, at least 10 days before the hearing, to a person who is the subject of a disciplinary hearing. The person may waive their right to 10 days notice by executing a written waiver.

### This ruling is written in accordance with:

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/ 15.2.3.8 B (1) (a)(c)(d)Stewards General Authority /16.47.1.8 (T) KNOWLEDGE OF RULES/16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required/ 16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)
(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

### By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2017-ZIA-18

Track

Zia Park

Ruling Date:

9/18/2017

Licensee:

ORTIZ, JOSE ENRIQUE

License Type:

2016 3 Year Jockey

Applicant Id:

35364

License Number:

281100

Ruling #

2017-ZIA-18

**Action Type:** 

Initial Ruling

Ruling:

Jockey, <u>Jose Enrique Ortiz</u>, having been summoned, failing to appear, and had <u>his</u> hearing held in absentia, in accordance with <u>15.2.1.9(B)(4)(d)non-appearance</u>, before the Board of Stewards, on <u>September 18, 2017</u>, for failure to report his weight at the designated time (1 hour prior to post) on <u>September 17, 2017</u>, at <u>Zia Park Racetrack</u>.

The Board of Stewards hereby assesses <u>Jose Enrique Ortiz</u>, a penalty in the amount of <u>one hundred dollars (\$100.00)</u>. The fine is to be paid on or before <u>October 18</u>, <u>2017</u>, in accordance with 15.2.3.8(B)(3)(l) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension.

#### Licensee is found to be in violation of:

15.2.5.13(C)(1) Which states in pertinent part that "Jockeys shall report to the Jockey's quarters at the time designated by the association. Jockeys shall report their engagements and any overweight to the clerk of scales. Jockeys shall not leave the Jockeys' quarters, except to ride in scheduled races, until all of their riding engagements of the day have been fulfilled except as approved by the Stewards."

#### This ruling is written in accordance with:

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys, 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES/16.47.1.3 Statutory Authority, 16.47.1.8 (A)(1)(2) License Required/16.47.1.8(N) Last Known Address/16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/15.2.1.9(B)(9)(a)Failure to Appeal/15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main commission

offices, or with the Stewards who issued the ruling.

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Ruling #: 2017-ZIA-19

Track Zia Park

Ruling Date: 9/18/2017

Licensee: CORDOVA, OSCAR

License Type: 2014 3 Year Jockey Applicant Id: 31477

License Number: 184705

Ruling # 2017-ZIA-19

Action Type: Initial Ruling

Ruling:

Oscar Cordova, having been summoned by the <u>Board of Stewards</u> and having failed to appear or send a jockey guild's representative <u>on September 18, 2017, at Zia Park Racetrack</u>, is hereby assessed a fine of <u>one hundred dollars</u> (\$100.00). The fine is to be paid on or before <u>October 18, 2017</u>, in accordance with 15.2.3.8(B)(3)(l). Failure to pay the fine within the time prescribed may result in immediate suspension.

### Licensee is found in violation of:

15.2.1.9(B)(4)(d) Nonappearance Of A Summoned Party Which states in pertinent part that "Nonappearance of a summoned party after adequate notice shall be construed as a waiver of the right to a hearing before the board of stewards.

15.2.1.9(B)(4)(A) Notice Of Hearing- Jockey Except as provided by these rules regarding summary suspension, jockey riding infractions and trial races, the stewards or a racing commission designee shall provide written notice, at least 10 days before the hearing, to a person who is the subject of a disciplinary hearing. The person may waive their right to 10 days notice by executing a written waiver.

## This ruling is written in accordance with:

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/ 15.2.3.8 B (1) (a)(c)(d)Stewards General Authority /16.47.1.8 (T) KNOWLEDGE OF RULES/16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required/ 16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)
(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

### By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2017-ZIA-20

Track

Zia Park

Ruling Date:

9/18/2017

Licensee:

CORDOVA, OSCAR

License Type:

2014 3 Year Jockey

Applicant Id:

31477

License Number: 184705

Ruling #

2017-ZIA-20

**Action Type:** 

**Initial Ruling** 

Ruling:

Jockey Oscar Cordova, having been duly notified, failing to appear, and had his hearing held in absentia, in accordance with 15.2.1.9(B)(4)(d)non-appearance, before the Board of Stewards on September 18, 2017, for his misuse use of the whip on his mount "FRST MOON GAME" during the post parade/ warm-up for the 6th race on September 17, 2017, at Zia Park Racetrack.

The Board of Stewards hereby assess Oscar Cordova a penalty in the amount of one hundred dollars (\$100.00). The fine is to be paid on or before October 18, 2017, in accordance with 15.2.3.8(B)(3)(I) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension.

#### Licensee is found to be in violation of:

15.2.5.13(E)(6)(e) Use of riding crops. Indiscriminate use of the whip is prohibited including whipping a horse: on the head, flanks or on any other part of its body other than the shoulders or hind quarters except when necessary to control a horse; during the post parade or after the finish of the race except when necessary to control the horse; excessively or brutally causing welts or breaks in the skin; when the horse is clearly out of the race or has obtained its maximum placing; persistently even though the horse is showing no response under the riding crop; or striking another rider or horse.

#### This ruling is written in accordance with:

15.2.1.9(B)(4)(A) Notice of hearing for Jockeys 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal / 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

#### By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2017-ZIA-21

Track

Zia Park

Ruling Date:

9/18/2017

Licensee:

CERVANTES, JR ADRIAN

License Type:

2016 1 Year Jockey

Applicant Id:

19713

License Number:

283686

Ruling #

2017-ZIA-21

Action Type:

**Initial Ruling** 

Ruling:

Adrian Cervantes, having been summoned and having failed to appear or send a jockey guild's representative for film review regarding the 9th race on September 17, 2017at Zia Park Racetrack, is hereby assessed a fine of one hundred dollars (\$100.00). The fine is to be paid on or before October 18, 2017, in accordance with 15.2.3.8(B)(3)(I). Failure to pay the fine within the time prescribed may result in immediate suspension.

### Licensee is found in violation of:

15.2.1.9(B)(4)(d) Nonappearance Of A Summoned Party Which states in pertinent part that "Nonappearance of a summoned party after adequate notice shall be construed as a waiver of the right to a hearing before the board of stewards.

15.2.1.9(B)(4)(A) Notice Of Hearing- Jockey Except as provided by these rules regarding summary suspension, jockey riding infractions and trial races, the stewards or a racing commission designee shall provide written notice, at least 10 days before the hearing, to a person who is the subject of a disciplinary hearing. The person may waive their right to 10 days notice by executing a written waiver.

### This ruling is written in accordance with:

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/ 15.2.3.8 B (1) (a)(c)(d)Stewards General Authority /16.47.1.8 (T) KNOWLEDGE OF RULES/16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required/ 16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)
(a) Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

#### By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2017-ZIA-22

Track

Zia Park

Ruling Date:

9/18/2017

Licensee:

CERVANTES, JR ADRIAN

License Type:

2016 1 Year Jockey

Applicant Id:

19713

License Number:

283686

Ruling #

2017-ZIA-22

Action Type:

Initial Ruling

Ruling:

check."

Jockey Adrian Cervantes, having been duly notified having been duly notified, failing to appear, and had <a href="https://his.nlm.nih.google.com">his.</a> hearing held in absentia, in accordance with <a href="https://his.nlm.nih.google.com">15.2.1.9(B)(4)(d)non-appearance</a>, before the Board of Stewards on <a href="https://his.nlm.nih.google.com">September 18, 2017</a>, for filing a frivolous claim of foul while aboard, <a href="https://his.nlm.nih.google.com">"ODS CON MAN"</a>, during the running of the <a href="https://his.nlm.nih.google.com">9th race on September 17, 2017 at Zia Park Racetrack</a>.

The Board of Stewards hereby assesses, <a href="https://his.nlm.nih.google.com">Adrian Cervantes</a>, a fine in the amount of <a href="https://his.nlm.nih.google.com">one hundred dollars</a>, <a href="https://his.nlm.nih.google.com">(\$100.00)</a>. This fine is to be paid on or before <a href="https://his.nlm.nih.google.com">October 18, 2017</a>, in accordance with 15.2.3.8 (B)(3)(I) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension.

### Licensee is found in violation of:

15.2.5.14(D)(4) No person shall file any objection or protest knowing the same to be inaccurate, false, untruthful or frivolous.
15.2.5.13(E)(2)(c) No jockey shall unnecessarily cause their horse to shorten its stride so as to give the appearance of having suffered a foul.

#### This ruling is written in accordance with

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority
16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)
(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal

#### By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2017-ZIA-23

Track

Zia Park

Ruling Date:

9/18/2017

Licensee:

CERVANTES, JR ADRIAN

License Type:

2016 1 Year Jockey

Applicant Id:

19713

License Number:

283686

Ruling #

2017-ZIA-23

Action Type:

**Initial Ruling** 

Ruling:

Jockey <u>Adrian Cervantes</u> having been duly notified having been duly notified, failing to appear, and had <u>his</u> hearing held in absentia, in accordance with <u>15.2.1.9(B)(4)(d)non-appearance</u>, before the Board of Stewards on <u>September 18, 2017</u>, for his excessive use of the whip on his mount <u>"QDS CON MAN"</u> during the running of the <u>9th race on September 17, 2017at Zia Park Racetrack.</u>

The Board of Stewards assesses <u>Adrian Cervantes</u> a penalty in the amount of <u>one hundred dollars</u> (\$100.00). The fine is to be paid on or before <u>October 18, 2017</u>, in accordance with 15.2.3.8 (B)(3) (I). Failure to pay the fine within the time prescribed may result in immediate suspension.

#### Licensee is found to be in violation of:

15.2.5.13(E)(6)(e) Use of riding crops. Indiscriminate use of the whip is prohibited including whipping a horse: on the head, flanks or on any other part of its body other than the shoulders or hind quarters except when necessary to control a horse; during the post parade or after the finish of the race except when necessary to control the horse; excessively or brutally causing welts or breaks in the skin; when the horse is clearly out of the race or has obtained its maximum placing; persistently even though the horse is showing no response under the riding crop; or striking another rider or horse.

#### This ruling is written in accordance with:

15.2.1.9(B)(4)(A)Jockeys Notice of Hearing 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority/16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

### By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2017-ZIA-24

Track

Zia Park

Ruling Date:

9/19/2017

Licensee:

PEREZ, MIGUEL ANGEL

License Type:

2015 3 Year Jockey

Applicant Id:

31329

License Number: 246749

Ruling #

2017-ZIA-24

Action Type:

**Initial Ruling** 

Ruling:

Jockey, Miguel Perez, having been summoned and having appeared before the Board of Stewards on September 19, 2017, for intentionally steering his horse into another horse following the running of the 3rd race on September 12, 2017, at Zia Park, while aboard "LIMITLESS".

For this violation, the Board of Stewards assess Miguel Perez a suspension of 4 race days (September 30, 2017, October 1, 2017, October 2, 2017, and October 3, 2017). Miguel Perez is allowed to ride designated races.

#### Licensee is found in violation of:

15.2.5.13(E)(2)(a) NMAC: Post to Finish A jockey shall not ride carelessly or willfully so as to permit his/her mount to interfere with, impede or intimidate any other horse in the race.

16.47.1.8 (L)(1)(i) - CONDUCT The Commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued, or order disciplinary measures if the applicant is a person whose conduct or reputation may reflect on the honesty, and integrity of horse racing

#### This ruling is written in accordance with:

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal / 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

### By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2017-ZIA-25

Track

Zia Park

Ruling Date:

9/23/2017

Licensee:

VURGUN, ZAFER

License Type:

2016 3 Year Trainer

Applicant Id:

27833

License Number:

282488

Ruling #

2017-ZIA-25

Action Type:

**Initial Ruling** 

Ruling:

<u>Trainer Zafer Vurgun</u> having waived his rights to a 10 day notice and formal hearing before the Board of Stewards on <u>September 23, 2017</u>, for failure to have sufficient works for entry <u>"BB DEKALBS HOTTIE"</u> which resulted in a scratch in the <u>4th race on September 23, 2017, at Zia Park Racetrack.</u>

The Board of Stewards hereby assess **Zafer Vurgun** a penalty in the amount of **two hundred dollars (\$200.00)**. The fine is to be paid on or before **October 23, 2017**, in accordance with 15.2.3.8(B)(3)(l). Failure to pay the fine within the time prescribed may result in immediate suspension.

### Licensee is found to be in violation of:

15.2.5.11(A) WORKOUT REQUIREMENTS 15.2.5.12 (L) HORSES INELIGABLE 16.47.1.10 (C)(18) TRAINER RESPONSIBILITY

This ruling is written in accordance with:

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES/16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

### By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2017-ZIA-26

Track

Zia Park

Ruling Date:

9/23/2017

Licensee:

PEREZ, MIGUEL ANGEL

License Type:

2017 1 Year Duplicate Applicant Id:

31329

License Number: 284571

Ruling #

2017-ZIA-26

Action Type:

**Initial Ruling** 

Ruling:

Jockey, Miguel Perez, having been summoned and having appeared before Board of Stewards on September 23, 2017, for careless riding during the running of the 8th race on September 19, 2017, at Zia Park Racetrack, while aboard "CONCORD FAST" which resulted in his mount being disqualified from 1st place to 2nd place. (Drifted wide out of far turn and then swung his whip wide causing intimidation to the 4).

Aggravating Factors: This is Miguel Perez's third violation at Zia Park Racetrack since opening day, September 9, 2017.

The Board of Stewards hereby assess Miguel Perez a suspension of 10 calendar days (October 7, 2017, October 8, 2017, October 9, 2017, October 10, 2017, October 11, 2017, October 12, 2017, October 13, 2017, October 14, 2017, October 15, 2017, and October 16, 2017). Miguel Perez is not eligible to ride designated races during this suspension.

### Licensee is found in violation of:

15.2.5.13(E)(2)(a) Post to Finish Which states in pertinent part that "A jockey shall not ride carelessly or willfully so as to permit his/her mount to interfere with, impede or intimidate any other horse in the race."

15.2.5.13(E)(3)(a) Thoroughbred Races When the way is clear in a race, a horse may be ridden to any part of the course, but if any horse swerves, or is ridden to either side, so as to interfere with, impede, or intimidate any other horse, it is a foul."

15.2.5.13(E)(6)(f) RUNNING OF THE RACE The riding crop shall only be used for safety, correction and encouragement.

15.2.5.13(E)(2) (b) Post to Finish No jockey shall carelessly or willfully jostle, strike or touch another jockey or another jockey's horse or equipment. 16.47.1.8 (L)(1)(i) - CONDUCT The Commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued or order disciplinary measures, if the applicant is a person whose conduct or reputation may reflect on the honesty and integrity of horse racing or interfere with the orderly conduct of a race meet. Interfering with the orderly conduct of the race meet shall include, but not limit to, disruptive or intemperate behavior or behavior which exposes others to danger anywhere on the racetrack grounds. The fact that the race meet was not actually interrupted is not a defense to the imposition of discipline under this rule.'

This ruling is written in accordance with:

15.2.5.13(E)(3)(c) Post to Finish/15.2.5.13(E)(3)(d) Post to Finish/15.2.5.13(E)(4)(a) Disqualification/ 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority / 16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority /16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures /15.2.1.9(B)(9)(a) Failure to Appeal / 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check,

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Ruling #: 2017-ZIA-27

Track Zia Park

Ruling Date: 9/23/2017

Licensee: EVANS, JUSTIN ROBERT

License Type: 2015 3 Year Trainer Applicant Id: 30562

License Number: 246959

Ruling # 2017-ZIA-27

Action Type: Initial Ruling

Ruling:

Owner/Trainer <u>Justin Evans</u> having waived his rights to a 10 day notice and formal hearing before the <u>Board Of Stewards on September 23, 2017, regarding an affidavit from UC/Davis (official testing laboratory for the NMSRC), for a confirmed positive for the therapeutic drug, Dexamethasone (class 4 penalty category C drug) found in test sample <u>NM27956</u> taken from the <u>2nd place finisher</u> "<u>Tizpat</u>" following the 7th race, on September 3, 3017, at Ruidoso Downs in Ruidoso, NM.</u>

The original lab report indicated a finding of **Dexamethasone at 212 pg/ml in the blood**, the allowable limit for Dexamethasone is 5 pg/ml in the blood (15.2.6.9 Attachment 2 Page 3). Split sample rights as provided in 15.2.6.10 (D) NMAC, were afforded to and declined by **Justin Evans**.

<u>A and A Equine (Justin Evans) and Harry Veruchi</u> are the owners of record for horse "<u>Tizpat</u>". In accordance with 15.2.6.9(B)(4) PENALTY RECOMMENDATIONS, this is <u>their FIRST Class 4C</u> medication violation in a lifetime.

For Justin Evans's SECOND Class 4C medication violation, issued in accordance with 15.2.6.9 (B)(4) PENALTY RECOMMENDATIONS, the Board of Stewards assessed a penalty as follows:

- 1. A (\$1,500) one thousand five hundred dollars fine to paid on or before October 23, 2017, in accordance with 15.2.3.8 (B)(3)(I) NMAC. Failure to pay fine in prescribed time may result in an immediate suspension.
- 2. <u>A 15 day suspension</u> of all NMRC licenses starting <u>September 27, 2017, through October 11, 2017, inclusive.</u>

Per 15.2.1.9 (C)(21) Exclusion, During said time of suspension Justin Evans is hereby excluded from all areas of the grounds under the jurisdiction of the NMSRC and all horses owned, trained, or under his care are ineligible to be entered or start in any racetrack in this jurisdiction.

Licensee is found to be in violation of:15.2.6.9(C)(1): Prima Facie Evidence /16.47.1.8 (U)(1) PROTECTION OF HORSES/
15.2.6.9 Attachment 2, Page 3 Threshold limit for Dexamethasone 5 pg/ml in the blood /15.2.6.9(B)(4) PENALTY RECOMMENDATIONS This ruling is written in accordance with: 15.2.6.9 CLASS 4 UNIFORM CLASSIFICATION GUIDELINES /15.2.6.11(A): Trainer Responsibility 15.2.6.11(B) Trainer Responsibility 16.47.1.10 (C) (5) TRAINER RESPONSIBILITY/ 16.47.1.10(B)(1): Absolute Insurer 16.47.1.8 (L)(1)(i) — CONDUCT 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d) Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, months of the control of the control

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Ruling #: 2017-ZIA-28

Track Zia Park

Ruling Date: 9/23/2017

Licensee: VERUCHI, HARRY LOUIS

License Type: 2017 3 Year Owner Applicant Id: 16011

License Number: 284649

Ruling #

2017-ZIA-28

Action Type:

Initial Ruling

Ruling:

Owners A and A Equine (Justin Evans) and Harry Veruchi having been noticed telephonically for an informal hearing before the of Stewards on September 23, 2017, regarding an affidavit from UC/Davis (official testing laboratory for the NMSRC), for a confirmed positive for the therapeutic drug, Dexamethasone (class 4 penalty category C drug) found in test sample NM27956 taken from the 2nd place finisher "Tizpat" following the 7th race, on September 3, 3017, at Ruidoso Downs in Ruidoso, NM. The original lab report indicated a finding of Dexamethasone at 212 pg/ml in the blood, the allowable limit for Dexamethasone is 5 pg/ml in the blood (15.2.6.9 Attachment 2 Page 3). Split sample rights as provided in 15.2.6.10 (D) NMAC, were afforded to and declined by the trainer Justin Evans.

The Board of Stewards hereby issue a warning letter to owners <u>A and A Equine (Justin Evans) and Harry Veruchi's for their FIRST Class 4C medication violation in a 365-day period</u>, issued in accordance with <u>15.2.6.9 (B)(4) PENALTY RECOMMENDATIONS</u>,

Licensee is found to be in violation of:15.2.6.9(C)(1): Prima Facie Evidence /15.2.6.9 Attachment 2, Page 3 Threshold limit for Dexamethasone 5 pg/ml in the blood /15.2.6.9(B)(4) PENALTY RECOMMENDATIONS This ruling is written in accordance with: 15.2.6.9 CLASS 4 UNIFORM CLASSIFICATION GUIDELINES /15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

#### By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2017-ZIA-29

Track

Zia Park

Ruling Date:

9/24/2017

Licensee:

BURDEN, GENE LEE

License Type:

2015 3 Year Trainer

Applicant Id:

17996

License Number:

267035

Ruling #

2017-ZIA-29

Action Type:

Initial Ruling

Ruling:

<u>Trainer Gene Burden</u> having been duly notified, failing to appear, and had <u>his</u> hearing held in absentia, in accordance with <u>15.2.1.9(B)(4)(d)non-appearance</u>, before the Board of Stewards on <u>September 23, 2017</u>, for failure to declare equipment <u>(Flipping Halter ON)</u> at time of entry for <u>"JESS COPY ME"</u> entered in <u>6th race on August 4, 2017</u>, at Ruidoso Downs.

The Board of Stewards assess **Gene Burden** a penalty in the amount of **one hundred dollars (\$ 100.00)**. The fine is to paid on or before **October 24, 2017**, in accordance with 15.2.3.8(B)(3)(l) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension.

### Licensee is found to be in violation of:

15.2.5.8 (B)(10) Procedure For Entries Any approved change of equipment must be declared at time of entry. Any changes after that time must be approved by the stewards.

15.2.5.8 (B)(6) Procedure For Entries No alteration may be made in any entry after the closing of entries, but an error may be corrected with permission of the stewards

15.2.5.13 (A)(6) Equipment For The Running Of The Race: No licensee may add blinkers to a horse's equipment or discontinue their use without the prior approval of the starter, the paddock judge, and the stewards.

15.2.6.11(E)(10)Trainer Responsibility The trainer is responsible for ensuring proper bandages, equipment and shoes.

### This ruling is written in accordance with:

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)
(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

### By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #: 2017-ZIA-30

Track Zia Park

Ruling Date: 9/24/2017

Licensee: GONZALEZ, SR JOSE R

License Type: 2015 3 Year Trainer Applicant Id: 19527

License Number: 277277

Ruling # 2017-ZIA-30

Action Type: Initial Ruling

Ruling:

<u>Trainer Jose R Gonzalez</u> having been duly notified, failing to appear, and had <u>his</u> hearing held in absentia, in accordance with <u>15.2.1.9(B)(4)(d)non-appearance</u>, before the Board of Stewards on <u>September 24, 2017</u>, for failure to declare equipment <u>(Flipping Halter Off)</u> at time of entry for <u>"LETHAL FOLLY"</u>, entered in <u>the 2nd race on August 4, 2017 at Ruidoso Downs.</u>

For this rule violation, the Board of Stewards assessed <u>Jose R Gonzalez</u> a penalty in the amount of <u>one hundred dollars (\$ 100.00)</u>. The fine is to paid on or before <u>October 24, 2017</u>, in accordance with 15.2.3.8(B)(3)(I) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension.

### Licensee is found to be in violation of:

15.2.5.8 (B)(10) Procedure For Entries Any approved change of equipment must be declared at time of entry. Any changes after that time must be approved by the stewards.

15.2.5.8 (B)(6) Procedure For Entries No alteration may be made in any entry after the closing of entries, but an error may be corrected with permission of the stewards

15.2.5.13 (A)(6) Equipment For The Running Of The Race: No licensee may add blinkers to a horse's equipment or discontinue their use without the prior approval of the starter, the paddock judge, and the stewards.

15.2.6.11(E)(10)Trainer Responsibility The trainer is responsible for ensuring proper bandages, equipment and shoes.

### This ruling is written in accordance with:

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)
(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

### By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices or with the Stowards who issued the ruling.

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Ruling #:

2017-ZIA-31

Track

Zia Park

Ruling Date:

9/24/2017

Licensee:

THEDFORD, TANNER TROY

License Type:

2016 3 Year Jockey

Applicant Id:

20419

License Number:

282007

Ruling #

2017-ZIA-31

Action Type:

**Initial Ruling** 

Ruling:

Jockey, <u>Tanner Thedford</u>, having been summoned <u>and having appeared</u> before the Board of Stewards <u>on September 24, 2017</u>, for careless riding during the running of the <u>2nd race on September 23, 2017 at Zia Park Racetrack</u> while <u>aboard "REALLY IM THE PLUG" which resulted in his mount being disqualified from 3rd place to 10th place.</u>

For this violation, the Board of Stewards assessed <u>Tanner Thedford</u> a suspension of <u>4 race days</u> (<u>October 2, 2017, October 3, 2017, October 7, 2017, and October 8, 2017</u>). <u>Tanner Thedford</u> is allowed to ride designated races.

#### Licensee is found in violation of:

15.2.5.13(E)(2)(a) Post to Finish Which states in pertinent part that "A jockey shall not ride carelessly or willfully so as to permit his/her mount to interfere with, impede or intimidate any other horse in the race."

15.2.5.13(E)(3)(d) Post to Finish In a straightaway race, every horse must maintain position as nearly as possible in the lane in which it starts. If a horse is ridden, drifts or swerves out of its lane in such a manner that it interferes with, impedes or intimidates another horse, it is a foul and may result in the disqualification of the offending horse.

### This ruling is written in accordance with:

15.2.5.13(E)(3)(c) NMAC: Post to Finish 15.2.5.13(E)(3)(d) NMAC: Post to Finish 15.2.5.13(E)(4)(a) NMAC Disqualification./15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys/15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority /16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pert that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2017-ZIA-32

Track

Zia Park

Ruling Date:

9/24/2017

Licensee:

TRIANA, ALFREDO

License Type:

2017 3 Year Trainer

Applicant Id: 14224

License Number: 287527

Ruling #

2017-ZIA-32

Action Type:

**Initial Ruling** 

Ruling:

Trainer Alfredo Triana having waived his rights to a 10 day notice and formal hearing before the Board of Stewards on September 24, 2017, for failing to have the owner licensed for entry "TLC MOONSHINE WAGON", which resulted in a late scratch from the 2nd race on September 24, 2017, at Zia Park Racetrack.

For this violation, the Board of Stewards assessed Alfredo Triana a fine in the amount of two hundred dollars (\$200.00). The fine is to be paid on or before October 24, 2017, in accordance with 15.2.3.8 (B)(3)(8) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension

### Licensee is found to be in violation of:

15.2.5.12(X) Horse ineligible Which states in pertinent part "A horse shall be ineligible to start in a race when its Owner, Lessor, and/or Trainer have not completed the licensing procedures required by the Commission".

16.47.1.10 (C)(17) Trainer Responsibility: Which states in pertinent part "The Trainer is responsible for representing an Owner in making entries and scratches, and in all other matters pertaining to racing; horses entered as to eligibility and weight or other allowances claimed".

16.47.1.10 (C)(16) Trainer Responsibility The Trainer is responsible for disclosure of the true and entire ownership of each horse in their care, custody or control; any change in ownership must be reported immediately to, and approved by, the stewards and recorded by the racing secretary

### This ruling is written in accordance with:

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check.'

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.



Ruling #: 2017-ZIA-33

Track Zia Park

Ruling Date: 9/24/2017

Licensee: GOMEZ, PEDRO GONSALEZ

License Type: 2017 3 Year Trainer Applicant Id: 30693

License Number: 285987

Ruling # 2017-ZIA-33

Action Type: Initial Ruling

Ruling:

<u>Trainer Pedro Gomez</u> having been duly notified, <u>having failed to appear</u>, <u>and had his hearing held in absentia</u>, in accordance with 15.2.1.9(B)(4)(d), before the Board of Stewards on <u>September 24, 2017</u>, for failure to declare equipment (<u>Blinkers OFF</u>) at time of entry for "<u>SIN SUERTE</u>", entered in <u>the 7th race on August 5, 2017 at Ruidoso Downs.</u>

For this rule violation, the Board of Stewards assessed <u>Pedro Gomez</u> a penalty in the amount of <u>one hundred dollars (\$ 100.00)</u>. The fine is to paid on or before <u>October 24, 2017</u>, in accordance with 15.2.3.8(B)(3)(l) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension.

#### Licensee is found to be in violation of:

15.2.5.8 (B)(10) Procedure For Entries Any approved change of equipment must be declared at time of entry. Any changes after that time must be approved by the stewards.

15.2.5.8 (B)(6) Procedure For Entries No alteration may be made in any entry after the closing of entries, but an error may be corrected with permission of the stewards

15.2.5.13 (A)(6) Equipment For The Running Of The Race: No licensee may add blinkers to a horse's equipment or discontinue their use without the prior approval of the starter, the paddock judge, and the stewards.

15.2.6.11(E)(10)Trainer Responsibility The trainer is responsible for ensuring proper bandages, equipment and shoes.

### This ruling is written in accordance with:

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)
(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

#### By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #: 2017-ZIA-34

Track Zia Park

Ruling Date: 9/24/2017

Licensee: WILLIS, KASEY LEON

License Type: 2017 3 Year Trainer Applicant Id: 5457

License Number: 286654

Ruling #

2017-ZIA-34

Action Type:

Initial Ruling

Ruling:

<u>Trainer Kasey Willis</u> having been duly notified, failing to appear, and had <u>his</u> hearing held in absentia, in accordance with <u>15.2.1.9(B)(4)(d)non-appearance</u>, before the Board of Stewards on <u>September 24, 2017</u>, for failure to declare equipment <u>(Flipping Halter Off)</u> at time of entry for <u>"BOOGIES SPECIAL DASH"</u>, entered in <u>the 8th race on August 5, 2017</u> at Ruidoso Downs.

For this rule violation, the Board of Stewards assessed <u>Kasey Willis</u> a penalty in the amount of <u>one hundred dollars (\$ 100.00)</u>. The fine is to paid on or before <u>October 24, 2017</u>, in accordance with 15.2.3.8(B)(3)(I) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension.

### Licensee is found to be in violation of:

15.2.5.8 (B)(10) Procedure For Entries Any approved change of equipment must be declared at time of entry. Any changes after that time must be approved by the stewards.

15.2.5.8 (B)(6) Procedure For Entries No alteration may be made in any entry after the closing of entries, but an error may be corrected with permission of the stewards

15.2.5.13 (A)(6) Equipment For The Running Of The Race: No licensee may add blinkers to a horse's equipment or discontinue their use without the prior approval of the starter, the paddock judge, and the stewards.

15.2.6.11(E)(10)Trainer Responsibility The trainer is responsible for ensuring proper bandages, equipment and shoes.

### This ruling is written in accordance with:

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES/16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)
(a) Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

#### By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission)

offices, or with the Stewards who issued the ruling.

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Ruling #: 2017-ZIA-35

Track Zia Park

Ruling Date: 9/24/2017

Licensee: VILLANUEVA, JUAN CARLOS

License Type: 2015 3 Year Jockey Applicant Id: 34058

License Number: 279877

Ruling # 2017-ZIA-35

Action Type: Initial Ruling

Ruling:

Jockey <u>JC Villanueva</u> having been duly notified, failing to appear, and had <u>his</u> hearing held in absentia, in accordance with <u>15.2.1.9(B)(4)(d)non-appearance</u>, before the Board of Stewards on <u>September 24, 2017</u>, for having failed to be excused from, or to notify the Board of Stewards of his absence, and subsequent failure to honor his riding engagement (entry "<u>MAM TITA"</u>) in the <u>7th race on August 12, 2017</u>, at <u>Ruidoso Downs</u>.

The Board of Stewards hereby assesses <u>JC Villanueva</u> a penalty in the amount of <u>two hundred dollars</u> (\$200.00). The fine is to be paid on or before <u>October 24, 2017</u>, in accordance with 15.2.3.8(B)(3)(I) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension.

#### Licensee is found to be in violation of:

15.2.5.13(C)(1) Which states in pertinent part that "Jockeys shall report to the Jockey's quarters at the time designated by the association. Jockeys shall report their engagements and any overweight to the clerk of scales. Jockeys shall not leave the Jockeys' quarters, except to ride in scheduled races, until all of their riding engagements of the day have been fulfilled except as approved by the Stewards."

**5.2.5.13(C)(3)** Which states in pertinent part that "Except as otherwise provided by this subsection, a jockey engaged for a certain race or for a specified time may not fail or refuse to abide by the engagement agreement, unless excused by the Stewards."

### This ruling is written in accordance with:

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

#### By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2017-ZIA-36

Track

Zia Park

Ruling Date:

9/24/2017

Licensee:

SHULTS, CHARLES DUKE

License Type:

2015 3 Year Trainer

Applicant Id:

5343

License Number:

278064

Ruling #

2017-ZIA-36

Action Type:

**Initial Ruling** 

Ruling:

<u>Trainer Charles Duke Shults</u>, having been duly notified and having responded telephonically before the Board of Stewards on <u>September 24, 2017</u>, regarding a security citation for working unlicensed help <u>on August 13, 2017</u>, at <u>Ruidoso Downs</u>.

The Board of Stewards assessed <u>Charles Duke Shults</u> a penalty in the amount of <u>two hundred dollars</u> (\$200). The fine is to be paid on or before <u>October 24, 2017</u>, in accordance with 15.2.3.8(B)(3)(I) NMAC. Failure to pay this fine within the time prescribed may result in immediate suspension.

### Licensee is found to be in violation of:

16.47.1.8 (G)(1) Which states in pertinent part "The employment of any unlicensed person under the jurisdiction of the Commission is prohibited".

### This ruling is written in accordance with:

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

#### By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2017-ZIA-37

Track

Zia Park

Ruling Date:

9/24/2017

Licensee:

SHULTS, CHARLES DUKE

License Type:

2015 3 Year Trainer

Applicant Id:

5343

License Number: 278064

Ruling #

2017-ZIA-37

Action Type:

**Initial Ruling** 

Ruling:

Trainer C. Duke Shults, having been duly notified and having responded telephonically before the Board of Stewards on September 24, 2017, for failure to personally attend to saddling entries "RBD DASH" and "THE HITT MAN" in the paddock during the 4th race on August 26, 2017, and the 6th race on August 27, 2017, at Ruidoso Downs.

The Board of Stewards hereby assess C. Duke Shults a penalty in the amount of four hundred dollars (\$400.00). The fine is to be paid on or before October 24, 2017, in accordance with 15.2.3.8(B)(3)(1) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension.

### Licensee is found to be in violation of:

16.47.1.10 (C)(23) TRAINER RESPONSIBILITY personally attending to their horses in the paddock and supervising the saddling thereof, unless excused by the stewards; if the trainer is excused, the trainer must secure another trainer or assistant trainer who is licensed by the commission to assume those duties

16.47.1.10 (E)(1) SUBSTITUE TRAINER If any licensed trainer is prevented from performing his duties or is absent from the track where he is participating, the stewards shall be immediately notified, and at the same time, a substitute trainer or assistant trainer, acceptable to the stewards, shall be appointed. The stewards shall be advised when the regular trainer resumes his duties

15.2.6.11(E)(13): Trainer Responsibility personally attending in the paddock and supervising the saddling thereof, unless excused by the stewards This ruling is written in accordance with:

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal / 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission

offices, or with the Stewards who issued the ruling. Jell Cather

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Ruling #: 2017-ZIA-38

Track Zia Park

Ruling Date: 9/24/2017

Licensee: NICOLAS, CAMILO

License Type: 2017 1 Year Jockey Applicant Id: 6621

License Number: 287456

Ruling #

2017-ZIA-38

Action Type:

**Initial Ruling** 

Ruling:

Jockey <u>CAMILO NICOLAS</u>, having been summoned <u>and having appeared</u> before the Board of Stewards <u>on September 24, 2017</u>, for careless riding during the running of the <u>3rd race on September 23, 2017 at Zia Park Racetrack</u>, while <u>aboard "SHAM WOW"</u>.

For this violation, the Board of Stewards assessed <u>CAMILO NICOLAS</u> a suspension of <u>4 race days</u> (<u>October 2, 2017, October 3, 2017, October 7, 2017, and October 8, 2017</u>). <u>CAMILO NICOLAS</u>, is allowed to ride designated races.

#### Licensee is found in violation of:

15.2.5.13(E)(2)(a) NMAC: Post to Finish Which states in pertinent part that "A jockey shall not ride carelessly or willfully so as to permit his/her mount to interfere with, impede or intimidate any other horse in the race."

15.2.5.13(E)(3)(d) NMAC: Post to Finish (d) In a straightaway race, every horse must maintain position as nearly as possible in the lane in which it starts. If a horse is ridden, drifts or swerves out of its lane in such a manner that it interferes with, impedes or intimidates another horse, it is a foul and may result in the disqualification of the offending horse.

#### This ruling is written in accordance with:

15.2.5.13(E)(3)(c) NMAC: Post to Finish Which states in pertinent part that "If the Stewards determine the foul was intentional, or due to careless riding, they may fine or suspend the guilty jockey."

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

### By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2017-ZIA-39

Track

Zia Park

Ruling Date:

10/1/2017

Licensee:

FENNELL, ERNEST

License Type:

2015 3 Year Trainer

Applicant Id:

34414

License Number:

277642

Ruling #

2017-ZIA-39

Action Type:

**Initial Ruling** 

Ruling:

Trainer, <u>Ernest Fennell</u>, is hereby summarily suspended <u>(effective immediately, October 1, 2017)</u> for the detection of the Class 2 Penalty A drug <u>Zilpaterol</u> and the Class 3 Penalty B drug <u>clenbuterol</u> in <u>sample</u> <u>#NM29128</u>, per 15.2.1.9(B)(3)(a).

In accordance with 15.2.1.9 (C)(21), Ernest Fennell is hereby excluded from all areas of the grounds under the jurisdiction of the NMSRC and all horses owned, trained, or under the care Ernest Fennell are denied further entry, pending the outcome of his hearing on October 9, 2017, @ 10:00 am in the office of Board of Stewards at Zia Park (3901 W Millen Dr, Hobbs, NM 88240) per 15.2.1.9 (B)(3)(b).

### Licensee is found in violation of:

15.2.1.9(B)(3)(a) NMAC: Which states in pertinent part "If the Stewards determine that a licensee's action constitute an immediate danger to the public health, safety, or welfare, the Stewards may summarily suspend the licensee pending a hearing".

16.47.1.8 (U)(1) PROTECTION OF HORSES Each person licensed by the commission shall do all that is reasonable and within their power and scope of duty to guard against and prevent the administration of any drug, medication or other substance, including permissible medication in excess of the maximum allowable level, to any horse entered or to be entered in an official workout or race, as prohibited by these rules.

### This ruling is written in accordance:

16.47.1.8 (L)(1)(i) - Conduct Which states in pertinent part "The Commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued, or order disciplinary measures if the applicant is a person whose conduct or reputation may reflect on the honesty, and integrity of horse racing"

# 15.2.3.8 B (3)(f) Stewards Disciplinary Action 15.2.3.8 B (3)(a) Stewards Disciplinary Action

15.2.3.8 B (1) (d) Stewards General authority. The stewards have the authority to interpret the rules and to decide all questions of racing not specifically covered by the rules. Whenever the stewards find any person culpable for any act or omission in violation of these regulations or any violation of the Horse Racing Act, the person shall be subject to disciplinary action, which could include a fine, suspension, or revocation/denial of license or any combination of these penalties.

16.47.1.8. T. KNOWLEDGE OF RULES:

16.47.1.3 Statutory Authority

16.47.1.8 (A)(1) License Required

16.47.1.8 (A)(2) License Required

16.47.1.8(N) Last Known Address

By Order of The Zia Park Stewards

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Ruling #:

2017-ZIA-40

Track

Zia Park

Ruling Date:

10/1/2017

Licensee:

MARR, GERALD E

License Type:

2015 3 Year Trainer

Applicant Id:

20440

License Number:

280406

Ruling #

2017-ZIA-40

**Action Type:** 

**Initial Ruling** 

Ruling:

Trainer, <u>Gerald Marr</u>, is hereby summarily suspended <u>(effective immediately, October 1, 2017)</u> for the detection of the Class 1 Penalty A drug <u>Methamphetamine</u> in <u>sample #NM27919</u>, per 15.2.1.9(B)(3)(a).

In accordance with 15.2.1.9 (C)(21), Gerald Marr is hereby excluded from all areas of the grounds under the jurisdiction of the NMSRC and all horses owned, trained, or under the care Gerald Marr are denied further entry, pending the outcome of his hearing on October 9, 2017, a 10:30 am in the office of Board of Stewards at Zia Park (3901 W Millen Dr, Hobbs, NM 88240) per 15.2.1.9 (B)(3)(b).

#### Licensee is found in violation of:

15.2.1.9(B)(3)(a) NMAC: Which states in pertinent part "If the Stewards determine that a licensee's action constitute an immediate danger to the public health, safety, or welfare, the Stewards may summarily suspend the licensee pending a hearing".

16.47.1.8 (U)(1) PROTECTION OF HORSES Each person licensed by the commission shall do all that is reasonable and within their power and scope of duty to guard against and prevent the administration of any drug, medication or other substance, including permissible medication in excess of the maximum allowable level, to any horse entered or to be entered in an official workout or race, as prohibited by these rules.

### This ruling is written in accordance:

16.47.1.8 (L)(1)(i) - Conduct Which states in pertinent part "The Commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued, or order disciplinary measures if the applicant is a person whose conduct or reputation may reflect on the honesty, and integrity of horse racing"

15.2.3.8 B (3)(f) Stewards Disciplinary Action 15.2.3.8 B (3)(a) Stewards Disciplinary Action

15.2.3.8 B (1) (d) Stewards General authority. The stewards have the authority to interpret the rules and to decide all questions of racing not specifically covered by the rules. Whenever the stewards find any person culpable for any act or omission in violation of these regulations or any violation of the Horse Racing Act, the person shall be subject to disciplinary action, which could include a fine, suspension, or revocation/denial of license or any combination of these penalties.

16.47.1.8. T. KNOWLEDGE OF RULES:

16.47.1.3 Statutory Authority

16.47.1.8 (A)(1) License Required

16.47.1.8 (A)(2) License Required

16.47.1.8(N) Last Known Address

By Order of The Zia Park Stewards

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